

International Symposium on  
“More resilient non-engineered houses for earthquake disaster reduction”

「途上国のノンエンジニアド住宅の地震被害軽減」に関する国際シンポジウム

資 料 集

2010年2月26日（金）

場 所：政策研究大学院大学 想海楼ホール

主 催：独立行政法人建築研究所、政策研究大学院大学

後 援：国土交通省、内閣府（防災担当）、国連防災戦略、国連地域開発センター



## 1. Outline of the Symposium



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## Background and Objectives

Like the earthquake in Haiti on 12 January 2010, many people have been killed by earthquakes repeatedly in developing countries. In most of deaths caused by earthquakes, people are killed by their own houses. Most of the world's population lives in vernacular houses that are built of adobe, brick, stone, and wood, and are non-engineered and thus vulnerable to earthquakes. Generally, the safety structure of these non-engineered buildings are not verified when they are designed. There are also quality problems in materials used for construction and workmanship. Although it is indispensable to improve the structural safety of these houses in order to reduce the earthquake disasters, the actual situation of these non-engineered constructed is not fully understood.

National Graduate Institute for Policy Studies (GRIPS) and Building Research Institute (BRI) jointly conducting a research on non-engineered buildings in developing countries, namely, Peru, Indonesia, India, Nepal, Pakistan, and Turkey in 2009-2010. GRIPS and BRI co-organized the International Symposium on "More resilient non-engineered houses for earthquake disaster reduction" to share the result of the surveys and various efforts for safer houses, and to discuss how we can improve the safety of the non-engineered buildings.

This Symposium was co-organized by Building Research Institute (BRI) and National Graduate Institute for Policy Studies (GRIPS), and supported by Ministry of Land, Infrastructure Transport and Tourism (MLIT), Cabinet Office (Disaster Reduction), Cabinet Office (Disaster Reduction), UN International Strategy for Disaster Reduction (UNISDR) and UN Centre for Regional Development (UNCRD).

## Date.

February 26<sup>th</sup> 2010 (Friday) 9:30~17:00

## Venue

Sokairo Hall, National Graduate Institute for Policy Studies (GRIPS)

## Language

English and Japanese (simultaneous translation is available)

## No. of Participants

Approximately 140

## 1. シンポジウム概要

### 背景と目的

2010年1月に発生したハイチ地震に見られるように、近年途上国で大地震によって多くの人命が繰り返し失われている。このような被害は主に、途上国に普遍にみられる、レンガ造やアドベ造のような在来工法によって建設された庶民住宅が大量に倒壊することに原因がある。これらの住宅は工学的に構造安全性が検証されておらず(ノンエンジニアド)、また材料や施工の品質にも問題が多い。地震被害を軽減するためには、このようなノンエンジニアド住宅の耐震性を向上させることが不可欠であるが、途上国におけるノンエンジニアド住宅の実態は、十分把握されていないのが現状である。

このため、建築研究所と政策研究大学院大学では、2009年度にインド、インドネシア、トルコ、ネパール、パキスタン、ペルーにおけるノンエンジニアド住宅の構造安全性や建築材料、施工の品質等について現地調査を行い、国や地域による違いを把握することを目的とした共同研究を実施している。本シンポジウムは、この研究の一環として、各国の現地調査の成果を発表するとともにと各国及びわが国のノンエンジニアド住宅の耐震性向上のための取り組みを紹介し、途上国における地震被害軽減のための今後の方策のあり方について議論することを目的としている。

「途上国のノンエンジニアド住宅の地震被害軽減に関する国際シンポジウム」は、独立行政法人建築研究所(BRI)と政策研究大学院大学(GRIPS)による共催であり、国土交通省(MLIT)、内閣府(防災担当)、国連防災戦略(UNISDR)、国連地域開発センター(UNCRD)の後援により実施された。

### 開催日

2010年2月26日(金) 9:30~17:00

### 場 所

政策研究大学院大学 想海楼ホール

### 使用言語

英語および日本語(同時通訳使用)

### 参加者数

約140名

(Leaflet)

## International Symposium on "More resilient non-engineered houses for earthquake disaster reduction"

Date: February 26<sup>th</sup> 2010 (Friday) 9:30~17:00

Venue: Sokairo Hall, National Graduate Institute for Policy Studies (GRIPS), Tokyo

Organized by: Building Research Institute (BRI) and National Graduate Institute for Policy Studies (GRIPS)

Supported by: Ministry of Land, Infrastructure Transport and Tourism (MLIT), Cabinet Office (Disaster Reduction), UN International Strategy for Disaster Reduction (UNISDR), UN Centre for Regional Development (UNCRD)

### 9:30– 9:50 Opening:

Shuzo Murakami, Chief Executive, Building Research Institute (BRI)

Tatsuo Hatta, President, National Graduate Institute for Policy Studies (GRIPS)

Message of Margareta Wahlstrom, UN Secretary-General's Special Representative for Disaster Reduction

(by Yuki Matsuoka, Head, Hyogo Office, UN Secretariat of the International Strategy for Disaster Reduction (UNISDR))

Motoi Sasaki, Deputy Director-General, Housing Bureau, Ministry of Land, Infrastructure, Transport and Tourism (MLIT)

Shoichi Hasegawa, Deputy Director General for Disaster Management, Cabinet Office

### 9:50– 10:30 Keynote Speech on "Earthquake Damage and Non-engineered Construction"

Yuji Ishiyama, Professor emeritus, Hokkaido University

< Break >

### 10:40– 12:30 Session 1 "Vulnerability of non-engineered houses and efforts to make them safer"

"Outline of the joint research" Kenji Okazaki, Professor, National Graduate Institute for Policy Studies (GRIPS)

"Peru" Carlos Zavala, Director, Japan Peru Center for Earthquake Engineering and Disaster Mitigation (CISMID)

"Indonesia" Dyah Kusumastuti, Associate Professor, Institute of technology Bandung (ITB)

"India" Nitin Verma, Senior Programme Officer, SEEDS

"Nepal" Hima Gurubacharya (Shrestha), Senior Structural Engineer, National Society for Earthquake Technology (NSET)

"Pakistan" Najib Ahmad, Project Manager, Preston University

"Turkey" Alper Ilki, Associate Professor, Vice Head of Department of Civil Engineering, Istanbul Technical University

### 12:30– 13:30 Lunch

### 13:30– 15:40 Session 2 "Japanese efforts for safer non-engineered houses"

Special report "Damages of Haiti Earthquake Disaster"

Hidetomi Oi, Adviser, Global Environment Department, Japan International Cooperation Agency (JICA)

"Summary of International joint research project on comprehensive strategies for earthquake disaster mitigation"

Tatsuo Narafu, Information Center for Building Administration (ICBA)

"Seismic Performance of Masonry Buildings and Evaluation Methods"

Shunsuke Sugano, Professor emeritus, Hiroshima University

"Lessons from assistance for reconstruction in Indonesia"

Kozo Nagami, Information Policy Department, Japan International Cooperation Agency (JICA)

"Japan's ODA Project in Peru, Dissemination of Seismic Adobe House"

Akihiko Tasaka, Ex-First Secretary of Embassy of Japan in Peru

"Community based disaster management and assistance for retrofitting"

Shoichi Ando, United Nations Centre for Regional Development (UNCRD)

"Earthquake Risk Reduction and Recovery Preparedness in South Asia"

Atsushi Koresawa, Asian Disaster Reduction Center (ADRC)

< Break >

### 15:50– 17:00 Panel "How to promote safety improvement of non-engineered houses in developing countries"

Chair: Taiki Saito, Chief Researcher, BRI

Panelists: Carlos Zavala, Dyah Kusumastuti, Alper Ilki, Najib Ahmad and Hiroshi Fukuyama (Chief Researcher, BRI)

### 17:15- Reception



(リーフレット)

## 「途上国のノンエンジニアド住宅の地震被害軽減」に関する国際シンポジウム

開催日：2010年2月26日(金) 9:30~17:00

場所：政策研究大学院大学 想海楼ホール

主催：独立行政法人建築研究所、政策研究大学院大学

後援：国土交通省、内閣府(防災担当)、国連防災戦略、国連地域開発センター

### 9:30-9:50 開会挨拶

建築研究所 理事長 村上周三

政策研究大学院大学 学長 八田達夫

国連・防災担当特別代表 マルガレータ・ワルストロム

(メッセージ代読 国連国際防災戦略事務局 兵庫事務所代表 松岡由季)

国交省大臣官房審議官(住宅局担当) 佐々木基

内閣府大臣官房審議官(防災担当) 長谷川彰一

### 9:50-10:30 基調講演「地震被害とノンエンジニアド建築」

北海道大学名誉教授 石山祐二

< 休憩 >

### 10:40-12:30 第1分科会「途上国のノンエンジニアド住宅の脆弱性及び耐震性向上のための努力」

「共同研究の概要」 政策研究大学院大学 教授 岡崎健二

「ペルーからの報告」 ペルー日本地震防災センター 所長 カルロス・サバラ

「インドネシアからの報告」 バンドン工科大学 准教授 ダイア・クスマステュティ

「インドからの報告」 シーズ シニアプログラムオフィサー ニティン・ヴァルマ

「ネパールからの報告」 ネパール地震工学協会(NSET) 構造専門家 ヒマ・グルバチャリヤ(シュレスタ)

「パキスタンからの報告」 プレストン大学 プロジェクトマネジャー ナジブ・アーメド

「トルコからの報告」 イスタンブール工科大学 土木工学科副長 准教授 アルパー・イルキ

### 12:30-13:30 昼休み

### 13:30-15:40 第2分科会「途上国のノンエンジニアド住宅の耐震性向上のための我が国の取り組み」

特別報告「ハイチ地震の被害について」 国際協力機構 地球環境部 アドバイザー 大井英臣

「総合的な地震被害軽減方策についての国際共同研究の概要」 建築行政情報センター 建築行政研究所研究部長 榎府龍雄

「組積造建築の耐震性能と評価法」 広島大学 名誉教授 菅野俊介

「インドネシア災害復興支援の教訓」 国際協力機構 情報政策部 永見光三

「ペルーにおける日本のODAプロジェクト~アドベ耐震住宅の普及~」 前・在ペルー大使館書記官 田阪昭彦

「コミュニティ防災と建築耐震化の支援」 国連地域開発センター 防災計画兵庫事務所長 安藤尚一

「南アジアにおける地震防災対策の推進」 アジア防災センター所長 是澤優

< 休憩 >

### 15:50-17:00 パネルディスカッション「ノンエンジニアド住宅の耐震性向上をいかに進めるか」

議長：建築研究所 国際地震工学センター 上席研究員 齊藤大樹

パネリスト：カルロス・サバラ(ペルー)、ダイア・クスマステュティ(インドネシア)

アルパー・イルキ(トルコ)、ナジブ・アーメド(パキスタン)、福山洋(建築研究所 上席研究員)

### 17:15- 懇親会



独立行政法人  
建築研究所



政策研究大学院大学

## 2. Opening

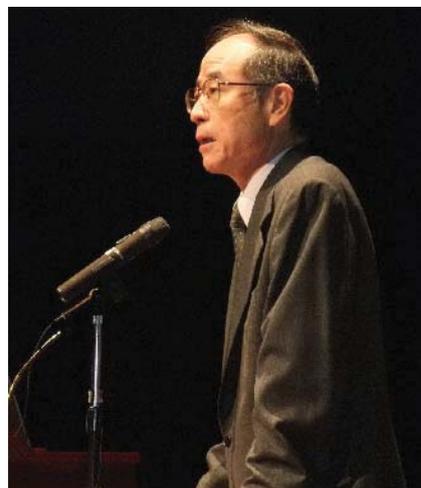


## 2. Opening (開会挨拶)

### 建築研究所 村上理事長 挨拶

皆様おはようございます。御紹介いただきました建築研究所理事長の中村です。主催団体のひとつとして、一言ご挨拶を申し上げます。

本日は朝早くから「途上国のノンエンジニアド住宅の地震被害軽減に関する国際シンポジウム」にご参加いただきまして大変ありがとうございました。今回のハイチを始めとして、大地震が起きると、発展途上国で大変多くのかたが亡くなります。その多くが住宅の倒壊によるものです。発展途上国の耐震性を考えて設計されていない住宅をノンエンジニアド住宅と呼んでいます。十分に工学的に適用されていないという意味です。今後、地震災害による人災、災害において人命を救うために、世界的にノンエンジニアド住宅の改善が大変大きな課題です。



このノンエンジニアド住宅に関しては、工学的な研究開発がだいぶ不足しております。耐震化工法に関しても十分な成果は得られていません。それぞれの国の技術レベルの違いにより、先進技術が仮にあったとしても、それを実際、ご自身の国の住宅に適用するような技術基盤がないのです。人々が先進技術を使うだけの制度基盤、社会基盤も必要なのです。ノンエンジニアド住宅の普及のためには、職人あるいは技術者の人材育成、教育啓発も含めた取り組みが大切だということです。

こういった観点から建築研究所では過去 50 年、発展途上国の人たちを対象として国際的な地震工学研修を続けております。すでに 100 国から 1400 人を超えるかたが研修を修了し、最近では GRIPS と連携して修士号を取得できる枠組みを作っております。卒業生の方々が各国に帰られて、要職につかれ、それぞれの国の耐震政策に大変大きな寄与をされています。

今回のシンポジウムはそういう方々とも協力して、地震のたびに大変大きな災害の起きるノンエンジニアド住宅のさらなる改善を図ろうという趣旨の国際会議です。今日はペルー、インドネシア、インド、ネパール、パキスタン、トルコなど、多くの研究者の方々にご参加いただいております。また講演後には、その研究者の皆さんとノンエンジニアド住宅の耐震性能をいかに進めるかというテーマでパネルディスカッションを行う予定でございます。会場の皆様からの活発なご発言を期待しております。

今回のシンポジウムが今後起きるかもしれない大きな地震に備えて一刻も早くノンエンジニアド住宅の耐震性能の改善に繋がることを期待して、ご挨拶にかえさせていただきたいと思っております。どうもありがとうございました。



本日は皆様お忙しいところお越しいただきましてありがとうございます。ありがとうございました。

村上先生のお話にありましたように、今年1月のハイチ地震で20万人の尊い命が失われました。地震そのものは避けられないわけですが、専門家の指摘によれば、一番大きな原因は建物が地震に対する対策がたてられていない建物であったということです。そのことによって、あれだけの大きな被害が出たということのようです。ということは被害の多くは人災であったと言えるのであろうと思います。

しかし、ハイチだけではなく、この21世紀になってから各地で毎年のように数万人規模の被害の出る地震が起きています。インド、イラン、インドネシア、パキスタン、中国、ミャンマーというように続々と起きています。そういった地域の住居がノンエンジニアド住宅、例えばレンガ、木造、石造などの、近代工法とは別の伝統的な工法で建てられている建物であり、十分な耐震構造、工学的な措置が講じられていないというわけですから、これは何とかしなければならない。しかしながら伝統的な建物に対する耐震化をどうしたらいいかという研究は必ずしも進んでいないのです。政策的にも、それに対する対応が十分でないということです。

建築研究所とGRIPSは共同研究をやっております。本年度はペルー、インドネシア、ネパール、インド、パキスタン、トルコ、エジプトの7カ国とともに、在来工法の建築現場に実際に出向き、調査し、設計や建て方、資材がどのように行われているか、建築労働者がどのように働いているのかを調査して、耐震化の観点から安全性向上のためにどういうことができるかということ調査しています。

今朝の発表では、各国の調査結果と、各国でノンエンジニアド工法に対して、どのような安全性向上の措置が取られているかを発表いただくこととなります。シンポジウムでは今後、耐震性向上のためにどういうことが考えられるのかを皆様に議論していただきます。さらに、ハイチからお帰りになったばかりのJICAの大井様よりハイチの状況についてお話いただきます。

大地震があった後で、また元と同じ建物を建てたら無意味なわけです。地震を機会に耐震性の向上した建物を造れるということが必要だろうと思います。今回のシンポジウムが、多くの途上国での建物の耐震性向上のために役に立ち、さらにハイチの地震からの回復にも工学的に役立つことに繋がることを祈念いたしまして、私のご挨拶とさせていただきます。どうもありがとうございました。

**Message of Ms. Margareta Wahlström,  
UN Secretary General's Special Representative for Disaster Risk Reduction  
To be delivered on her behalf by Ms. Yuki Matsuoka, Head of the UNISDR Hyogo Office**

Dear Participants,

I am pleased to share with you the message of Ms. Margareta Wahlström, UN Secretary General's Special Representative for Disaster Risk Reduction to the participants of the International Symposium on "More Resilient non-engineered houses for earthquake disaster reduction", organized by the National Graduate Institute for Policy Studies and the Building Research Institute, in collaboration with several partner organizations.

As all of you know, 5 years ago in Kobe, at the UN World Conference on Disaster Reduction, 168 Governments adopted the Hyogo Framework for Action to build resilience of nations and communities to disasters by 2015, underlining thus the urgent need to shift efforts from only preparing for disaster response to focusing on reducing risk and vulnerability, and spelling out the specific responsibilities of Governments, international and regional organizations on how to do so. For the last five years, significant progress has been achieved as recognized at the second session of the Global Platform for Disaster Risk Reduction held in June 2009 and reported in the Global Assessment Report on Disaster Risk Reduction issued in 2009, particularly in terms of life-saving measures such as improved disaster preparedness and response, but much more needed to be done.



Governments, international and regional organizations, NGOs, and other partners have been more united in the belief that greater urgency is required to address the factors that are driving the increase in disaster risk, such as rural poverty and vulnerability, unplanned and poorly managed urban growth, and decline of ecosystems. Urgent action is necessary not only to reduce disaster risk, but also to maintain momentum in Millennium Development Goal achievement, including poverty reduction, adaptation to climate change and better health outcomes.

Ladies and gentlemen, it has now been more than a month since the catastrophic earthquake struck Haiti, leaving much of the Capital city Port-au-Prince and surroundings totally devastated. The entire international community, including the UN is doing their utmost to assist the Haitian Government and the millions of people who have been affected by the tragedy, and is helping to push forward the relief and recovery process. The United Nations International Strategy for Disaster Reduction (UNISDR) will pursue the work with President Clinton, the UN Special Envoy for Haiti, to make the country more resilient to future disasters.

The principal causes of destruction and death in Haiti were construction on unstable land and collapsing buildings. The problems with building construction were not just a lack of seismic building standards but the inadequate standards of construction to resist the risks that are common in Haiti such as hurricanes, floods and mudslides. Haiti's burden is heavy, but there is also a new opportunity today to engage with the international community that is genuinely supportive, to plan a determined reconstruction effort that will ensure its long-term safety and stability. Hopefully, no new hospital, school or public structure will be built without integrating disaster risk reduction principles into its design and construction.

The initiative of organizing today's international symposium entitled "More resilient nonengineered houses for earthquake disaster reduction" is very timely in this context. People from developing countries sometimes mention that they cannot use nor develop highly advanced technology to make buildings more resilient such as in countries like Japan. However, there is a lot of expertise that can be shared with these countries and be of concrete use to build more resilient buildings and housing. In this context, this symposium can certainly make an important contribution to international efforts to understand non-engineered construction and improve the structural safety of houses, buildings, and so forth.

Ladies and gentlemen, as we begin the second decade of a new century, more than half of the world's population lives in cities and urban centers. Urban settlements are the lifelines of today's society. They serve as nations' economic engines, centers of technology and innovation and function as living examples of our cultural heritage. But the consequences of their success are inherent in the important roles they play in society. Cities also can become generators of new risks evidenced by poverty, social inequality and environmental degradation. This makes many urban citizens more vulnerable to suffer losses if a natural hazard strikes.

The United Nations International Strategy for Disaster Reduction is working with its partners to raise awareness and commitment for sustainable development practices as a means to reduce disaster risk and to increase the wellbeing and safety of citizens- to invest today for a better tomorrow. Building on previous years' campaigns focusing on education and school, and also hospital safety, UNISDR partners are launching a new campaign in 2010 – *Making Cities Resilient* – to enhance awareness about the benefits of focusing on sustainable urbanization to reduce disaster risks. The Campaign will seek to engage and convince city leaders and local governments to be committed to a checklist of *Ten Essentials for Making Cities Resilient* and to work on these together with local actors, grass-root networks and national authorities.

The UNISDR secretariat looks forward to having your active engagement in the *Making Cities Resilient* Campaign, and working closely with all of you in promoting disaster risk reduction, towards a safer world. I am also looking very much forward to hearing more about the research conducted in major disaster-affected countries, and the recommendations drawn from this research, as well as learning from your discussions on how to improve the safety of non-engineered buildings.



Thank you very much and I wish you all a very successful Symposium.

**Margareta Wahlström**

Special Representative of the UN Secretary-General  
for Disaster Risk Reduction

**by Yuki Matsuoka**

Head, Hyogo Office, UN Secretariat of the International Strategy for  
Disaster Reduction (UNISDR))



## 国交省 佐々木大臣官房審議官 挨拶

皆様、おはようございます。政策研究大学院大学と独立行政法人建築研究所の共催で行われますこの催しにお集まりになられた皆様に、国土交通省を代表いたしまして、そして後援する立場として、心より歓迎を申し上げます。

本年1月に発生しましたハイチにおける大地震において、20万とも、30万ともいわれる方々が亡くなったという話を聞いております。我が国においても1995年に阪神淡路大震災、その後、新潟での中越地震もありました。我が国をはじめ、地震の多発地帯に住む者にとりましては、地震被害による被害を少なくしていくことが極めて重要な課題となっております。特に近年、途上国で大地震によって多くのかたがたの人命が失われているわけですが、このような被害につきましては途上国に多く見られる、技術者が関わらずに造られたノンエンジニアド住宅が大量に倒壊するということによって、非常に大きな被害が生じているという実態があります。途上国における地震被害の軽減のためには、ノンエンジニアド住宅の耐震性を向上するという取り組みを進めていくことが何よりも重要であると考えております。



建築研究所と政策研究大学院大学においては、ノン・エンジニアドな構造に焦点を当てた住宅の安全性に関する研究プロジェクトを各国の現地調査も含めて進めており、ノン・エンジニアド住宅の実態については、国や地域によって異なるため、学問的にも政策的にも取り組みが遅れており、その意味で建築研究所と政策研究大学院大学が実施している本研究プロジェクトは、まことに時宜にかなった貴重なことだと考えています。

今回のシンポジウムにおきましては、この共同研究の一環として各国のノン・エンジニアド住宅の実態と耐震性向上のための取組を紹介し、途上国における地震被害軽減のための今後の方策のあり方について議論がなされるとお伺いしております。

このように世界各国における取り組みを学びあい、分かち合うことで、私たちがリスクを低減させていく取り組みを広めることができると信じております。そうした視点から私どもは今回のシンポジウムの議論に非常に期待をしているところです。ご参会の皆様方が、ここで学ばれたことを是非世界の地震の防災に役立てていただければと思います。また、ここでの交流がそれぞれの出身国においても広く共有されることを期待しております。わたしども国土交通省はこうした取り組みを今後とも強く支援していきたいと考えております。

最後に、本日のシンポジウムが実り多きものになりますこと、またこのシンポジウム開催における関係者の皆様のご労苦に深く敬を表しまして、私の挨拶にさせていただきます。

## 内閣府 長谷川大臣官房審議官 挨拶



皆様、おはようございます。ただ今ご紹介あずかりました内閣府防災担当審議官の長谷川です。

本日は独立行政法人建築研究所並びに政策研究大学院大学の共催でこのシンポジウムが開催され皆様方にお集まりいただきました。盛大に開催されますことをお喜び申し上げます。

海外からお越しの方もおられるので、私がここに呼ばれました所以をお話しします。日本では防災対策に各省庁で取り組んでおります。例えば、国土交通省では河川整備、堤防整備など各種防災対策に取り組んでいます。その中で住宅局では耐震化基準づくり、耐震化建物の増加に取り組んでいる。わたくしども内閣府では、各省庁の取り組みを全体として取りまとめて、国全体の防災対策を進めていく立場で仕事を進めています。

昨日来、ハイチの話題がでていますが、海外で起こる災害に関する協力についても、わたくしどもの方で積極的に取り組ませていただいております。後ほど、お話があると思いますが、JICAの方が現地調査に向かわれた調査結果報告なども拝見させていただいております。海外における地震についての備えがなされていない実態についてはわたくしどもも大変憂いております。

わたくしどもも、国際防災協力を様々取り組んでおりますが、例えば、地震による被害の軽減に積極的に取り組むために20年度、21年度では2箇年に亘り、外務省主催の防災災害無償支援の資金協力事業で、国連開発計画を通じて南アジア地域における地震防災対策計画の取り組みを進めています。

こういった中では、ノンエンジニアド建築物にも焦点を当てて、わが国の知見を生かしてお役に立つべく、神戸のアジア防災センターの専門家などを派遣しているところです。昨年10月に神戸で日中韓の防災担当大臣級会合があり、3国で耐震化への取り組みを進めようと合意し推進をしています。

ハイチについても、今後わが国として貢献をしたいと考えているが、わが国が持つ知見、耐震化への技術、基準についても大きく期待されている。そういった意味でも努力を続けてまいりたいと思っております。

このようなコンテキストのなかで、本日のシンポジウムが開催されますことを、誠に喜ばしい事と考えております。皆様方のご議論を通じて、実り多いモノが得られ、それが世界の防災対策に役立って行く事をご祈念致しますとともに、本日主催されました建築研究所、政策研究大学院大学の益々のご発展をご祈念申し上げましてご挨拶とさせていただきます。ありがとうございました。

### **3. Keynote Speech**



3. Keynote Speech “Earthquake Damage and Non-Engineered Construction “  
Yuji Ishiyama Professor Emeritus, Hokkaido University NewsT Research Lab.

International Symposium on  
“More resilient non-engineered houses  
for earthquake disaster reduction”

## Earthquake Damage and Non-Engineered Construction

Yuji Ishiyama  
Professor Emeritus, Hokkaido University  
NewsT Research Lab.

## Typical Earthquake Damage to Engineered Construction

- Earthquake Damage
- Behavior of Buildings during Earthquakes  
and Earthquake Forces

(1978 Miyagi-ken-oki Earthquake)



Since the shear force becomes max. at 1<sup>st</sup> story,  
damage to 1<sup>st</sup> story is common.



(1978 Miyagi-ken-oki Earthquake)



Damage to 1<sup>st</sup> story (soft and weak first story)  
1995 Hyogo-ken-nanbu (Kobe) Earthquake



Damage to 1<sup>st</sup> story (soft and weak first story)  
(1995 Kobe Earthquake)



Overturning of Computers  
(1978 Miyagi-ken-oki Earthquake)



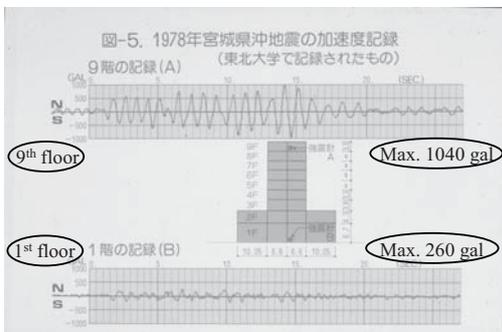
Damage to water tank  
Uppermost small parts suffer from severe damage  
(1989 Loma Prieta, California Earthquake)



Unusual mid-story collapse  
1995 Hyogo-ken-nambu (Kobe) Earthquake

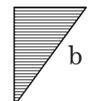
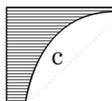
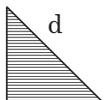
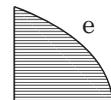
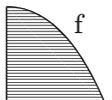


(1995 Kobe Earthquake)



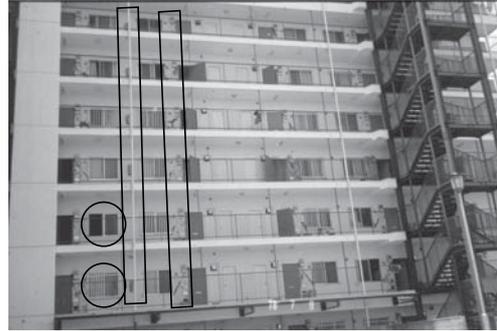
Acceleration time histories of 9<sup>th</sup> floor & 1<sup>st</sup> floor  
(1978 Miyagi-ken-oki Earthquake)

Three typical distributions  
of seismic force parameters

	(1) Uniform Seis. force	(2) Inverted triangular	(3) $\sqrt{\alpha}$
Seismic force (coeff.)	 a	 b	 c
Seismic shear force	 d	 e	 f



(1978 Miyagi-ken-oki Earthquake)



Damage to non-structural elements  
(1978 Miyagi-ken-oki Earthquake)



(1978 Miyagi-ken-oki Earthquake)



Overturning of furniture  
(1978 Miyagi-ken-oki Earthquake)



Damage caused by soil failure  
(1993 Kushiro-oki Earthquake)



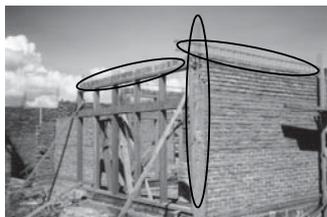
Damage caused by soil failure  
(1974 Izo-oshima-kinnkai Earthquake)

## Non-Engineered Construction and its Earthquake Damage

- Non-engineered buildings are spontaneously and informally constructed in the traditional manner without intervention by qualified architects and engineers in their design.
- Non-engineered construction is most common construction technique in the world and also most vulnerable against earthquakes.



Un-reinforced brick masonry with no columns and beams (Java, Indonesia)



Un-reinforced brick wall farmed with RC columns and beams (Confined masonry)

Wood frame for door sash can support brick wall.

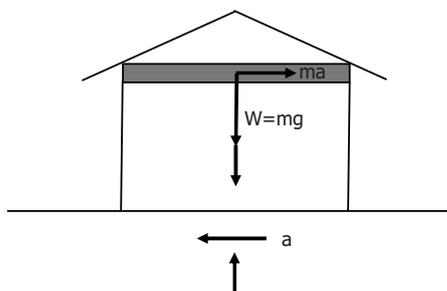


Wooden houses have better performance against EQ's.

Roof sheathing board is not used.



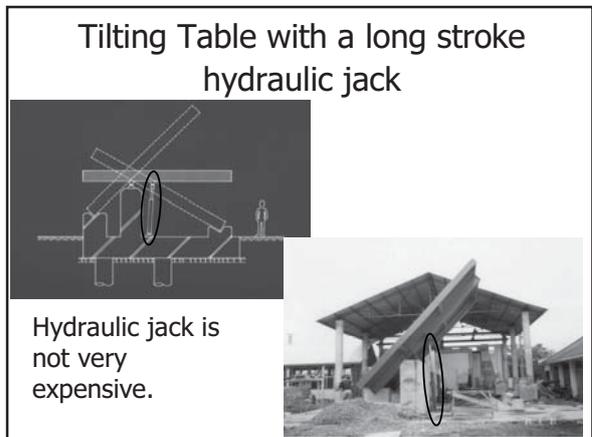
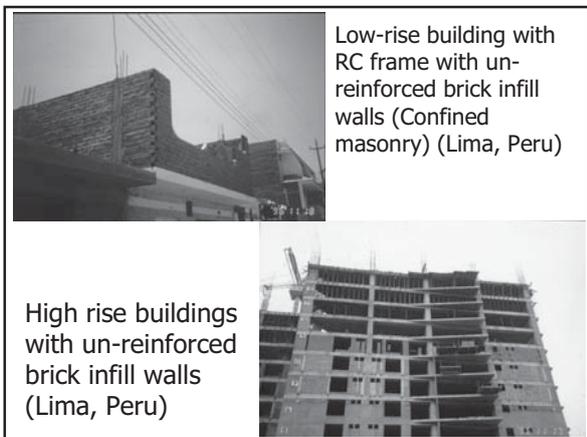
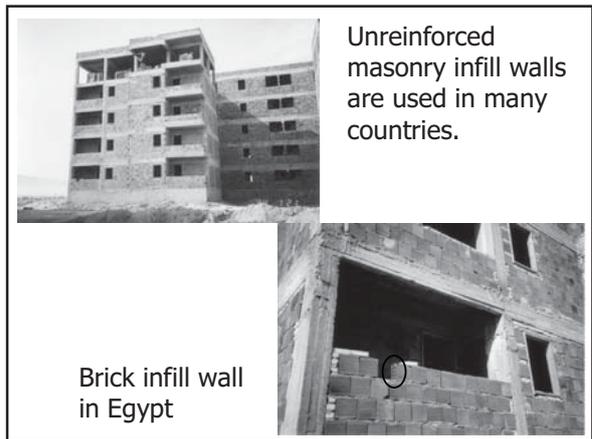
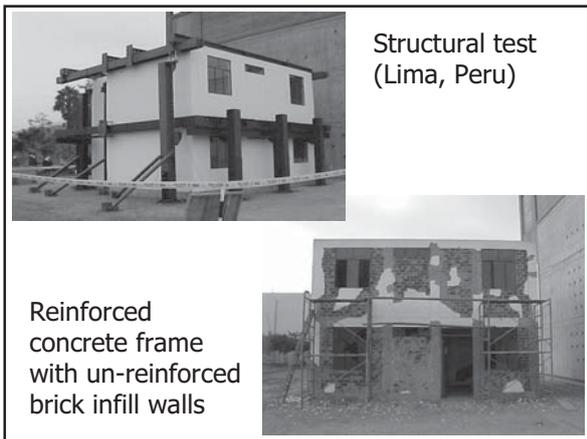
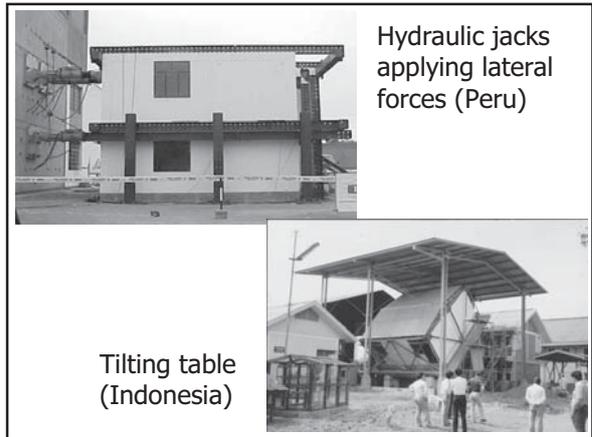
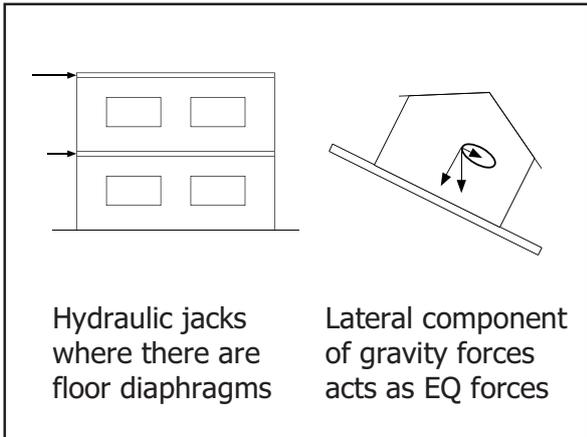
Seismic force is the inertia force.

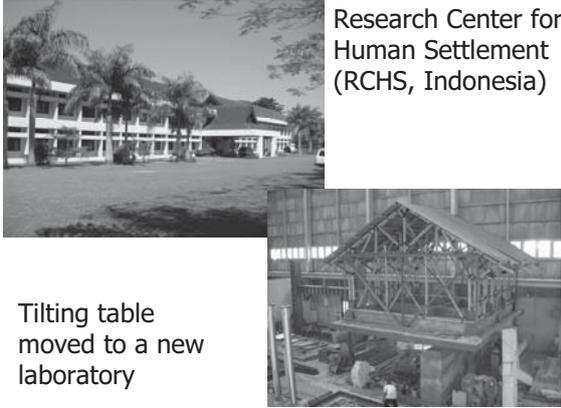


Damage to brick masonry, most of them have no reinforcement.

2006 Central Java, Indonesia EQ







Research Center for Human Settlement (RCHS, Indonesia)

Tilting table moved to a new laboratory

Tilting Table in Cuzco, Peru




Damage to Adobe (sundried mud block) construction (1996 Nazca, Peru EQ)

Damage to Adobe (2001 Atico, Peru EQ)



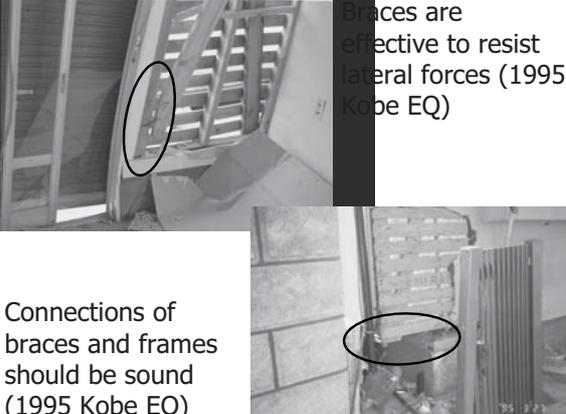
Damage to Tapial construction (1990 Peru EQ)

Tapial is cast-in-place mud construction (1990 Peru EQ)



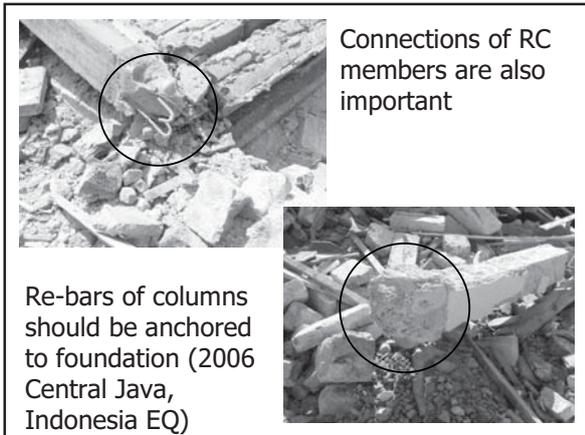
Damage to Japanese traditional wooden houses (1995 Kobe EQ)

Narrow boards nailed to frame cannot resist lateral forces (1995 Kobe EQ)



Braces are effective to resist lateral forces (1995 Kobe EQ)

Connections of braces and frames should be sound (1995 Kobe EQ)



Connections of RC members are also important

Re-bars of columns should be anchored to foundation (2006 Central Java, Indonesia EQ)

### Haiti Earthquake

- Widespread damage: lack of attention and construction to the possibility of earthquakes
- The earthquake did not produce sufficient to severely damage well-engineered structures.
- Many bearing-wall structures survive the earthquake, even though they are unlikely to have ductile details.

USGS/EERI Advance Reconnaissance Team Report, Feb 18, 2010

### Key Requirements

- Quality of materials
- Structural members
- Connection of structural members

(After 2006 Central Java, Indonesia EQ)

### Guidelines for Earthquake Resistant Non-Engineered Construction

Revised Edition (1986)

International Association for Earthquake Engineering (IAEE)

Anand S. Arya (India)  
 Teddy Boen (Indonesia)  
 Yuji Ishiyama (Japan)  
 A. I. Martemianov (USSR)  
 Roberto Meli (Mexico)  
 Charles Scawthorn (USA)  
 Vargas Julio N. (Peru)  
 Ye Xiaoxian (China)

### Easy to understand with many illustrations

### Applicable at construction site

Down Load  
[http://www.nicee.org/IAEE\\_English.php](http://www.nicee.org/IAEE_English.php)

If you have interest, please contact

Anand S. Arya : [anandsarya@gmail.com](mailto:anandsarya@gmail.com)

Teddy Boen : [tedboen@cbn.net.id](mailto:tedboen@cbn.net.id)

Yuji Ishiyama : [to-yuji@nifty.com](mailto:to-yuji@nifty.com)

Thank you  
for your attention

#### 4. Session 1

“Vulnerability of non-engineered houses and efforts to make them safer”



## 4.1 Outline of the joint research

Presented by Kenji Okazaki, Professor, National Graduate Institute for Policy Studies (GRIPS)

政策研究大学院大学 教授 岡崎健二

International Symposium on "More Resilient Non-engineered Houses for Earthquake Disaster Reduction"  
26 February 2010, GRIPS, Tokyo

**SESSION 1:  
"VULNERABILITY OF NON-ENGINEERED HOUSES AND EFFORTS TO MAKE THEM SAFER"**

Session 1:  
"Vulnerability of non-engineered houses and efforts to make them safer"

- Objectives of the session  
To share some findings from the joint survey on "non-engineered houses in developing countries" and share the efforts and activities to make them safer.
- Presentations
  - Peru: Japan-Peru Center for Earthquake Engineering and Disaster Mitigation (CISMID)
  - Indonesia: Center for Disaster Mitigation, Institute of Technology Bandung (ITB)
  - India: SEEDS
  - Nepal: NSET-Nepal
  - Pakistan: Preston University
  - Turkey: Istanbul Technical University (ITU)

**A Study on Non-engineered Construction**

- Objective of the study  
To collect basic data on non-engineered houses in developing countries to better understand their actual conditions and practices.
- Method of the Study  
The study is Jointly conducted 2009-2010 by Building Research Institute (BRI) and National Graduate Institute for Policy Studies (GRIPS) together with the partner institutions in Peru, Indonesia, Nepal, Pakistan, India, Turkey, and Egypt.
- Data collection  
The partner institution conducted a field survey to 5 construction sites or more to collect necessary data, following the same data sheet.

**Data to be collected**

- General
  - Most common building types and their brief description
  - Technical requirements for the brick masonry construction
- Field Survey at 5 construction sites
  - Location, construction cost, soil type, building function, size and area, foundation type, masonry type, plan and elevation, etc.
  - Wall: material, thickness, height to thickness ratio, opening ratio
  - Beams and columns: material, yield strength, steel bars and stirrup
  - Roof structure and connection of structural elements
  - Non-structural elements: Roofing material, floor material
  - Masonry: brick/stone, grout mortar, plaster
  - Concrete: compression strength, aggregates, composition, water/cement ratio
  - Steel: strength and durability
  - Contractor: number of workers, skill, education

## 4.2 Report from Peru

Presented by Carlos Zavala, Director, Japan Peru Center for Earthquake Engineering and Disaster Mitigation (CISMID) / ペルー日本地震防災センター 所長 カルロス・サバラ

**Vulnerability of  
Non-engineered Buildings  
And Efforts To Make Them  
Safer**

Dr. Carlos Zavala  
Msc. Lourdes Cárdenas  
Msc. Jenny Taira  
Eng. Francisco Ríos

February 2010

Japan-Peru Center for Earthquake Engineering Research and Disaster Mitigation-CISMID  
National University of Engineering



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

**What is a non engineered building?**

- Housing build without standards and quality control



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

**What is a non engineered building?**

- Building that try to imitate conventional structural system without engineer assistance



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

**What is a non engineered building?**

- Building that try to imitate conventional structural system without engineer assistance



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

**What is a non engineered building?**

- Building without elements of reinforce



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

**What is a non engineered building?**

- Housing build without previous studies of soil, materials, disasters hazards



Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

C. Zavala  
CISMID-FIC-UNI

## What is a non engineered building?

- Housing build by their or owner or an empirical technician.



Symposium on Non-engineered Houses  
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CISMID-FIC-UNI

## Factors that contribute with vulnerability

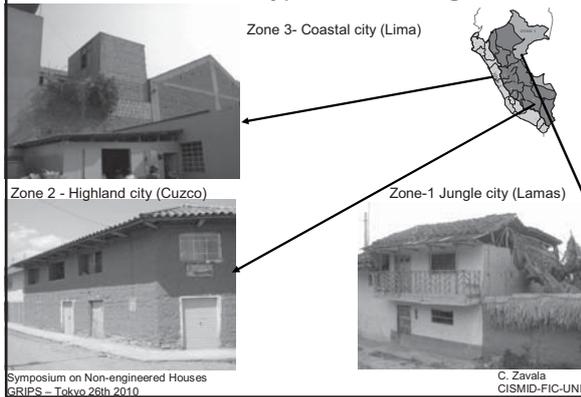
- Soil conditions
- Topography
- Morphology of roof systems
- Non reinforce elements
- Seismic Zone
- Type of housing



Symposium on Non-engineered Houses  
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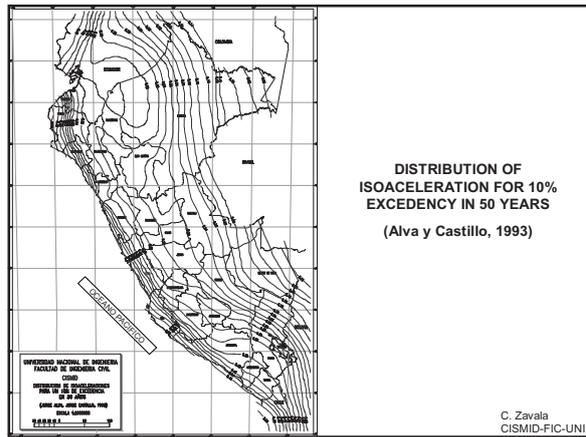
C. Zavala  
CISMID-FIC-UNI

## Zone and type of Housing



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## Coastal City Lima

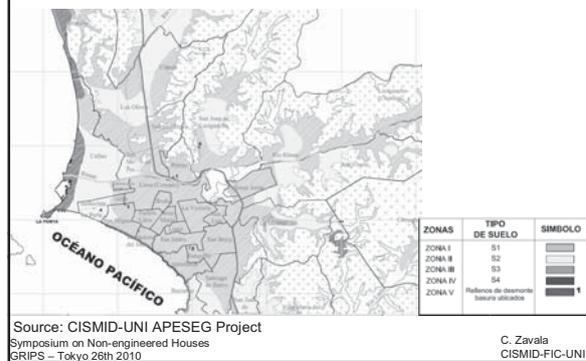


- Capital city of the country
- Location: Western coast over the Pacific Ocean
- Population: 8'219,000 Inhab.
- Size: 2,800 sq meter.
- Growth rate in last five years: 1.5%
- Average income: US\$ 500.00
- Common types of buildings: Confined masonry, unconfined masonry walls buildings, concrete resistant frames.

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## Lima Microzonification and Soil types



C. Zavala  
CISMID-FIC-UNI

## Expected Intensities in Lima



Source: IGP  
Symposium on Non-engineered Houses  
GRIPS - Tokyo 26th 2010

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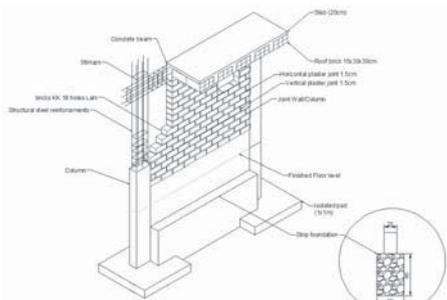
## Surveyed locations



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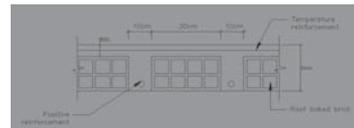
## Basic scheme of Masonry Construction in Lima



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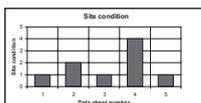
## Typical Roof System



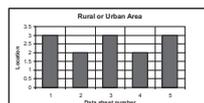
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CISMID-FIC-UNI

## Statistical results from survey Site condition and location



- (1) Flat gentle slope
- (2) On step slope
- (3) Under slope

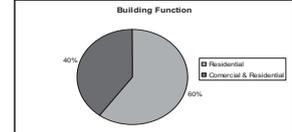
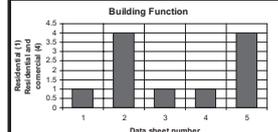


- (2) Semi Urban
- (4) Urban

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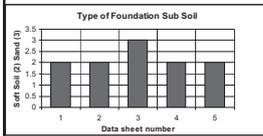
## Statistical results from survey building function



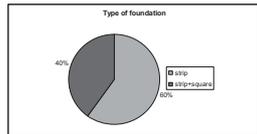
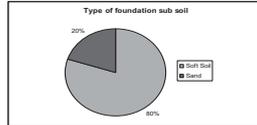
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## Statistical results from survey Type of foundation and soil



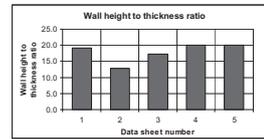
(2) Stripe  
(4) Stripe and square



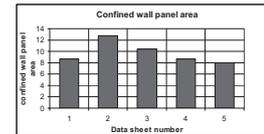
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## Statistical results from survey Wall Information parameters



Height / thickness ratio  
Average 17.9

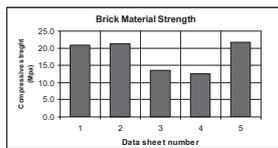


Confined panel  
Average

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## Statistical results from survey Wall Information parameters



Brick Material strength  
Average 17.9 MPa

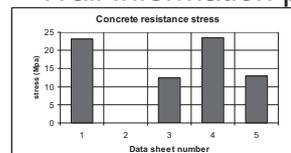


Average thickness mortar  
16 mm.

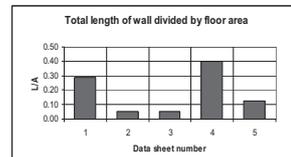
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## Statistical results from survey Wall Information parameters



Concrete strength  
Average 14.4 MPa



L/A= Length/ Area

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## Efforts to improve Non engineered housing

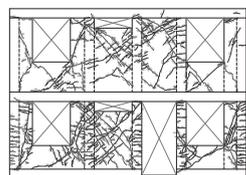
2001-2003  
Construction Technology Development and Promotion  
Program by MLIT, IDI, JAPAN in cooperation with  
CISMID



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## Final state of house



Drift  
1/65



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### Use of wire mesh for reinforce masonry walls

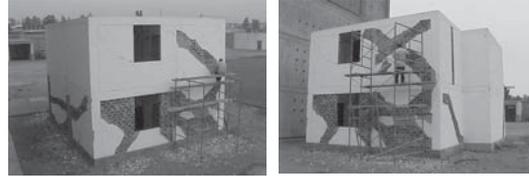


- Wire mesh
- Nails and wire
- Epoxy
- Mortar
- Tools

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### Procedure for reinforce masonry walls



- Put out the plaster
- Reduce the wall thickness



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### Procedure for reinforce masonry walls



- Fix the wire mesh (4"x4" with 4 mm.) with nails on both directions each 50 cm. and use the cracks for fix the wire.

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### Procedure for reinforce masonry walls



- Put mortar of cement sand ratio 1:4



- Final plaster

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## Efforts to improve Non engineered housing

2004-2006  
Dissemination of Seismic Adobe Houses by JICA, in cooperation with SENCICO (National Services of Training for the Construction Industry) and CIDAP, Peruvian NGO.

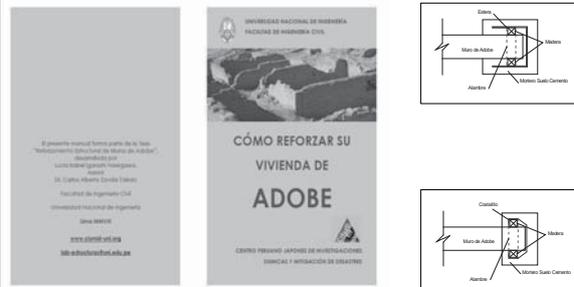


Model house in Cañete, no damage in 2007 Pisco earthquake

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## Efforts to improve Non engineered housing



Proposal for reinforce adobe walls on existing housing  
Eng. Lucia Igarashi – Dr. Carlos Zavala

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## Manual for reinforce adobe existing walls

### ¿Qué necesita para el reforzamiento?

Se requieren los materiales básicos en el cuadro a continuación. Los componentes referenciados:

Material	Descripción	Cantidad
Armadura	Alambre #10 x 1.10	Depende del tamaño de la estructura
Armadura	Armadura #10 x 1.10	Depende del tamaño de la estructura
Armadura	Armadura #10 x 1.10	Depende del tamaño de la estructura
Armadura	Armadura #10 x 1.10	Depende del tamaño de la estructura
Armadura	Armadura #10 x 1.10	Depende del tamaño de la estructura
Armadura	Armadura #10 x 1.10	Depende del tamaño de la estructura

Considerar la siguiente información:

- Trabaja con áreas de 10' x 10' como máximo.
- Usa siempre cinta adhesiva.

### PROCEDIMIENTO

**SEAL** Preparación del terreno (ver anexo 1):

- Limpieza del terreno.
- Nivelado del terreno.
- Marca de la estructura.
- Marca de la armadura.
- Marca de la estructura.

**SEAL** Preparación de la estructura:

- Alinear la estructura de acuerdo a la marca que tenga una computadora (ver anexo 2).
- Construcción de la estructura de acuerdo a la fuerza natural de la tierra.

**SEAL** Preparación de la estructura:

- Si la fuerza de la tierra es fuerte, preparar la estructura de acuerdo a la fuerza de la tierra.

**SEAL** Preparación de la estructura:

- Si la fuerza de la tierra es débil, preparar la estructura de acuerdo a la fuerza de la tierra.

**SEAL** Preparación de la estructura:

- Si la fuerza de la tierra es débil, preparar la estructura de acuerdo a la fuerza de la tierra.

• Realizar el material de acuerdo a la fuerza de la tierra. Preparar una estructura de 10' x 10' con un espacio de 10' x 10' entre las estructuras. El espacio es una estructura de 10' x 10'.

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## Dynamic tests through shaking table 1/8 scale at CISMID-UNI Lab



NON REINFORCE



WOOD+MATS REINFORCE

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## Construction of the non reinforce specimen



earth



Mud blocks



First layer



Finishing model

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## Construction of the reinforce specimen



Footing



Mats + wood



Reinforce



Increment of Section

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## Shacking Table Test on 1/8 specimens



NON REINFORCE

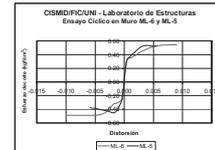


WOOD+MATS REINFORCE

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## Efforts to improve Non engineered housing



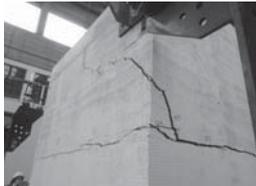
Proposal for reinforce adobe walls on existing Housing - JICA Project - Professor

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CISMID-FIC-UNI

## Efforts to improve Non engineered housing

ID	Tipo	Refuerzo Horizontal			Refuerzo Vertical	Material
		Norte	Sur	Ortogonal		
MC-1, MC-2	C	Caña partida @ 4 hiladas	Caña partida @ 2 hiladas	Caña partida @ 4 hiladas	Cañas @ 500 mm	Adobe
ML-1, ML-2	L	Geomalla @ 4 hiladas	-	Geomalla @ 4 hiladas	Columnetas de Concreto	Adobe
ML-3, ML-4	L	Geomalla @ 7 hiladas	-	Geomalla @ 7 hiladas	Columnetas de Concreto	Adobe
ML-5, ML-6	L	-	-	-	Varillas de $\Phi 3/8"$	Tapial



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## CONCLUSIONS

- Survey methodology was applied on 6 countries producing interesting data.
- Diverse documents has been developed for improve the resistance of walls.
- CISMID proposal for masonry walls, and adobe walls are an alternative for contribute to have a safer non engineered house.

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Thank you  
Sulpaa  
Gracias

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### 4.3 Report from Indonesia

Presented by Dyah Kusumastuti, Associate Professor, Institute of technology Bandung (ITB)

バンドン工科大学 准教授 ダイア・クスマステュティ

## Data Collection on Non-Engineered Construction in Indonesia

**Case Study: Bandung, Indonesia**

Dyah Kusumastuti  
Krishna S. Pribadi

Center for Disaster Mitigation  
Institut Teknologi Bandung

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## Introduction

- Indonesia has high seismic risk
- Past earthquakes show that structural damages due to earthquake caused many fatalities and economic losses
- 70 percents of buildings in Indonesia are non-engineered structures, i.e. built traditionally with very little or no assistance from engineers,
- Most buildings affected by earthquake are non-engineered structures, including houses and public facilities
- Occupancy rates for public facilities are high
- Experience shows that:
  - Good quality** of non-engineered structures **can survive** earthquake with little or no damage
  - Poor quality** of non-engineered structures are **vulnerable** to earthquakes, and the occupants are susceptible to earthquake

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**Bandung**

**Jakarta**

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## Seismic Zonation of Indonesia (based on Seismic Risk Analysis)

KOEFISIEN  $C_s$  UNTUK BEBERAPA WILAYAH DI INDONESIA  
(500-YEAR RETURN PERIOD FOR HARD SOIL)

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## Vulnerability is increasing in how people live

Change of habitat style, inadequate building practices

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## Change in building technology

Traditional house on stilts

New type of (inadequate) houses in masonry....

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## Problems Found on Non Engineered Structures

- Problems mainly due to minimum reference to codes:
  - No/minimal verification of design adequacy
  - Structures are built by local masons/workers, using local materials and traditional construction methods
  - Minimum supervisions during construction
  - Building permits may be issued without proper inspections
- Typical problems on buildings:
  - Improper structural design (structural irregularities, inadequate, structural elements, heavy masses for roofs or facades)
  - Poor detailing
  - Wide variety of quality of materials
  - Wide variety of construction methods

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## Problems Found on Non Engineered Structures



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## Damage on Non Engineered Structures



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## Improving Performance of Non-Engineered Structures

- Structures should be built properly according to the building codes/standards
- Better understanding of earthquake hazard and structural behavior due to earthquake
- Efforts should be:
  - Multidisciplinary aspects
  - Involve all parties in building construction
  - On national level
- Improvement should consider building functions, occupancy, and available resources
- Different approach should be used for new buildings and existing structures

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## Improving Performance of Non-Engineered Structures

- Possible approach for **new buildings**:
  - Development of system for dissemination of building standards/codes
  - Publication of national standard of field manuals and guidelines for proper design and construction for non-engineered structures
  - Installment of system for strict enforcement (regulations) for building construction
  - Introducing a common perception of damage level in educating the community regarding buildings' safety and earthquake vulnerability
  - Development of seismic risk map for Indonesia that considers local soil characteristics and potential seismic sources
  - Development of appropriate building technology using local materials and local construction techniques
- Possible approach for **existing structures**:
  - Evaluation of existing structural conditions to improve safety against future earthquake risk.
  - Conducting appropriate retrofitting strategy for structures with deficiencies and poor quality
  - Buildings with high occupancy rates such as school buildings should have higher priority for technical evaluation and possible retrofitting efforts

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## Research Collaboration of CDM ITB – GRIPS

- Project:
  - Data collection on non-engineered construction in developing countries
- Background:
  - Many buildings were damaged due to recent earthquakes in developing countries
  - Damage on buildings caused casualties and economic losses
  - Most buildings in developing countries are non engineered structures
  - Majority of damaged buildings are non engineered structures
- Objectives:
  - To better understand the current situations and practices of the non-engineered construction in developing countries
  - To develop appropriate technologies and policies to reduce the vulnerability of non engineered construction against earthquakes

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## Field Survey (January – February 2010)



- Location: Bandung City
- Information on Bandung:
  - Capital of West Java Province
  - 107° 36' East and 6° 55' South
  - Southern Bandung until the line of grade crossing is relatively flat, while the northern part is mountainous.
  - Consisted 30 districts (Kecamatan), and the population reached 2,390,120 persons (2008).
  - Growth rate in the last five years is about 1.73%
  - Average of local income of population per year is IDR 26.3 million/USD 2,874 (2008)
  - Potential hazards are earthquake, flood, wind/storm, and landslide

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## Location of the Surveyed Construction Sites in Bandung



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## Surveyed Construction Sites



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## Building Regulations

- Indonesia has a national building law (UU No.28/Th.2002)
- No building code for non-engineered structures, but the national government provided some guidelines of earthquake resistant construction for non-engineered building
- Building law is mandatory for whole country, but implemented through Government Regulations and/or other related laws including Local Government Regulations.
- Not all local governments in Indonesia have local regulation on building construction.
- In Bandung City, building regulation is mandatory by local authority regulation and each building construction should have building permit.
- However, many building constructions in Bandung City were found with no building permit.

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## Typical Non Engineered Structures

- **Reinforced Concrete with Infill Masonry Walls Building**
  - Relies on the reinforced concrete columns and beams as the main load bearing structural elements.
  - Masonry infill walls will behave as strutting components when the lateral loads are applied.
- **Confined Masonry Building**
  - Relies on masonry walls as the main load bearing structural elements.
  - Confinement also contributes to maintain the integrity of the wall.
  - Confinement can be of various systems, such as practical columns/beams, and iron wire mesh.
  - Most structures in Bandung are confined by reinforced concrete practical columns/beams.
- **Unconfined Masonry Building**
  - Relies on the wall as the only load bearing structural elements.
  - No confinement or reinforcement used on this type of building.
  - Rarely found in Bandung area.

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## Survey Findings

- The survey was conducted to study the characteristics of non engineered constructions in Bandung, and to assess their vulnerability against earthquake.
- The survey sites only consists of a very small population (7 samples) of non engineered buildings in Bandung city. Therefore, the results may not represent the typical conditions of non engineered buildings in the area.
- All buildings surveyed were located at the flat/gentle slope area.
- The construction cost could not be estimated because it depended on the availability of the budget.
- Most buildings use simple equipments for construction.



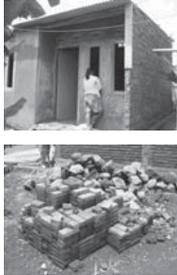
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## Survey Findings

- Fired brick wall is still the most popular material for wall construction.
- The arrangement of bricks in unconfined masonry was found to be better than in confined masonry / reinforced concrete frame with infill walls
- Most buildings use sideways roof structure.
- The use of light steel truss for roof structure is increasing.
- Few workers had some knowledge on determining proper spacing of stirrups at joint and midspan
- Problems on connections and detailing



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## General Problems

- Improper detailings
  - Use of plain rebars as longitudinal rebars
  - No seismic hook on transverse reinforcements
- Improper connections of buildings elements (orthogonal walls, column and walls, beams and columns)
- Most craftsmen do not have formal training on building constructions and they obtained their skill from practices/experiences.
- Many building owners and craftsmen have limited knowledge on proper construction methods, and they do not consider earthquake as potential hazard.
- Guideline for non engineered structures is not well disseminated.
- Some owners tend to lower the structural quality to reduce the construction cost due to limited budget, although craftsmen may understand that the practice is not appropriate.



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## Recommendations

- Guideline for non engineered structures should be well disseminated, and the implementation should be enforced by regulation, i.e., building permit.
- Workers should be educated on simple earthquake resistant constructions to produce good quality of building.
- Wall reinforcement should be explored to strengthen wall elements and to reduce the risk of damage due to earthquake.
- Considering the increasing use of light steel trusses, there is a need on developing specific national codes for light steel construction.



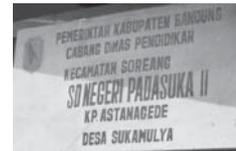
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## SD Padasuka II

- Located in Kabupaten Bandung, West Java, with moderate seismic risk
- High occupancy during the day
  - 400 students
  - School time: 7:00 – 17:00
- Building layout
  - 2 buildings, 4 rooms each
- Structural system
  - Unconfined masonry structures



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## CDM ITB - UNCRD Collaboration on Reducing Vulnerability of School Children to Earthquake

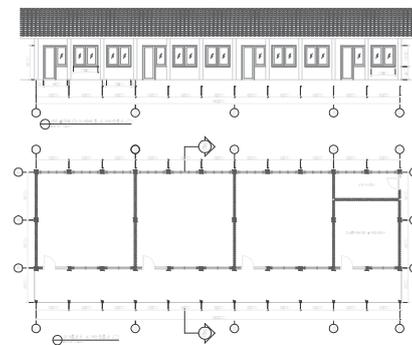
- School Earthquake Safety Initiative (SESI)
- **Background** of project:
  - School buildings need to perform well under earthquake loads
  - Children are more vulnerable during the earthquake
  - School buildings may be used for emergency facilities in post-earthquake recovery efforts, thus need to behave elastically under earthquake loading
- **Objectives** of project:
  - Reducing vulnerability of school children to earthquakes
  - Reducing number of victims due to earthquakes
  - Preparing school communities/elements in facing earthquake disaster
- **Participants**
  - SD Girateun Kulon II, Bandung
  - SD Padasuka II, Bandung

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## Typical Layout of SD Padasuka II



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## Existing Condition of SD Padasuka II



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## Structural Survey

- Similar structural system for both buildings
- Unconfined masonry structures
- Inadequate foundation system
  - Shallow foundation, no tie beam
  - Soil cover and support eroded on some parts
- Inadequate roof system
  - Poor connection of roof system and walls
  - Poor quality of roof truss members and connections
  - Roof was deformed on top chord
- Damage on walls with cracks and gaps
- Conclusions:
  - Inadequate structural system to support lateral loads
  - Poor quality of materials and detailing
  - Need of finishing/cosmetic repair and improvement on sanitation facility

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## Retrofitting Strategy of SD Padasuka II

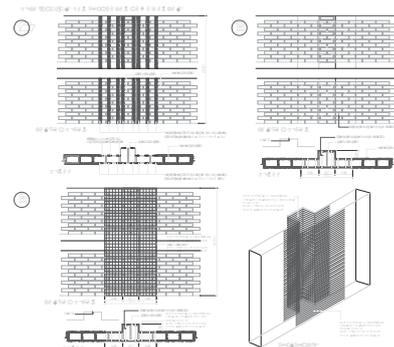
- Retrofitting strategy
  - Install columns with footings on corners
  - Install wire mesh for strengthening wall elements
  - Add double tie beams for better foundation system
- Improvement for structures:
  - Replacement of roof truss members and installing proper detailing of roof truss systems
  - Repair of nonstructural elements, e.g. doors, windows, and ceilings
  - Repair of sanitary facilities

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## Design of Retrofitting of SD Padasuka II

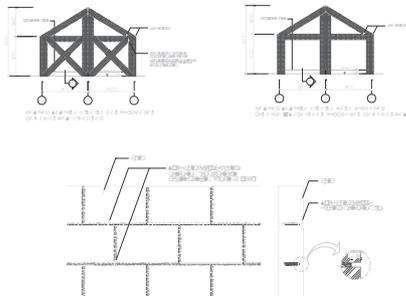


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## Design of Retrofitting of SD Padasuka II

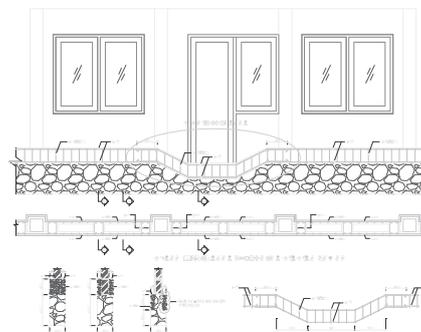


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## Design of Retrofitting of SD Padasuka II

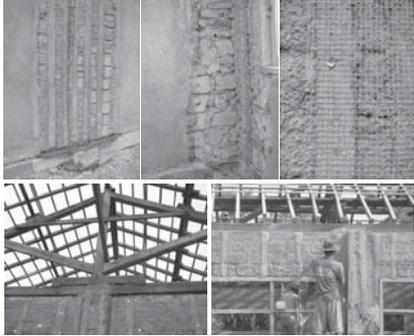


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## Implementation of Retrofitting of SD Padasuka II



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## Building Performance during West Java Earthquake

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## Performance of SD Padasuka II



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## Performance of School Building in Soreang



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## Building Performance during West Java Earthquake

- Damage were non structural and required *finishing/cosmetic repair*
- Minor cracks were found near openings and connections to plafond
- Damage on the buildings were less severe compared to other buildings in the area with similar existing conditions
- Considering the condition prior to retrofitting projects, the structural repair was successful in improving structural performance against earthquake loads

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**THANK YOU**

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#### 4.4 Report from India

Presented by Nitin Verma, Senior Programme Officer, SEEDS

シーズ シニアプログラムオフィサー ニティン・ヴァルマ



**“Vulnerability of Non-Engineered Buildings and Efforts to Make them Safer in India”**

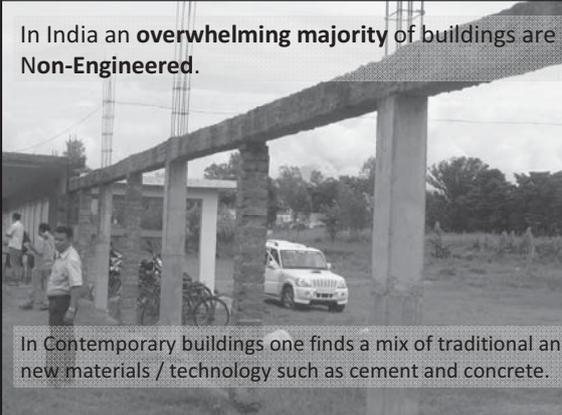
Presentation By:  
SEEDS Technical Services, India

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**“Vulnerability of Non-Engineered Buildings and Efforts to Make them Safer in India”**

- General Conditions of Buildings in India
- Findings from the Field Survey
- Efforts to tackle these Non-Engineered houses for structural improvement
- Based on the Outcome of the Survey It would be necessary to ....

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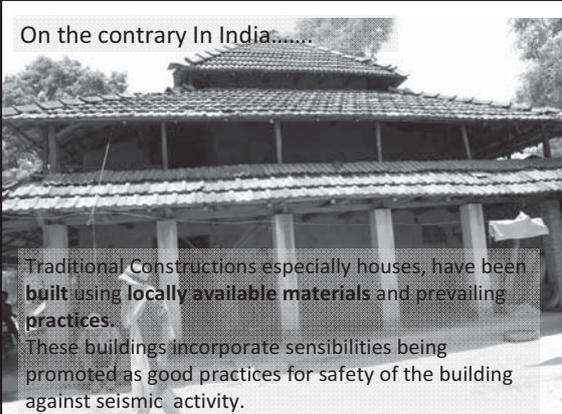
In India an **overwhelming majority** of buildings are **Non-Engineered**.

In Contemporary buildings one finds a mix of traditional and new materials / technology such as cement and concrete.

In India an **overwhelming majority** of buildings are **Non-Engineered**.

Baring exceptions a majority of these structures have no engineering input and the people who build them have no formal technical knowledge of construction.

For various reasons most of these buildings have not been built to withstand the forces of an earthquake.



On the contrary In India.....

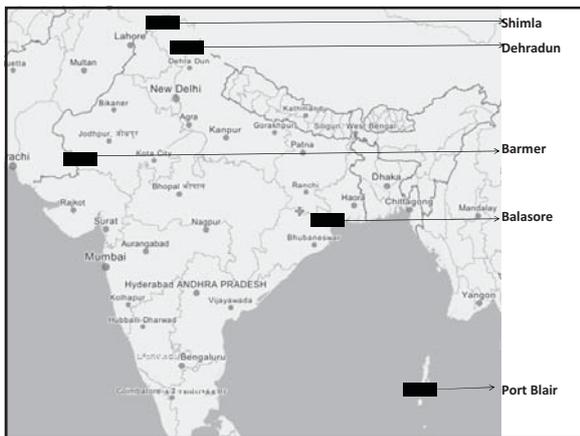
Traditional Constructions especially houses, have been built using **locally available materials** and prevailing practices. These buildings incorporate sensibilities being promoted as good practices for safety of the building against seismic activity.

- General Conditions of Buildings in India
- **Findings from the Field Survey**
- Efforts to tackle these Non-Engineered houses for structural improvement
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### Findings from the survey...

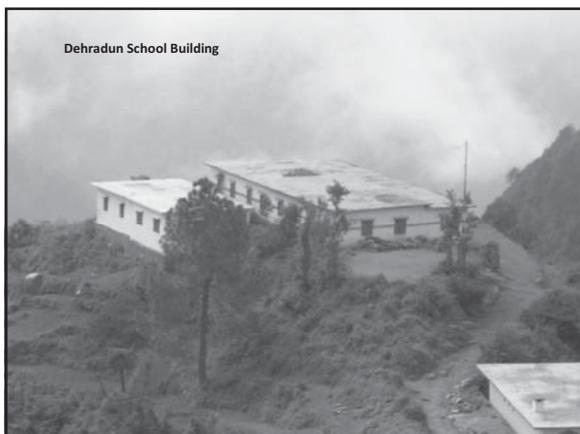
Selection of 5 sites for sample survey included selection on basis of practices and varied construction technologies

Sample Sites	1.	2.	3.	4.	5.
	<b>Balasure</b>	<b>Dehradun</b>	<b>Barmer</b>	<b>Portblair</b>	<b>Shimla</b>
<b>Category</b>	Traditional	Contemporary	Traditionally adapted	Contemporary	Traditional
<b>Construction Period</b>	August 2008 to Dec 2008	1995	Jan - July 2007	completed Jan 2008	1990
<b>Project Delivery Method</b>	Owner appointed	Public department (Govt.)	Community Driven construction	Trust owned	Private



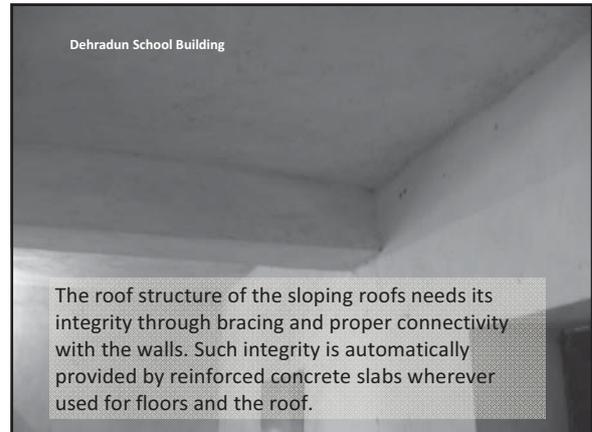
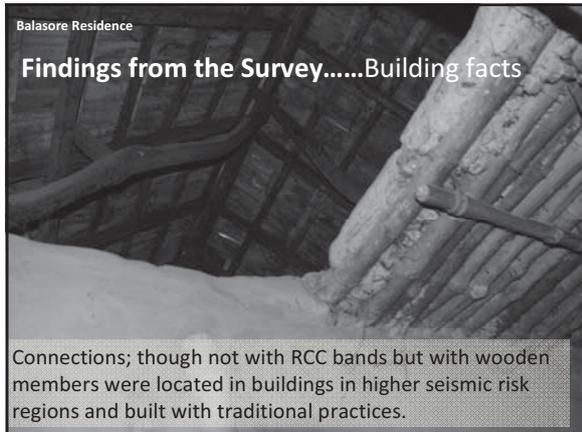
### Findings from the Survey.....Building Codes

- In India there is big gap between knowledge and practice.
- **Building Codes (NBC) and Regulations (BIS)** exist but are not enforced.
- Barring few local bodies in urban areas no agency is responsible for its enforcement especially in rural parts
- Now Guidelines have been issued for construction of Non-engineered buildings by NDMA.



### Findings from the Survey.....Building facts

- In traditional construction (and traditionally adaptive buildings) the storey height is controlled by limiting walls height to thickness ratio.
- All the buildings surveyed had small opening against high wall area to display the fact that care has been taken in design of structures for seismic resistance.



**Findings from the Survey.....Work Force**

- All mason teams who have worked on these projects have learnt these skills traditionally and were not exposed to any formal training or certification programme.
- The fact is that communities depend on these masons for technical advices and decides against calling an engineer or an architect.

- General Conditions of Buildings in India
- Findings from the Field Survey
- **Efforts to tackle these Non-Engineered houses for structural improvement**
- Based on the Outcome of the Survey It would be necessary to ....

**Efforts to tackle these Non-Engineered Buildings for Structural Improvement**

- Structural Retrofitting
- Strict Adherence to building codes in all future constructions
- Mason Certification programme

- General Conditions of Buildings in India
- Findings from the Field Survey
- Efforts to tackle these Non-Engineered houses for structural improvement
- **Based on the Outcome of the Survey It would be necessary to ....**

### **Based on the Outcome of the survey**

It would be necessary to.....

- *Noted the major deficiencies indicating non-compliance with Codal provisions.*
- *The house owner may need to be sensitized with the kind of damage to which his building may be subjected.*
- *Those deficiencies will need to be considered for upgrading the seismic safety by retrofitting the building suitably to prevent total or partial collapse of in future.*

## 4.5 Report from Nepal

Hima Shrestha, Senior Structural Engineer, National Society for Earthquake Technology (NSET)  
 नेपाल地震工学協会 (NSET) 構造専門家 ヒマ・シュレスタ

# Study of Non-Engineered Buildings in Nepal

**International Symposium on”  
More Resilient Non-engineered  
Houses for Earthquake Disaster  
Reduction**

**Hima Shrestha**  
**National Society for Earthquake  
Technology-Nepal(NSET)**

26<sup>th</sup> Feb 2010, Tokyo, Japan

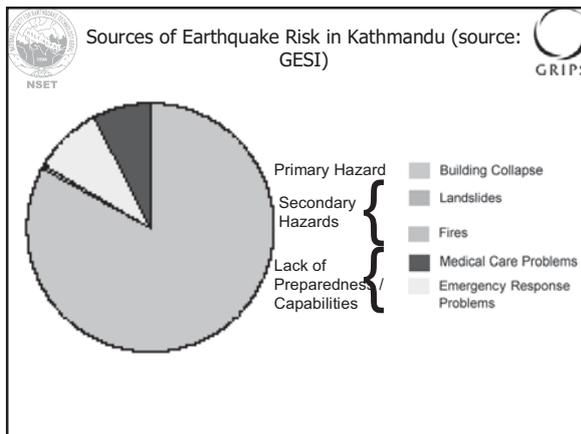




## Non-Engineered Building Types in Nepal



- Prevalent Building Types in Nepal
- Unreinforced masonry buildings mostly in semi urban and rural areas
  - Brick in cement in hilly and plain region and Stone in cement/mud in mountainous region
- More than 60% of the buildings are of these types (NSET, JICA 2001, Study on Earthquake Disaster Mitigation in Kathmandu Valley)
- RC buildings with brick masonry infill in urban and semi urban areas
- Non-engineered / Owner built
- Haphazard construction
- Urbanization and hike in land price
- Rise in building height



## Outcomes on Study of Non-Engineered Brick Masonry Buildings in Nepal



Location of survey area

- Three districts of Kathmandu Valley
  - Kathmandu
  - Lalitpur
  - Bhaktapur
- 2 types of brick masonry buildings prevails in Nepal
  - Brick in cement masonry
  - RC frame with brick infill





## Characteristics of Selected Brick Masonry Construction



- Unreinforced Masonry
- Mostly built by local masons and craftsmen
- With no consideration for earthquake

Typical structural details of selected buildings

- 230mm wall thick
- cement mortar
- Thickness of mortar layer-19mm
- Compressive strength of local bricks=6-10 MPa
- RC slab of 100mm thick
- Brick on edge over door/window
- No bands, vertical reinforcement and corner stitches





## Characteristics of RC Buildings with brick masonry infill



- Rapidly growing in Urban and Semi Urban region, Informal Construction
- Light frame irrespective to height
- Poor ductile detailing
- High seismic vulnerability/Experience from recent earthquakes

Typical structural details of selected buildings

- Column size 230 X 230 mm with 6 nos of vertical bar and 8 mm dia stirrup @ 150-200 mm spacing
- Beam size 230 X 325 mm
- Slab thickness = 100mm
- Grade of steel = 415 tor or 500, 550 TMT
- Concrete mix =1:2:4, Water poured from pipe
- Thickness of brick infill 230mm



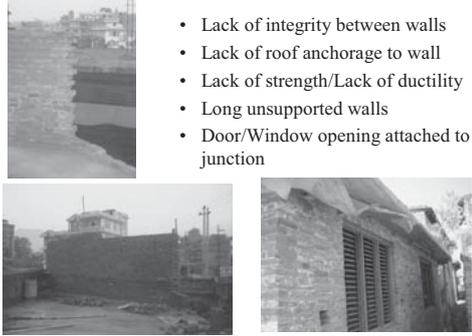
**Laboratory Experimental Test**

S.N.	Description	Compressive Strength (MPa)	Remarks
1	Concrete (1:2:4)	9.4	Corrected to 28 day strength, Water poured from pipe as per site
2	Concrete (1:1.5:3)	12.5	Corrected to 28 day strength, Water poured from pipe as per site
3	Brick (LYP)	9.86	Balkot, Hamiban, Imadole Site (Dry Test)
4	Brick (LYP)	8.03	Balkot, Hamiban, Imadole Site (Wet Test)
5	Brick (BBT)	6.55	Nankhel Site (Dry Test)
6	Brick (BBT)	5.91	Nankhel Site (Wet Test)
7	Brick (S)	13.69	Karipur Site (Dry Test)
8	Brick (S)	10.25	Karipur Site (Wet Test)

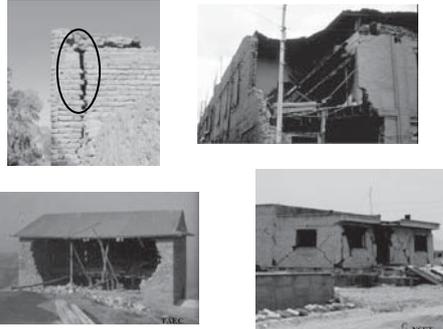


**Typical Deficiencies (Masonry Buildings)**

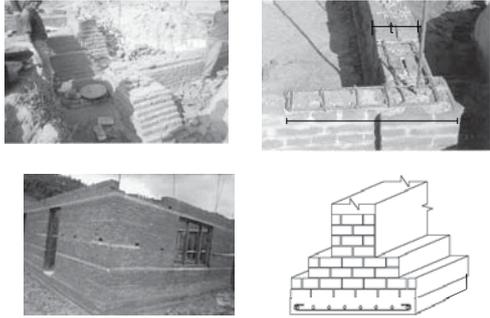
- Lack of integrity between walls
- Lack of roof anchorage to wall
- Lack of strength/Lack of ductility
- Long unsupported walls
- Door/Window opening attached to wall junction



**Probable Damage to Masonry buildings**



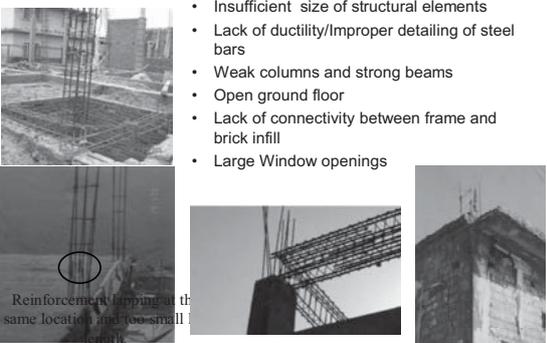
**National Building Code Requirement**



**Typical Deficiencies (RC Buildings with masonry infill)**

- Insufficient size of structural elements
- Lack of ductility/Improper detailing of steel bars
- Weak columns and strong beams
- Open ground floor
- Lack of connectivity between frame and brick infill
- Large Window openings

Reinforcement lap joint at same location and too small



**Probable Damage to RC buildings with brick infill**



**National Building Code Requirement**

Focus on Proper Configuration and Detailing

**Why Vulnerable Buildings???**

- Out of 75 districts Building Code is Mandatory only in 6 districts
- Building Code prevails but not practically implemented
- Lack of Awareness in community
- Lack of Ignorance because the last big earthquake was 76 years back
- Lack of monitoring from Government Agencies/Lack of resources
- Lack of capacity of Technicians/Engineers
- Knowledge gap between academic researchers and end users

**NSET Activities on Earthquake Risk Management**

- Earthquake orientation to community/various organizations for awareness raising
- Earthquake Safety day celebration
- Mason Training
- Engineer /Overseer Training
- School Earthquake Safety Programme
- Construction of earthquake resistant buildings/Retrofitting of buildings
- Free consultation every Friday for the general public
- Earthquake mobile clinic

**Intervention Options**

<ul style="list-style-type: none"> <li>• Suitable strengthening measures for non-engineered buildings in Nepal</li> <li>• Splint and Bandage</li> <li>• Reinforced Concrete Wall jacketing</li> <li>• GI Wire Mesh Wall jacketing</li> </ul>	<p><b>Reasons</b></p> <ul style="list-style-type: none"> <li>- Most economic out of various available methods</li> <li>- Practically feasible</li> <li>- Local materials and manpower can be used</li> <li>- Most widely used as viable methods</li> </ul>
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**Conclusions**

- Highly vulnerable building stock to impending earthquake
- New constructions at least should meet the building standard
- Strengthening of existing structures necessary to reduce the existing high vulnerability
- Challenge for the government, NGO's and INGO's and other stakeholders working for earthquake risk reduction
- Strategic approach has to be taken to make it practically feasible in developing countries like Nepal.

**Thank you for your kind attention !**

## 4.6 Report from Pakistan

Presented by Najib Ahmad, Project Manager, Preston University

プレストン大学 プロジェクトマネジャー ナジブ・アーメド

**INTERNATIONAL SYMPOSIUM ON “MORE RESILIENT NON-ENGINEERED HOUSES FOR EARTHQUAKE DISASTER REDUCTION”**



**February 26, 2010**

**National Graduate Institute for Policy Studies, (GRIPS) Tokyo**

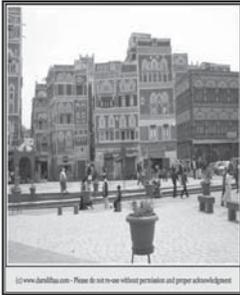
**“VULNERABILITY OF NON-ENGINEERED HOUSES AND EFFORTS TO MAKE THEM SAFER IN PAKISTAN”**

**DR. KENJI Okazaki – GRIPS, Japan**

Engr. Najib AHMAD - DRI – Preston University,  
Field Help by  
MR. GHULAM ABBAS, ETSSR Centre, Pakistan.  
Engineering Staff – DESIGNMEN  
Engr. Akash Shahzad Khan  
Engr. Asjid Ali  
Engr. Shahid Amin  
Engr. Muhammad Khurshid

### 1.0 Introduction

- In most deaths caused by earthquake, people are killed by their own houses.
- Majority of the world population in developing countries lives in their non-engineered abode, which are vulnerable to earthquake, and other disasters.
- Typical non-engineered multi-storey structures in burnt clay brick houses in Yemen (see photograph).



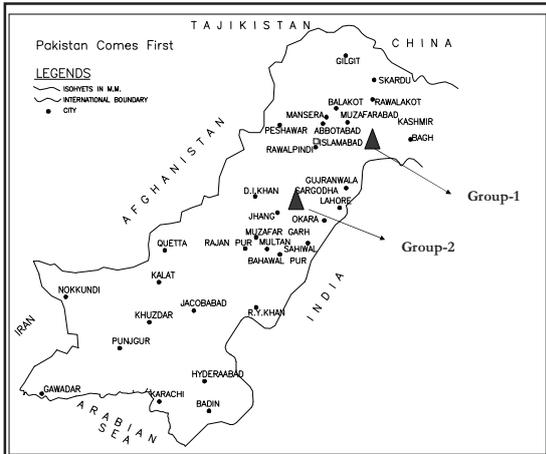
Typical buildings and multi-storey structures in burnt clay bricks

- The non-engineered houses in seismic zones are responsible for deaths upto (85%) of total casualties in an earthquake.
- This latest research, which has been initiated jointly by National Graduate Institute for Policy Studies (GRIPS) and Building Research Institute (BRI) on non-engineered buildings, which is initiated in six selected developing countries i.e. Peru, Indonesia, India, Nepal, Pakistan and Turkey.
- We are here to share the results of the survey to improve the safety of the non-engineered buildings.

### 2.0 Location and Features of Study Areas

- A study of typical non-engineered house construction in Pakistan in two areas.
- The research survey was conducted in central part of Pakistan where more than 60% of the total population resides.
- This Central part can be divided topographically into two regions i.e. Potohar Plateau and Plains of Punjab.

- To clearly appreciate and see, if different types of materials are being used in different areas.
- Both areas are 100 – 150 KM apart and have different types of soil conditions.
- One area is in North (near Islamabad) has an altitude of 1500 – 1800 feet (500 – 600 m.) from sea level, and seismically is in higher zone.
- Topographically it is a plateau and has stones, clay-stone and gravelly surface with ground water quite deep.
- The second area is in plains of Punjab, where generally the level is around 300 feet (100 m.) from mean sea level. The soil is mostly sand, silt and clay.



- The materials for manufacture of burnt bricks are different in both areas, similarly the sand being used in mortar/plaster both areas are from quite different source and constituents.
- The sand in north is mostly clear, particle size is larger, with smaller amount of clayey silt. The aggregate is also different, resulting in different strengths of concrete, with same volumetric ratios.
- Three typical houses were taken in each area, with a view to have a better understanding of construction being done in Pakistan.

- The location of 6 sites (GRIPS 1 TO GRIPS-6) within the two areas are grouped as described below:
- GROUP-1**  
i. Grips 1, 5 & 6 - Potohar Plateau
- GROUP-2**  
ii. Grips 2, 3 & 4 - Plains of Punjab (Hafizabad)
- The soil types and available sands used in mortar are:
1. Potohar Plateau - Lawrencepur Sand
  2. Plains of Punjab - Chenab Sand/Ravi Sand

Table of Duties							
Sr. No.	Project Site	Site Survey Date	Survey Conducted By	Description of Site Responsibility			
				Engr. Asjad Ali	Engr. Shahid Amin Khan	Engr. Akash Shukrad Khan	Engr. Khurshed
1.	GRIPS Site 01	24 - 12 - 09	Engr. Asjad Engr. Shahid Engr. Akash	Sample Preparation and Photography	Sample Preparation and data collection	Data Collection and Photography	N/A
2.	GRIPS Site 02	30 - 12 - 09	Engr. Asjad Engr. Akash	Site Selection and sample Preparation	Data Collection and Photography	N/A	N/A
3.	GRIPS Site 03	31 - 12 - 09	Engr. Asjad Engr. Akash Engr. Khurshed	Data Collection and sample Preparation	N/A	Sample Preparation	Sample Preparation
4.	GRIPS Site 04	01 - 01 - 10	Engr. Asjad Engr. Akash Engr. Khurshed	N/A	Data Collection, Photography	N/A	Sample Preparation
5.	GRIPS Site 05	13 - 01 - 10	Engr. Asjad Engr. Akash Engr. Khurshed	Data Collection and Photography	N/A	Sample Preparation and Photography	Sample Preparation
6.	GRIPS Site 06	14 - 01 - 10	Engr. Khurshed Engr. Shahid	N/A	Sample Preparation and Photography	N/A	Data Collection and Sample Preparation

### 3.0 General Condition of Non-engineered Houses in Pakistan

➤ Non-engineered houses, are vulnerable to any natural phenomenon like floods, tsunami, fire, mud slides etc., which can lead to a disaster, but earthquake are most important, as they are responsible for loss of lives in much greater number in a disaster.

➤ The vulnerability of these non-engineered house structures in Pakistan can be due to many reasons, the important ones are listed below; for the typical (most common) non-engineered house structure, which is made of burnt clay bricks;

(Some Photographs of Non-engineered structures)



- > Low quality of bricks.
- > Bricks not layed in proper systematic manner. When bricks are laid in mortar in a proper systematic manner, they form a homogenous mass, which can withstand lateral and vertical forces without disintegration.
- > Use of low cement-sand mortar ratio or use of mud mortar.
- > Large sizes of rooms, where the structure doesn't behave/act as a "box" or in other words the "shoe box effect" is lost due to abnormal sizes and unsymmetric geometry (See Fig .)



1. Box Structure



2. Unsafe \_\_\_\_\_

- > Similarly, other factors in structural elements like overhangs, small dia "verandah" columns made up of pipes which are vulnerable and can cause damage in an earthquake.
- > Abnormal height of rooms should be avoided.
- > Though RCC slab helps in certain level of stability, but it was seen that it caused much more damage, was responsible for deaths of children in schools (8<sup>th</sup> October, 2005 earthquake).
- > Therefore, lighter wooden/steel roof should be used.



Samples under preparation of project site.



Non-engineered house with columns in Verandah

4.0 Current Situation "Field Survey Result"

- > As indicated above 6 projects were under taken within the parameter of survey developed by the Center for **Disaster Mitigation-Institute Technology Bandung**, Indonesia, in collaboration with **GRIPS**, Tokyo, Japan.
- > The Data sheets, are used to collect and record the basic data on the non-engineered buildings, which includes the structural safety, construction work, quality of construction materials, current (technical) requirements pertinent to non-engineered structures, etc. consisting of quantitative as well as qualitative data.

- > The data on typical mortar being used for brick laying and plaster was collected and mortar cubes were got tested from standard laboratory of a Engineering University near Islamabad. Similarly, the concrete samples for quality of concrete being used in roof slabs was also collected and samples got tested.
- > Slump tests were made for each site, for fresh concrete when concrete slabs were poured. The results of slumps were recorded and noted (see Table 1.2).

- Similarly, relevant features of each project site was recorded for location of the house (site condition), Characteristics and types of hazards, soil type and condition, design intervention etc.
- A summary of the test results on different construction materials obtained, is presented below (See Table 1.3).

Table 1.2

Sr. No.	Project Site	Slump (mm)
1.	GRIPS Site 01	-----
2.	GRIPS Site 02	150
3.	GRIPS Site 03	Collapse
4.	GRIPS Site 04	102
5.	GRIPS Site 05	205
6.	GRIPS Site 06	101

Summary of Test Results for Data Sheets

Basic Data on Non – Engineered Buildings

Conducted & Prepared By :

DESIGNMEN Consulting Engineers (Pvt) Ltd.  
ETSSR CENTRE.

Tests Conducted at:  
University of Engineering & Technology, Taxila,  
Pakistan.

SUMMARY OF TEST RESULT FOR DATA SHEET

Sr. No.	Project Site	Compressive Strength of Concrete (Mpa)*		Compressive Strength of Mortar (Mpa) <sup>a</sup>		Crushing Strength of Bricks (Mpa)*	Tensile Strength of Reinforcement (Mpa)*(Bar No.)
		14 DAYS	28 DAYS	14 DAYS	28 DAYS		
<b>GROUP-1</b>							
1.	Grips Site - 01	-----	-----	4	4.45	9	562(#2), 570(#3)
5	Grips Site - 05	14	17.5	10	12.5	9	462(#4)
6	Grips Site - 06	20	25	11	13.75	10	460(#4)
<b>GROUP - 2</b>							
2	Grips Site - 02	15	18.75	7	8.75	9	347(#3), 390(#6)
3	Grips Site - 03	11	13.75	2**	4	4	318(#2), 401(#4)
4	Grips Site - 04	9	11.25	2**	4	10	318(#2), 401(#4)

\*Rounded up to the next whole number.

\*\* Compressive strength of mortar cube tested at 7 days.

Sr. No.	Project Site	Mix Ratio of Concrete	Mix Ratio of Mortar	Mix Ratio of Plaster
<b>Group 1</b>				
1	Grips Site - 01	1:2:4	1:6	1:4
5	Grips Site - 05	1:2:4	1:5	1:3
6	Grips Site - 06	1:2:4	1:5	1:4
<b>Group 2</b>				
2	Grips Site - 02	1:2:4	1:6	1:4
3	Grips Site - 03	1:2:4	1:4	1:4
4	Grips Site - 04	1:2:4	1:4	1:4
Sr. No.	Project Site	Cast Date of Test Samples	Test Date	Difference (Days)
<b>Group 1</b>				
1	Grips Site - 01	24-12-09	16-01-10	21

5	Grips Site - 05	13-01-10	01-02-10	14
6	Grips Site - 06	14-01-10	01-02-10	14
<b>Group 2</b>				
2	Grips Site - 02	30-12-09	16-01-10	14
3	Grips Site - 03 <sup>†</sup>	31-12-09	16-01-10	14
4	Grips Site - 04 <sup>†</sup>	01-01-10	16-01-10	14

<sup>†</sup> Reported to the nearest number of days as specified by codes.

<sup>††</sup> Compressive Strength of mortar cubes measured at 7 days.

### Mix – Ratios

- The mix ratio of concrete by volume at all sites was reported to be 1:2:4, i.e.;
- 1 part of cement.
- 2 parts of fine aggregates.
- 4 parts of coarse aggregates.
- Similarly for mortar and plaster the ratio ranges from 1:4 to 1:6, i.e.,
- 1 part of cement.
- 4/6 parts of sand.
- Where the above quantities are measured by volume

### 5.0 Comparison of Data

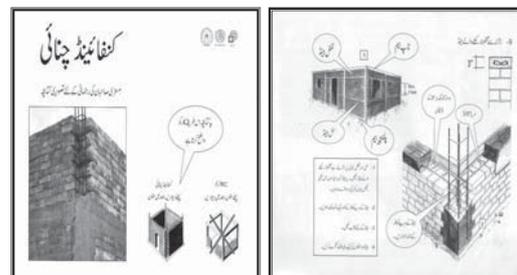
- The strength of mortar/plaster and concrete in the samples from Group-1 is better than that of Group-2 because of the possible variation of aggregates used as the aggregates found in the Potohar area are stronger than the river aggregate being used in plains. They have also better shape factor like less flaky etc.
- The quality of both the sands is different that is probably another reason for better strength obtained in GROUP-1, sample tests as compared to Group-2 result of mortar and concrete.
- The low strength of mortar used at site 1 is quite low even when taken at 28 – days possibly due to the reason that the mix ratio reported by the contractor was incorrect.

- The steel reinforcement being used in the plain areas of Group-2 are of much lower strength due to the fact that the bars are not being rolled according to the standard specifications. Most of the bars tested were under weight (Figure).
- The percentage elongation of the steel samples in the Group – 2 showed a higher value than that of Group – 1 probably due to the same reason described above.

- Bricks of the both area are of almost the same strength although the quality and strength is much lower as compared to the bricks being used in the houses where proper engineering design is involved.
- Another factor, which is not taken but people should be made aware of is curing. Even with low cement-sand ratio, better results are expected due to curing, and therefore, this should be emphasized. Water cement ratio may have also played some part in strength variation.
- No particular quality control system was found on the construction sites.

### 6.0 Efforts To Make Them Safer

- Efforts are afoot, after the great Northern Pakistan earthquake of 8th October, 2005 for improvement in construction of safer houses.
- The improvement in “risk perception” in general of the people and the resulting desire to have safer houses is leading people to ask question, about safety of their houses and buildings.
- Training to masons & contractors by UNDP, JICA, BRI etc. have contributed towards efforts to improve construction in earthquake prone areas.



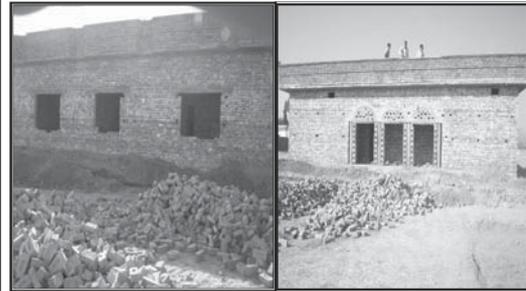
- > Efforts made to improve the minimum design standards which are applied without general intervention of the engineers (by different development authorities in some cities).
- > Awareness to improve construction techniques of bricks to have proper bonds to ensure the greatest possible interlocking for longitudinal and lateral strength of structure.
- > Awareness to Introduce confined masonry concept with columns and plinth beams, seismic based etc. this is the most simple and direct technique, which is gaining some respect. Some literature in this respect has been prepared by ERRA & UN HABITATE.

#### 7.0 Necessary Steps for Improvement/ Retrofitting these buildings in Pakistan.

- > As anticipated and is quite obvious house structures vulnerable to earthquake require to be designed properly or in case of non-engineered construction, some minimum parameters to be taught to the master masons, which can reduce the vulnerability of these houses.
- > The minimum parameters should be such that they can be followed easily, without engineering intervention and provide resistance for a certain level of earthquake.

- > For the existing structures, vulnerability reduction can be achieved by using some "minimum amount of Retrofitting, like strengthening of corners or strengthening of "verandah etc"., where support is provided through very vulnerable columns.
- > Another way can be by use of Light Weight roof, instead of heavy RCC slabs, which can cause much damage. This is being some what followed now in public buildings (engineered) but still the local house construction requires some minimum rules.
- > **Still much has to be done and awareness inculcated in the people for improvement in construction of non-engineered houses.**

#### 8. Photographs of the Typical Non-Engineered Building Sites



**THANK YOU**

## 4.7 Report from Turkey

Presented by Alper Ilki, Associate Professor, Vice Head of Department of Civil Engineering, Istanbul Technical University / *イスタンブール工科大学 土木工学科副長 准教授 アルパー・イルキ*

### A BRIEF LOOK AT THE TURKISH MASONRY BUILDING STOCK

**Mustafa Comert**  
**Alper Ilki**



*Photo: Aris Güller*

### Introduction

Istanbul is the heart of Turkey

Population: 13 000 000 (Turkey ~ 70 000 000)

Probability of M>7 EQ in few decades is over 50%

Foreseen death toll is around 75 000

Heavily injured around 120 000



### Introduction

Poor construction (existing buildings); RC, Masonry

All > NONENGINEERED (PARTIALLY-ENGINEERED?)

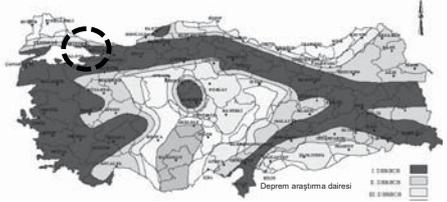
New buildings; RC, much better quality after 1999 Eqs

No new masonry buildings

76% of existing buildings RC + so-called RC

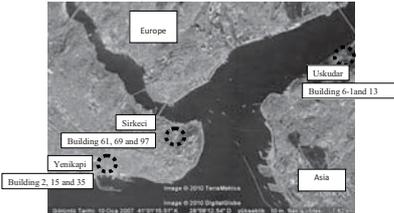
22% of existing buildings unreinforced masonry

### Seismic risk map of Turkey



Deprem araştırma daireleri

### Locations of the buildings



All 8 buildings are in the first level EQ zone

### Buildings

2, 15 and 35 in Yenikapi



61, 69 and 97 in Sirkeci



6-1 and 13 in Uskudar



- Wide openings
- Vertical discontinuities
- Existing damages
- Wall removals
- Story addition
- Non-orthogonal

## Structural materials

		Structural load bearings	Non-bearing partitions	Roof structure materials	Foundations
Yenikapi	Building 15	Fired Brick Walls	Fired Brick Walls	Reinforced concrete slab and wooden truss	Strip stone masonry
	Building 35	Fired brick and hollow brick walls and reinforced concrete columns	Fired Brick Walls	Reinforced concrete slab and wooden truss	Strip stone masonry
	Building 2	Fired Brick Walls	Fired Brick Walls	Reinforced concrete slab	Strip stone masonry
Sirkeci	Building 61	Fired brick and hollow brick walls	Wooden	Reinforced concrete slab and wooden truss	Strip stone masonry
	Building 69	Fired Brick Walls	Fired Brick Walls	Steel truss	Strip stone masonry
	Building 97	Fired Brick Walls	Fired Brick Walls	Brick vault	Strip stone masonry
Uskudar	Building 6-1	Fired Brick Walls	Fired Brick Walls	Reinforced concrete slab and wooden truss	Strip stone masonry
	Building 13	Fired brick and hollow brick walls	Fired Brick Walls	Reinforced concrete slab and wooden truss	Strip stone masonry

## Structural materials



Brick vaults supported by one-way steel members, I140 or I160.

## Mechanical characteristics of walls

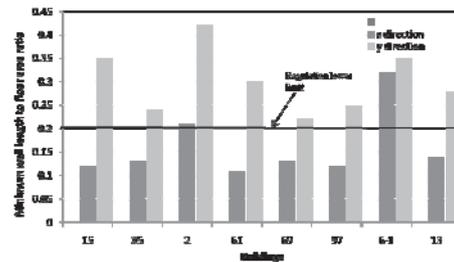


Both mortar and bricks are poor

Average compressive strength of brick-mortar prisms (MPa)

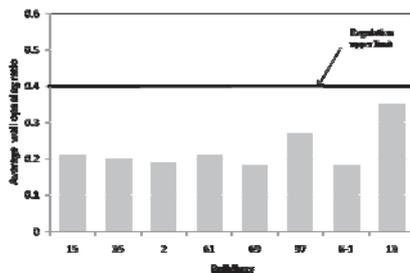
Yenikapi	Building 15	1.10
	Building 35	4.10
	Building 2	1.20
Regional average		2.13
Sirkeci	Building 61	1.70
	Building 69	4.20
	Building 97	2.50
Regional average		2.42
Uskudar	Building 6-1	4.20
	Building 13	1.70
Regional average		2.53
City average		2.59
City standard deviation		1.37

## Comparison of several characteristics with code requirements



Ratio of minimum wall lengths to floor area in comparison with code limits

## Comparison of several characteristics with code requirements

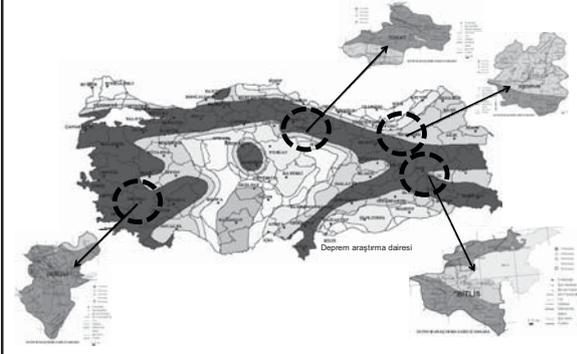


Maximum wall opening ratios in comparison with code limits

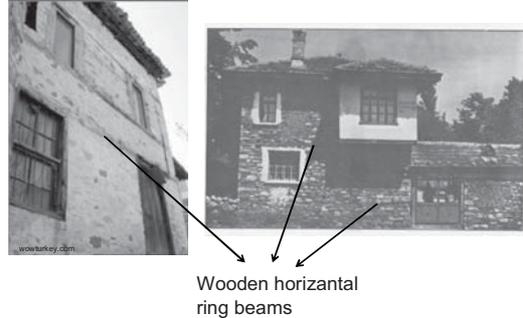
## Dominant quantitative problems

- i) generally the heights of the buildings are remarkably higher than permitted,
- ii) the irregularity of the structural system; generally strong in one direction and weak in the other,
- iii) insufficient wall lengths, less than 20% of the floor area,
- iv) large openings of certain walls reaching up to approximately 80% for some buildings.

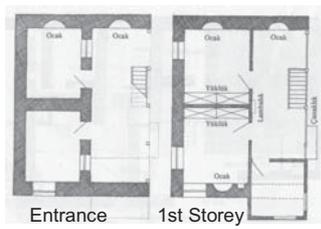
### Seismic risk map of regions



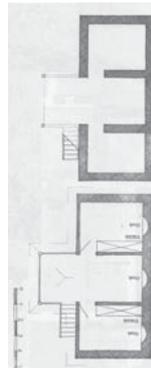
### Denizli Typical house type



### Typical floor plans



Buildings are generally two stories



### Typical wall details



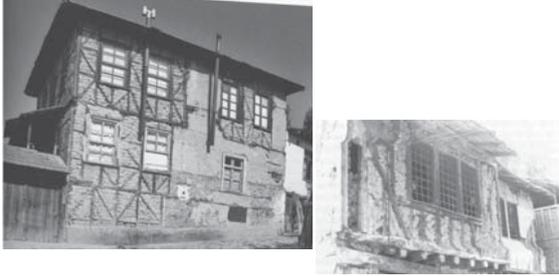
### Negative factors of this regions buildings

- Irregularity on vertical arrangements of windows
- Windows and doors are too close to corner of walls
- Irregular wall bonding (continuity of vertical mortar layer)
- Mud mortar (less adhesive effect)
- Thin or unsupported walls
- Less shear effects in one direction (Because of one way wooden slab beams)
- Weak connections at the corners
- Irregularity of structural load bearing system in plan

### Tokat-Typical house type

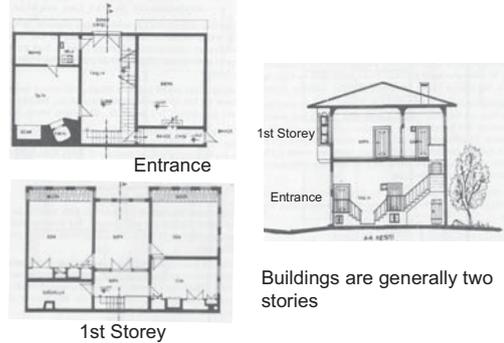


## Timber structures



- Wooden frame is main load bearing system
- Adobe is used as infill materials

## Typical floor plans



Buildings are generally two stories

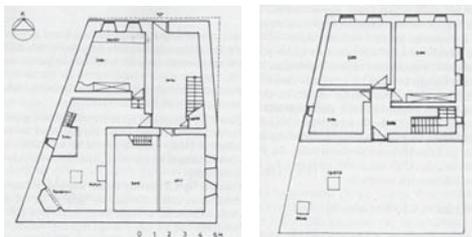
## Negative factors of this regions buildings

- Generally 1st storey load bearing walls has 40 cm – 70 cm offset in two side of buildings
- Plan irregularity is the most encountered problem
- One side of the buildings are attached to one side of the next building
- Most of this regional buildings were constructed as attached to next building

## Erzurum-Typical house type



## Typical floor plans



Buildings are generally two stories

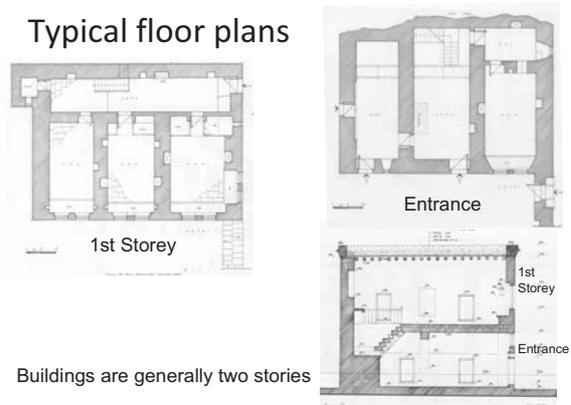
## Negative factors of this regions buildings

- Generally 1st storey load bearing walls has 50 cm – 150 cm offset in two side of buildings
- Plan irregularity
- Soil roof (high weight contribution during seismic event)
- Big openings because of windows of doors
- Irregular shear strength and out-of-plane stability of walls because of one way wooden slab beams

### Bitlis-Typical house type



### Typical floor plans



Buildings are generally two stories

### Negative factors of this regions buildings

- The region is on the high slope
- Soil roof (High weight contrubiton during seismic events)
- Generally, high ratio of wall openings are encountered at living room walls

### Comparison of the regional materials

	Structural load bearings	Non-bearing partitions	Roof structure materials	Mortar	Foundations	Windows and doors	Staircases
Bitlis	Uniform ashler stone walls	Stone walls and half timber frames with adobe infills	Wooden beams + soil roofs	Thatched mud	Strip stone masonry	Wooden	Wooden
Erzurum	Corners and some parts of exterior walls are ashler stone walls and the others are random rubble walls	Adobe walls	Wooden beams + soil roofs	Thatched mud and lime mortar	Strip stone masonry	Wooden	Wooden
Tokat	Half timber frames with adobe infill walls	Adobe walls	Wooden slabs and wooden beams + traditional tile roofs	Thatched mud	Strip stone masonry	Wooden	Wooden
Denizli	Variable size stone Walls	Stone and wooden walls	Wooden and wooden beams + tile roofs	Mud	Strip stone masonry	Wooden	Wooden

### Typical problems and strengthening concepts

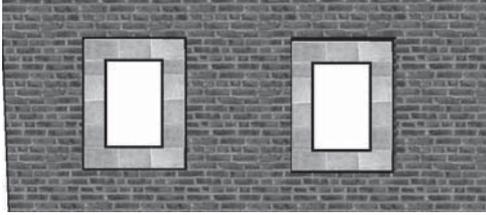
- Wrong type of bricks, wrong coursing, removal of walls

### Typical problems and strengthening concepts

- Heavy roofs and one way structural system of roofs/floors

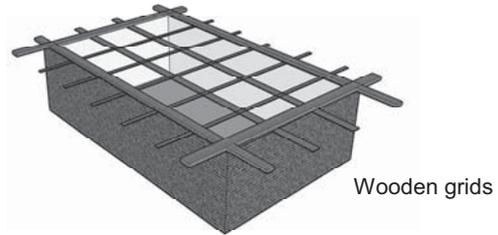
### Typical problems and strengthening concepts

- Too many and too wide openings



### Typical problems and strengthening concepts

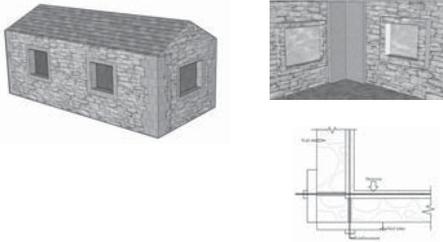
- Insufficient diaphragm action



Wooden grids

### Typical problems and strengthening concepts

- Weak corner connections



### Conclusions

- This survey study revealed that all examined buildings have inconsistencies with the relevant regulations at different levels in terms of configuration of structural system and material quality.
- Further studies towards increasing the number of examined buildings may create a chance of identifying the typologies of existing masonry buildings more realistically, and this can bring forward some efficient and feasible retrofitting techniques

### References

- Tokat Houses, Halit Çal, Publications of Ministry of Culture, 1988 (In Turkish)
- Erzurum Houses, Haşim Kapuz, Publications of Ministry of Culture, 1989 (In Turkish)
- Şirinköy Houses, Cengiz Bektaş, Bektaş Engineering and Architecture Publications, 1987 (In Turkish)
- Bitlis houses, Yüksel Sayan, Şahabettin Öztürk, Publications of Ministry of Culture, 2001 (In Turkish)
- Earthquake report of Erzurum- Kars earthquake (30 October 1983), Ministry of public works publications, 1983 (In Turkish)
- Earthquake report of Erzurum- Kars earthquake (30 October 1983), Chamber of Civil Engineering publications, 1983 (In Turkish)
- Report of Denizli Earthquake (19 August 1976), Publication of Geophysicists Association of Turkey, 1977 (In Turkish)
- Earthquake report of Erzurum- Kars earthquake (30 October 1983), Istanbul Technical University, 1983 (In Turkish)

### Thanks & Questions





## 5. Session 2

“Japanese efforts for safer non-engineered houses”



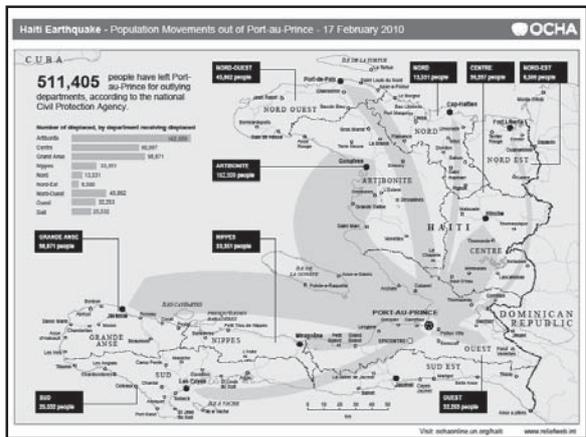
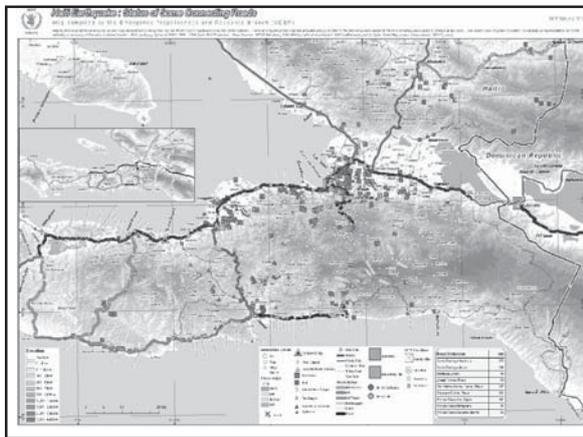
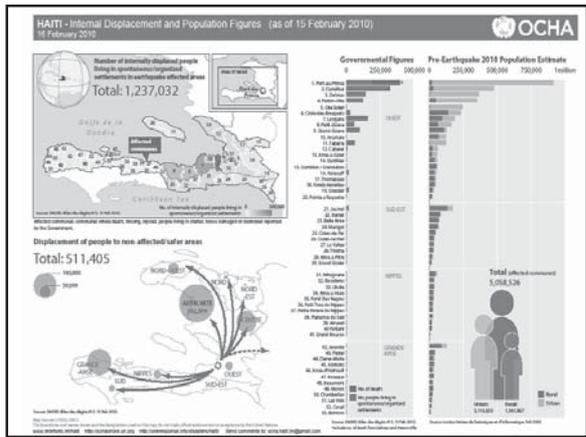
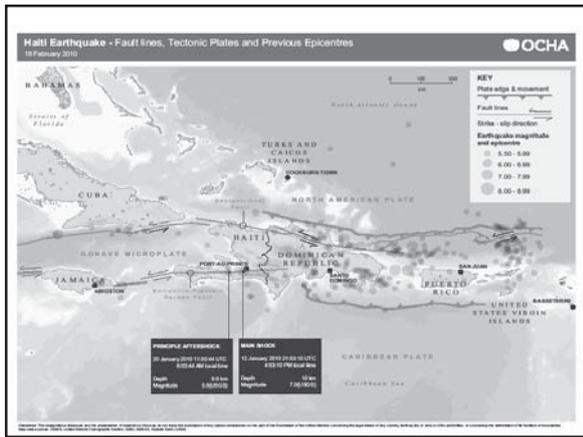
5.1 Special report “Damages of Haiti Earthquake Disaster” / 特別報告「ハイチ地震の被害について」  
 Presented by Hidetomi Oi, Adviser, Global Environment Department, Japan International  
 Cooperation Agency (JICA) / 国際協力機構 地球環境部 アドバイザー 大井英臣

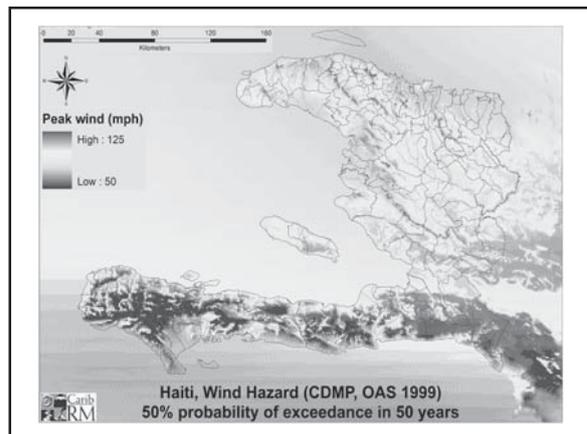
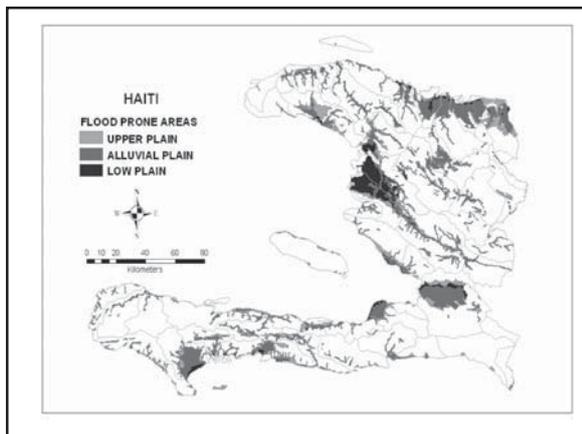
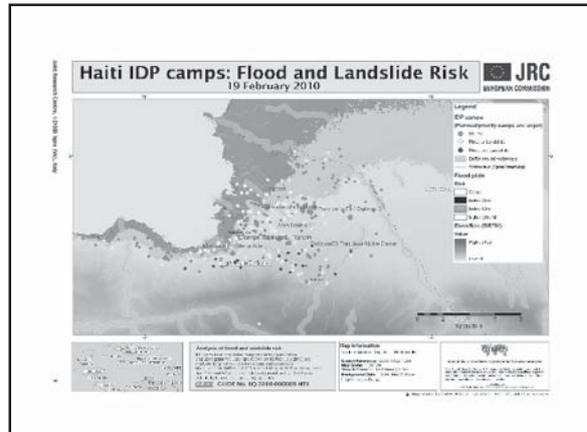
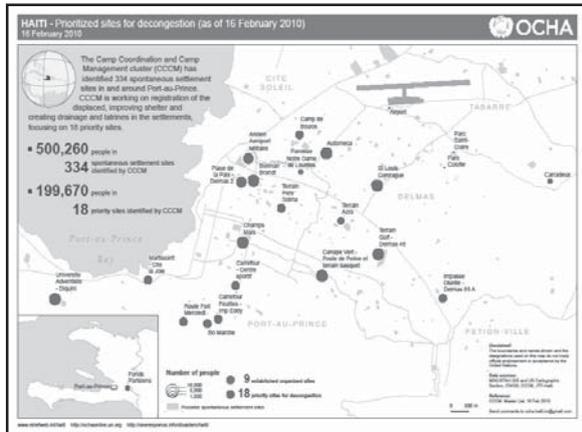
**ハイチ震災復興支援**  
**- Towards a Resilient Haiti -**

2010年2月26日  
 大井英臣

**被害の概要**

死者 217,366人  
 避難者 120万人  
 家屋 285,677戸  
 (全壊97,294、半壊188,383)  
 首都から地方への疎開 50万人





復興ニーズ調査(Post Disaster Needs Assessment: PDNA)

2月 8日～2月17日 Planning Mission  
 2月18日～2月20日 オリエンテーション  
 2月22日～3月11日 本格調査  
 ～3月12日 報告書ドラフト  
 ～3月18日 分析、協議  
 ～3月24日 最終報告書

支援国会合

3月17日 支援国実務者会合(於ドミ共)  
 3月31日 支援国会合(ニューヨーク)

PDNA調査分野			
国土整備	国土整備	ガバナンス	法制国家
	地方分権化		司法・警察・国境警備
	土地管理		行政・公共サービス
インフラ	道路・山岳道路管理	経済分析	民主化プロセス
	住宅		マクロ経済分析
	都市インフラ		ジェンダー・青少年
	交通		環境
	エネルギー		リスクと災害の管理
社会サービス	通信	コミュニティインフラ	障害者・孤児・エイズ患者支援
	教育		
	保健		
	給水		
生産	衛生・下水		
	漁業・農業		
	商業・工業		
	観光		
	雇用		

## 防災体制

- National Disaster Risk Management Systems (NDRMS) 2001
- National Disaster Risk Management Plan (NDRMP)
- National Risk and Disaster Management Committee (CONGRD)
- Directorate of Civil Protection (DPC) 1997
- Permanent Secretariat of Risk and Disaster Management (SPGRD)
  
- Department DRM Committee at all 10 departments
- Municipal DRM Committee at 110 municipalities out of 165

## 支援策の基本的な考え方

- 短期、中期的な支援ニーズに即した支援
- 日本の協力の継続性
- 日本の強み、リソースの活用
- 他ドナーとの調整
- 実施可能性(スキーム、実施体制等)

5.2 Summary of International joint research project on comprehensive strategies for earthquake disaster mitigation / 総合的な地震被害軽減方策についての国際共同研究の概要  
 Presented by Tatsuo Narafu, Information Center for Building Administration (ICBA) / 建築行政情報センター建築行政研究所研究部長柗府龍雄

**Summary of  
 International Joint Research Project on  
 Comprehensive Strategies  
 for Earthquake Disaster Mitigation**

International Symposium on  
 "More resilient non-engineered houses for earthquake disaster reduction"  
 at Sokairo Hall, National Graduate Institute for Policy Studies (GRIPS),  
 Tokyo, Japan  
 February 26, 2010

**Dr. Tatsuo Narafu**  
 Director, Research Department-1, Building Administration Research Institute,  
 Information Center for Building Administration (ICBA)

Background of Joint Research Project

- Earthquakes cause serious damages to human societies
- Non-engineered houses are the main cause of human casualties
- Comprehensive approach is necessary for disaster mitigation including appropriate technologies, dissemination of technologies and risk management system/approach



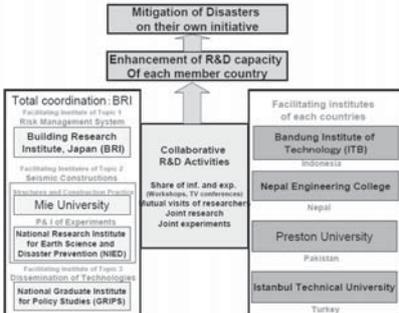
Approach of the Joint Research Project

- R&D focuses on realization of mitigation of disasters
- To concentrate on conventional houses which is the main cause of human losses
- To prepare complete proposal of strategies based on comprehensive approach



Outline of the research project

- **Term of R&D**  
 three years (2006-2008)
- **Target structures**  
 Non-engineered constructions in developing countries, also applicable to developed countries like Japanese conventional houses
- **Funds**  
 The Asia S&T Strategic Cooperation Promotion Program prepared by Ministry of Education, Culture, Sports, Science and Technology (MEXT)



Participating institutes

- **Indonesia:** Bandung Institute of Technology (ITB)  
 Research Institute for Human Settlement (RIHS), Ministry of Public Works  
 Gadjah Mada University (UGM)  
 Syiah Kuala University (Unsyiah)
- **Nepal:** Nepal Engineering College (nec)  
 National Society for Earthquake Technology-Nepal (NSET)  
 Department of Urban Development and Building Construction (DUDBC), Nepal Government
- **Pakistan:** Preston University  
 NWFP University of Engineering and Technology Peshawar

Participating institutes

- **Turkey:** Istanbul Technical University (ITU)  
 Middle East Technical University (METU)  
 Earthquake Research Division, Ministry of Public Works and Settlement, Turkey
- **Japan:** Building Research Institute (BRI)  
 National Research Institute for Earth Science and Disaster Reduction (NIED)  
 National Graduate Institute for Policy Studies (GRIPS)  
 Mie University

Platform activities for the joint research project

- International symposium and video workshops connecting all the participating countries
- 18 events for three years for close collaboration



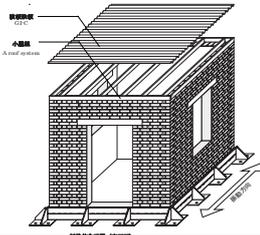
Research topics

- Feasible and Affordable Seismic Constructions**  
To develop appropriate seismic structures and construction practices, which will be expected to be accepted by communities
  - Study by full scale shaking table experiments
  - Bridge between engineering and construction practices
  - Simple and affordable seismic isolation
- Strategies for Dissemination of Technologies to Communities**  
To develop strategies and tools for dissemination of technologies to people and communities
- Risk Management System**  
To develop systems and tools for evaluation of seismic risks by assumed earthquakes and for managing them

Topic 1: Feasible and Affordable Seismic Constructions Study by Full Scale Shaking Table Experiments

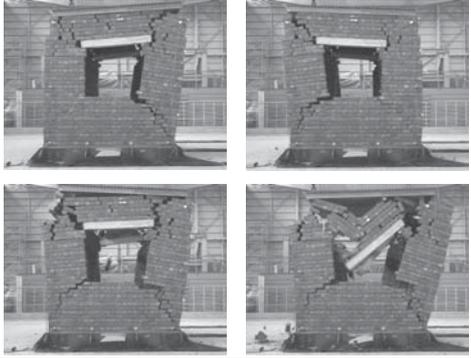
- Full Scale Shaking Table Experiments on Several Types of Structures in Asia
- Several Methods are applied to analyze the results
- Activities Program
  - 2007 First Experiment in NIED
  - 2007 Second Experiment in NIED
  - 2008 Third Experiment in Peru

Shaking Table Experiment in NIED in Tsukuba on Dec. 27, 2007  
Table: 14.5m x 15m  
Loading Capacity: 90cm/sec., 940gals



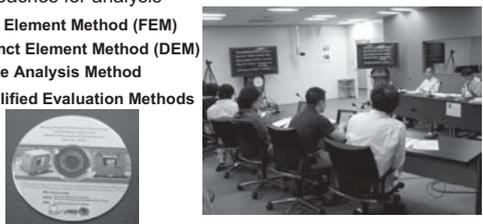
Topic 1: Feasible and Affordable Seismic Constructions Study by Full Scale Shaking Table Experiments

Collapse Procedure



Topic 1: Feasible and Affordable Seismic Constructions Study by Full Scale Shaking Table Experiments

- Prepare DVD of results of experiments and distribute to share the data
- Organize workshops for detail explanation and discussion
- Approaches for analysis
  - Finite Element Method (FEM)
  - Distinct Element Method (DEM)
  - Frame Analysis Method
  - Simplified Evaluation Methods



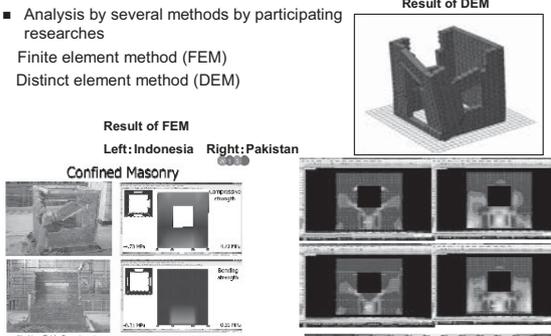
Topic 1: Feasible and Affordable Seismic Constructions Study by Full Scale Shaking Table Experiments

- Analysis by several methods by participating researches
  - Finite element method (FEM)
  - Distinct element method (DEM)

Result of FEM  
Left: Indonesia Right: Pakistan

Result of DEM

Confined Masonry



**Topic 1: Feasible and Affordable Seismic Constructions**  
**Bridge between Engineering and Construction Practices**

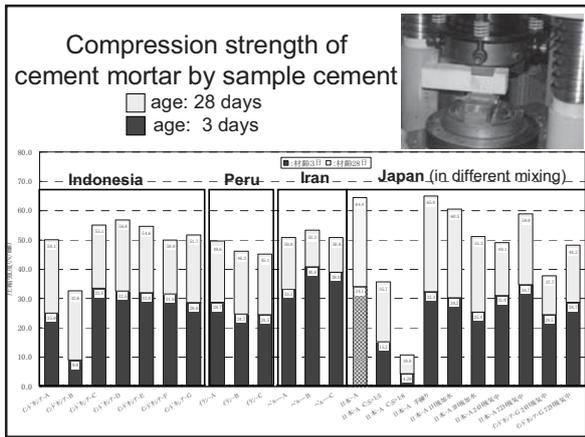
- Monitoring Construction Practices on Site
- Elaborating Recommendations which could be accepted and adopted by Local Workers

**Samples from Indonesia, Peru and Iran**

**Indonesia**  
 Buy at a small shop and obtain at a construction site

**Peru**  
 Buy at a small shop and a home center

**Iran**  
 Obtain at a ready mixed concrete plant and a construction site



**Bridge between Engineering and Construction Practices**

- Proposed designs and result of cyclic loading test

DESIGN NO.	DESIGN	TEST RESULT
1	100% Reinforcement Ratio	Failure at 100% Reinforcement Ratio
2	100% Reinforcement Ratio with 10% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 10% Increase in Concrete Strength
3	100% Reinforcement Ratio with 20% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 20% Increase in Concrete Strength
4	100% Reinforcement Ratio with 30% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 30% Increase in Concrete Strength
5	100% Reinforcement Ratio with 40% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 40% Increase in Concrete Strength
6	100% Reinforcement Ratio with 50% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 50% Increase in Concrete Strength
7	100% Reinforcement Ratio with 60% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 60% Increase in Concrete Strength
8	100% Reinforcement Ratio with 70% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 70% Increase in Concrete Strength
9	100% Reinforcement Ratio with 80% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 80% Increase in Concrete Strength
10	100% Reinforcement Ratio with 90% Increase in Concrete Strength	Failure at 100% Reinforcement Ratio with 90% Increase in Concrete Strength

**Topic 1: Feasible and Affordable Seismic Constructions**  
**Bridge between Engineering and Construction Practices**

- Joint experiment of proposed designs in Bandung, Indonesia

図1 各試験体の加置—変位曲線の包絡線

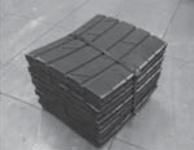
**Topic 1: Feasible and Affordable Seismic Constructions**  
**Simple and Affordable Seismic Isolation**

- Research Topics
  - development of low cost isolation devices
  - low cost rigid base
  - simple construction procedures
- Activities
  - Experiments on devices
  - Workshops for dissemination
  - Pilot project

Shaking Table in Building Research Institute (BRI) in Tsukuba used for the experiments

### Topic 1: Feasible and Affordable Seismic Constructions Simple and Affordable Seismic Isolation

- Several proposals were examined
  - sliding device with stone and metal plates
  - scrap tire pads
  - geo textile sheets
  - low cost rolling device






### Topic 2: Dissemination of Technologies to Communities

- Comprehensive Study on Dissemination of Technologies consisting followings
  - collecting and analyzing good practices
  - interview survey on risk perception of communities
  - survey on policies of local and central government on disaster mitigation strategies
  - pilot project with several approaches
  - analysis of effectiveness of each approach



### Topic 2: Dissemination of Technologies to Communities

- Interview survey by same questionnaires in five countries
  - interviewee
    - people in two communities in different experiences of disasters in each countries
    - construction workers
    - officials of central governments
    - officials of local governments




### Topic 2: Dissemination of Technologies to Communities

- Pilot project for dissemination and evaluation of effects in four countries
  - Indonesia: disaster management education in primary schools
  - Nepal: training programs for house wives
  - Pakistan: demonstration with simple shaking table, training programs for masons
  - Turkey: disaster management education in rural areas

Nepal



Pakistan

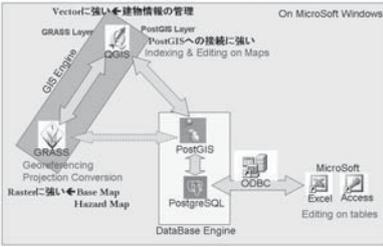


Turkey



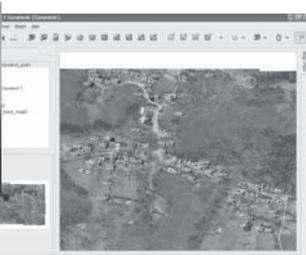
### Topic 3: Risk Management System

- Development of a new system for Risk Management which enhances risk recognition of communities
- Community-based approach/Community participation
- Activities Program
  - preparation of tools
  - mapping base using satellite image/aero photos
  - simple evaluation criteria of seismic safety of houses
  - case study in several districts
  - elaboration of the system



### Topic 3: Risk Management System

- Case study with tools prepared by the joint research project
- Successful result
  - high school students could have a good command of the tools



5.3 Lessons from assistance for reconstruction in Indonesia / インドネシア災害復興支援の教訓  
 Presented by Kozo Nagami, Information Policy Department, Japan International Cooperation Agency (JICA) / 国際協力機構 情報政策部 永見光三

**インドネシア協力  
 災害復興支援の教訓**  
*Lessons from JICA Disaster  
 Reconstruction Assistance in  
 Indonesia*

February 2010  
 Japan International Cooperation Agency  
 永見 光三 Kozo Nagami

**第一部：北スマトラ島沖地震  
 津波災害復興支援**  
*Part 1: Reconstruction  
 support program for Aceh*

**北スマトラ島沖地震津波**  
*2004 Indian Ocean Earthquake*

- Devastating Damage (M9.0)
- Damage in Indonesia
  - 12/26/2004
  - Killed and Missing: more than 200,000
  - Seriously Damaged Houses: 81,942
  - Partly Damaged Houses: 58,785

Source: BRR and International Partners (Aceh and Nias One Year After the Tsunami, 2005)

**2004 Indian Ocean Earthquake**

スマトラ島北西部沖の震源地 (M9.0) Epicenter  
 (2004年12月26日午前8時7分:現地時間)

出典：インドネシア国北スマトラ島沖地震津波災害緊急復旧・復興プログラム(URRP)

**Tsunami Impact on Economy**

5 %	Economic Decrease Projection in Aceh
20 %	Economic Decrease Projection in Nias
32 %	Income per capita decrease
5,176	SMEs damaged/destroyed
7,529	Small shops damaged/destroyed
1,191	Restaurants damaged/destroyed
25	General Banks damaged/destroyed
4	People's Credit Banks (BPR) damaged/destroyed
20	Microfinance Institutions damaged/destroyed
195	markets damaged/destroyed
20,000 ha	fishpond damaged
60,000 ha	agricultural land damaged
220,907	people lost their job

出典：BRR (2007年4月CFAN3報告) / Source: BRR (CFAN3 report, Apr. 2007)

**JICA Reconstruction Assistance**

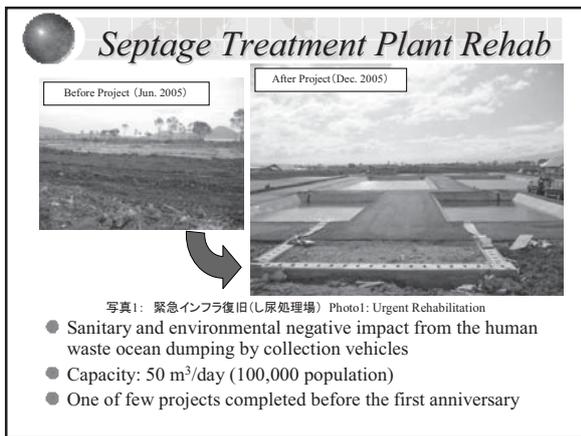
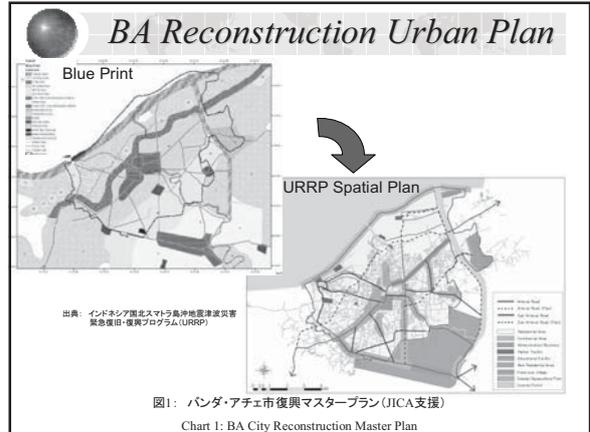
- Emergency Relief right after
- Rehabilitation and Reconstruction Assistance
  - Core Project = URRP (Urgent Rehab and Recon Plan)
    - BA City Reconstruction Urban Planning
    - Engineering Survey for the Infrastructure Rehabilitation Non-project Type Grant Aid
    - GIS Data Mapping
    - Septage Treatment Plant Rehabilitation
  - Community Empowerment (Trauma care, Livelihood Revitalization etc.)

JICA Aceh Rehabilitation and Reconstruction Fundraising Overview

Category	Project Name	Phase	Start/End	Amount (USD)	Amount (JPY)
Community Building	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
	Community Center	Construction	2005/01-2005/12	1,000,000	130,000,000
Infrastructure	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000
	Water Supply	Construction	2005/01-2005/12	1,000,000	130,000,000

Source: JICA Indonesia Office (2006)

表1: アチェ復旧・復興支援事業の展開状況(2005年11月時点)  
Table 1: JICA Aceh Rehab and Recon Overview (as of Nov. 2005)



### Sanitary/Environmental Education

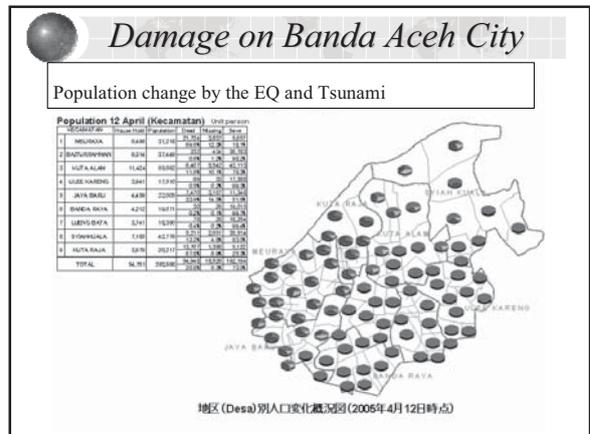
Workshop for Neighborhood, Study Tour for Junior High, Poster for Community Awareness, Site Visit by SBY

For improving sanitation condition in Banda Aceh City, enhancement of public awareness on hygiene and sanitation issues is crucial besides reconstruction on Septage Treatment Plant. Proper level of environmental awareness of proper management of human waste and garbage removal from the home, increase management of domestic wastes is resulted in demonstration of sanitation condition in the city and consequently increases health risks of the people.

Workshop for Neighborhood: Hygiene and Sanitation. The workshop enhanced people's awareness on hygiene and sanitation issues, and expected to build an action to the people on proper management of human waste at domestic level. Public and health were also engaged and distributed for the people who could not participate in the workshop. JICA provided technical and financial assistance for these public awareness campaigns.

Study Tour for Junior High: PLT Bantian JICA Akan Diperlihatkan ke Pemas. People participated in workshop about Septage Treatment Plant to see the status and level of plant. The tour for the better relationship between natural environment and human activities.

- ### Transit to Mid-/Long-term Recon.
- Trigger: GAM Peace Agreement (Aug 2005)
  - Reflection from the prior results
    - Insufficient integration between infrastructure and community
      - Infrastructure assistance in coastal heavily damaged area
      - Community assistance in inland less damaged area
  - Integrated assistance at the community buildings
    - Urban Disaster Mitigation Facility (JICA Mater Plan) = Community buildings
    - Livelihood revitalization activity
      - Activities: local cake baking, dried-salted fish, traditional handicraft, etc
      - Institutionalization and instruction by facilitators
      - Succeeded as a model reconstruction activity
      - Resulted in sustainable replication (second and third generation)



## Livelihood Revitalization Assistance



写真2: 住民生計向上支援 / Photo 2: Livelihood Revitalization Activity  
バンダ・アチェ市ウレレ地区(2006年) / Ulee Lheue, Banda Aceh City (2006)

## Community Building



写真3: コミュニティビル建設 / Photo 3: Community Building  
バンダ・アチェ市ウレレ地区(2008年3月完成) / Ulee Lheue, Banda Aceh City (Mar. 2008)

- Escape facility for the coastal zone (3 in Ulee Lheue)
- Community daily activity base

## Extending Community Assistance

- Project on Self-sustainable Community Empowerment Network Formulation (アチェ州住民自立支援ネットワーク形成プロジェクト)
- Extending the Ulee Lheue model to other regions in Aceh province (Jan 2007 – Mar 2009)



## Radio



写真4: アチェ復興支援ラジオ番組 (2007年9月)  
Photo 4: Aceh Radio Broadcasting Support (Sep 2007)

- Broadcasted from RRI station rehabilitated by the Japan Grant Aid
- Weekly one hour program (from Jul 2005 – Mar 2009)
- Interactive telephone dialogue with listeners

## Problems in the Aceh Recon Process

- Initiative from the central government
  - Political importance ⇒ BRR (Apr 2005)
    - Prolonged GAM conflict
    - Need quick remedy for social and economic confusion
  - Insufficient local government capacity (Aceh province, kabupaten and BA)
    - Massive damage scale
    - Local gov officials also disaster affected
  - Difficult community involvement

## BRR Strategy

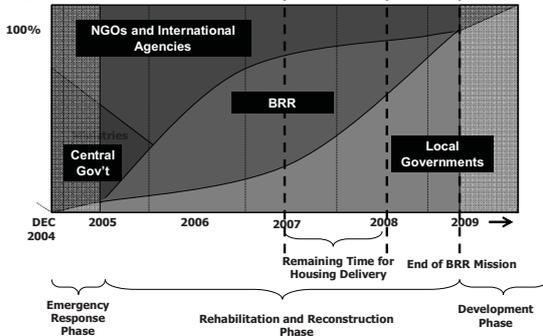


図2: BRRによる復興事業主体の中期シフト計画 / Chart 2 BRR Mid-term Shift Plan  
出典: BRR (2007年4月CFAN3報告) / Source: BRR (CFAN3 report, Apr. 2007)



### Problems in the Aceh Recon Process

- Delay in house reconstruction (Apr 2007)
  - Direct support (temporary/permanent house provision) by GOI and NGOs
    - Limited support from donors
    - ⇔ donors were rather concentrating on transportation and education sector infrastructure
  - Problems in acquiring speed and quality
    - Low quality house problems
    - Limited house option for residents (allocated by areas)

### Delay in House Reconstruction

ORGS	Reconstruction and Relocation			Rehabilitation			Renters/Squatters		
	Need	Commit	Complete	Need	Commit	Complete	Need	Commit	Complete
NGO/IA		104,148	48,450		2,058	1,605		492	0
MDF	136,000	8,113	2,645	39,000	3,271	3,210	12,000	0	0
BRR		33,224	10,623		8,776	8,496			
<b>Total</b>	<b>136,000</b>	<b>145,485</b>	<b>61,718</b>	<b>39,000</b>	<b>14,105</b>	<b>13,311</b>	<b>12,000</b>	<b>0</b>	<b>0</b>

表3: 各主体による住宅再建事業の進捗状況 / Housing Progress by Organizations  
 出典: BRR (2007年4月CFAN3報告) / Source: BRR (CFAN3 report, Apr. 2007)

### Delay in Community Revitalization

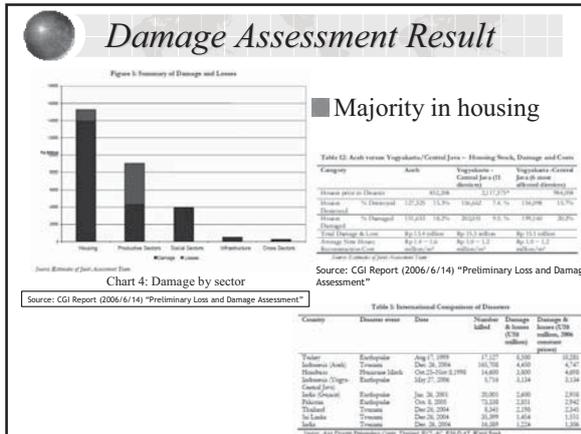
- House reconstruction delay resulted in community revitalization delay
  - It took 1.5 years until JICA could proceed into integrated assistance for community (since mid 2006)
  - Infrastructure assistance by donors could not properly yield the expected impact due to the community recovery delay
- Total reconstruction delay

## 第二部: ジャワ島中部地震 災害復興支援 Part II: Central Java Earthquake Reconstruction Program

### ジャワ島中部地震災害 Central Java Earthquake

- Damage
  - 5/27/2006
  - Killed and Missing: 5,716
  - Houses Destroyed: 156,664
  - Houses Damaged: 202,032

Source: International Recovery Platform (The Yogyakarta and Central Java Earthquake, 2006)

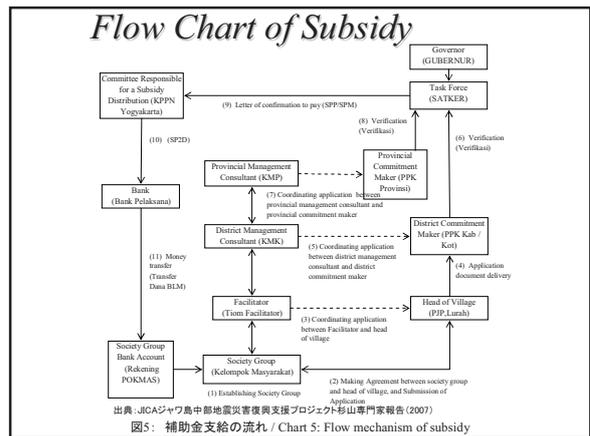


- ### Actions Taken by GOI
- GOI announcement
    - Community self-supported reconstruction
    - Local government initiative
      - Yogyakarta special district (DIY) + Kota/Kabupaten
      - Little intervention from central (except fund)
      - Reflections from Aceh experience
  - House reconstruction subsidy
    - Subsidizing house reconstruction cost (15 mil rup. per household)
    - Subsidy delivery through POKMAS (victim cooperatives)
      - Consist of 10-15 destroyed house owners
      - 11,545 POKMAS (141,691HH)
    - Mobilizing facilitators
      - Technical support of house reconstruction
      - 3 per (building, structure, social science) x 1,500 team

### Facilitator Assignment Result

県・市	計画人数	2006年度配置済み人数 (#1)				2007年度配置済み人数 (#2)				
		シニア	技術系	社会系	合計	シニア	技術系	社会系	合計	
Sleman県	406	112	137	125	374	124	147	132	403	
Gunungkidul県	382	21	110	121	252	23	117	128	268	
Kulon Progo県	153	49	47	51	147	49	48	51	148	
Jogjakarta市	318	-	70	96	166	-	141	143	284	
Bantul県	2,185	-	930	1,041	1,971	-	1,073	1,066	2,139	
Bantul県内市町村	a)Bantul 1	447	-	168	240	408	-	222	238	460
	b)Bantul 2	453	-	143	172	315	-	212	187	399
	c)Bantul 3	370	-	185	185	370	-	185	185	370
	d)Bantul 4	528	-	243	252	495	-	260	264	524
	e)Bantul 5	387	-	191	192	383	-	194	192	386
合計	3,443	182	1,294	1,434	2,910	196	1,526	1,520	3,242	

単位: 人  
資料: 州公共事業局の内部資料、2007年3月9日現在  
注1) \*1の契約期間は2006年8月～2007年1月  
注2) \*2の契約期間はバンジュール県が2007年2月～2007年7月、その他県・市が2007年2月～2007年4月  
出典: JICAジャワ島中部地震災害復興支援プロジェクト杉山専門家報告 (2007)  
表4: 実際のファシリテーター配置状況 / Table 4: Facilitator assignment result



- ### Challenge in Program Formulation
- Biggest needs in housing
  - Obstacles
    - Housing = Private property -> unfair?
    - GOI public administration capacity
    - Urgency in disaster recon assistance
  - Prolongation risk
  - Adverse opinions and questionable comments on effectiveness

### JICA Yogya Rehabilitation and Reconstruction Programme Overview

Region	Subsector	Location	Activities	Start	End
Public/Physical Rehabilitation Plan	1. Technical Advisory Team for Reconstruction	Yogyakarta Province (DIY)	1.1.1. Study on the housing rehabilitation	01/01/06	03/31/06
			1.1.2. Study on the housing rehabilitation	04/01/06	06/30/06
	Social Policy, Services/Policy	Yogyakarta Province (DIY)	2.1.1. Social and Health Care Center Rehabilitation	01/01/06	03/31/06
			2.1.2. Social and Health Care Center Rehabilitation	04/01/06	06/30/06
			2.1.3. Social and Health Care Center Rehabilitation	07/01/06	09/30/06
			2.1.4. Social and Health Care Center Rehabilitation	10/01/06	12/31/06
			2.1.5. Social and Health Care Center Rehabilitation	01/01/07	03/31/07
			2.1.6. Social and Health Care Center Rehabilitation	04/01/07	06/30/07
			2.1.7. Social and Health Care Center Rehabilitation	07/01/07	09/30/07
			2.1.8. Social and Health Care Center Rehabilitation	10/01/07	12/31/07
Community Development	Yogyakarta Province (DIY)	3.1.1. Community Development through	01/01/06	03/31/06	
		3.1.2. Community Development through	04/01/06	06/30/06	
		3.1.3. Community Development through	07/01/06	09/30/06	
		3.1.4. Community Development through	10/01/06	12/31/06	
		3.1.5. Community Development through	01/01/07	03/31/07	
		3.1.6. Community Development through	04/01/07	06/30/07	
		3.1.7. Community Development through	07/01/07	09/30/07	
		3.1.8. Community Development through	10/01/07	12/31/07	
		3.1.9. Community Development through	01/01/08	03/31/08	
		3.1.10. Community Development through	04/01/08	06/30/08	
Financial Management	Yogyakarta Province (DIY)	4.1.1. Financial Management	01/01/06	03/31/06	
		4.1.2. Financial Management	04/01/06	06/30/06	
		4.1.3. Financial Management	07/01/06	09/30/06	
		4.1.4. Financial Management	10/01/06	12/31/06	
		4.1.5. Financial Management	01/01/07	03/31/07	
		4.1.6. Financial Management	04/01/07	06/30/07	
		4.1.7. Financial Management	07/01/07	09/30/07	
		4.1.8. Financial Management	10/01/07	12/31/07	
		4.1.9. Financial Management	01/01/08	03/31/08	
		4.1.10. Financial Management	04/01/08	06/30/08	

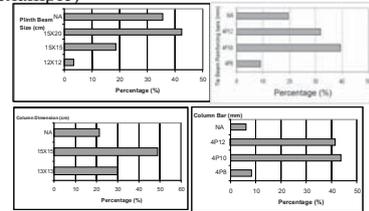
表4: ジャワ島中部地震災害復興支援事業の展開状況 (2006年11月時点)  
Table 4: JICA Yogya Rehab and Recon Assistance Overview

## House Reconstruction Assistance

- “Sub-project on building administration capacity enhancement to improve the vulnerability of housing” 「住宅再建促進及び建築強度改善のための建築行政支援サブ・プロジェクト」の実施
  - To disseminate, publicize and enlighten the know-how and technique of improving building strength
  - To propose essential conditions of EQ-resistant houses affordable even for the poor
  - To propose a rational, efficient and accountable process of building administration
  - To propose a comprehensive plan for dissemination of EQ-resistant building

## Inventory Survey

- Information gathering for “Key Requirement”
- Feasibility and objectivity assurance
  - Surveying 133 houses (29 types) by NGO/GOI
  - Tie beam (example)



出典：JICAジャバ島中部地震災害復興支援プロジェクト杉山専門家報告(2007)  
図6：インベントリ調査結果(例) / Chart 6: Inventory Survey Result Examples

## Key Requirement

- Essential conditions of EQ-resistant houses attaining both feasibility and effectiveness
- Co-editing with local expertise (Teddy Boen and UGM faculty)

Item	Language	Standard
材料品質 Material	コンクリート concrete	concrete: fine aggregate: coarse aggr=1:2:3
	モルタル mortar	concrete: fine aggr=1:4
基礎石 foundation stone		Yes
基礎土床 fixed wood		Yes
主要部材の構造断面 Structural Profile	基礎 foundation	底面積 bottom width over 60cm 上部幅 upper width over 80cm
	梁中梁 tie beam	高さ height over 80cm 筋小断面 dimension over 20cm x 15cm 主筋 steel bar over 4 x 10mm
	柱 column	筋断 stirrups 6mmφ 15cm spacing or 6mmφ 12cm spacing
	梁 beam	筋小断面 dimension over 15cm x 15cm 筋断 stirrups 6mmφ 15cm spacing or 6mmφ 12cm spacing
	壁最大傾斜 maximum wall dimension	less or equal 9 square meter
	屋根斜め筋 inclined beam at gable	Yes
	柱と中梁の交差 intersecting b/w column and tie beam	Yes
	壁への埋め込み anchor of wall	Yes
	屋根定着 anchor of gable	Yes
	筋断の重ねがけ overlapping of reinforcements	over 80cm
構造部材の接合 Joining	柱と中梁の交差 intersecting b/w column and tie beam	Yes
	壁への埋め込み anchor of wall	Yes

表5：キー・リクワイアメント規程内容 / Table 5: Key Requirement Prescriptions

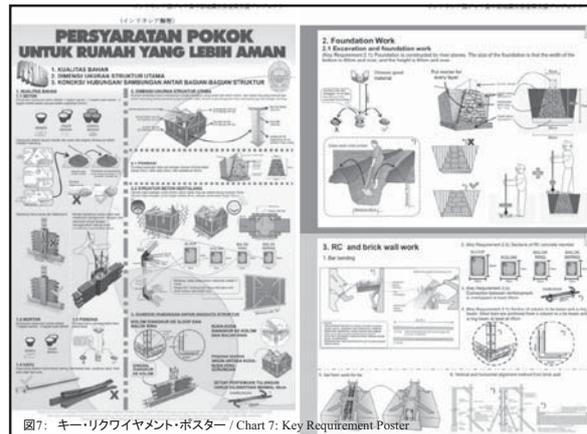


図7：キー・リクワイアメント・ポスター / Chart 7: Key Requirement Poster

## Legislation on Key Requirement

- POSYANIS set at 17 Kecamatan, Bantul (1/30/2007)
- Empowering Kecamatan regarding the IMB (building certification) process (2/6/2007)
- Bupati decree on the technical support centers (PUSYANIS (Kabupaten) and POSYANIS (Kecamatan)) (2/8/2007)
  - Legislating the key requirement, exceptional free of charge IMB process, process flow, proto-type house design.
- Public announce of officials at PUSYANIS and POSYANIS by name (2/17/2007)



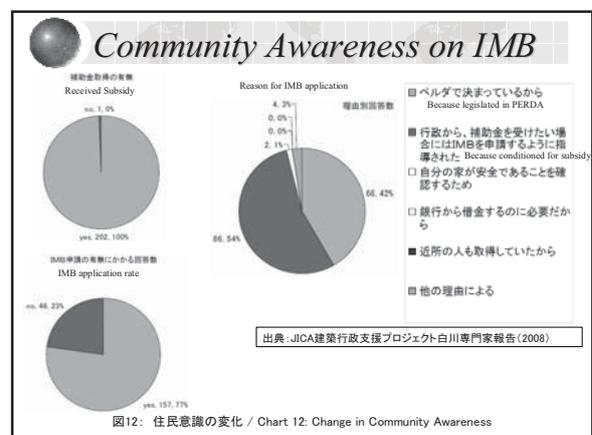
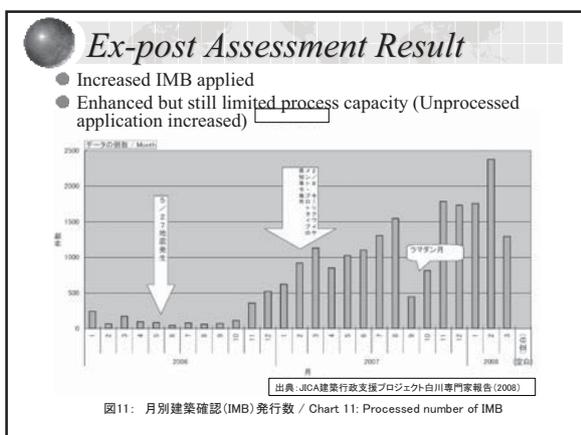
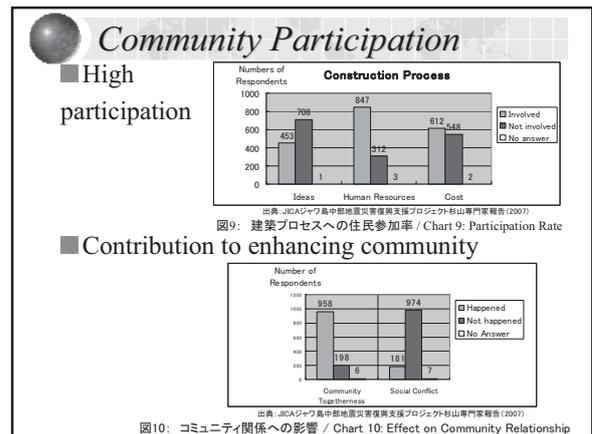
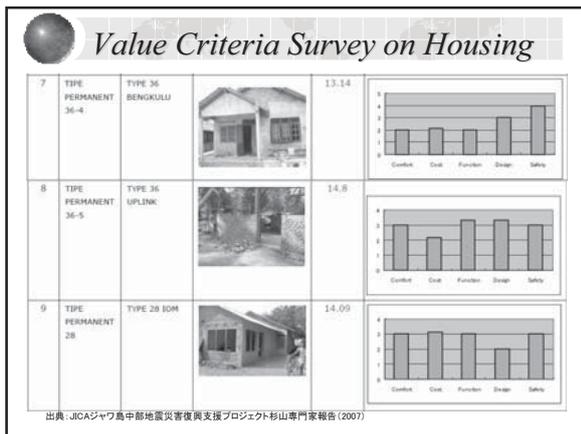
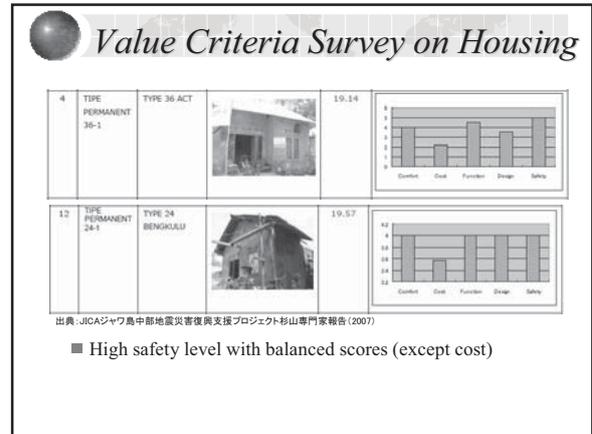
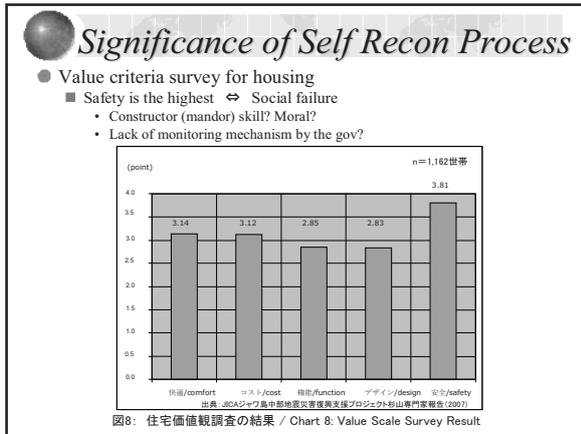
写真- DLINGO部のPOSYANIS  
Photo- POSYANIS at DLINGO

写真- 村での再建組合リーダーへの技術普及集会  
Photo- Technical Workshop for POKMAS leaders



写真- POSYANIS職員向け建築申請研修  
Photo- IMB Process Training for POSYANIS officials

出典：JICAジャバ島中部地震災害復興支援プロジェクト竹谷ジェネラル・アドバイザー報告(2007)





## 第三部：教訓と提言 Part 3: Lessons and Recommendation

### Comparison Aceh and Central Java

	Aceh	Central Java (Yogyakarta)
GOI policy	Central Gov initiative	Community self reconstruction
House Recon Actor	BRR	House owners
Fund Flow	Nation to BRR	Nation/province to community
Constructor	BRR	Owners or <i>mandor</i> (local master builder)
House Recon Speed	68,881 houses / 2 year <small>Source: BRR (2007)</small>	146,173 houses / 1 year <small>Source: Java Reconstruction Fund (2007)</small>
JICA Assistance (house related)	Urban recon planning (incl. Community building)	Building administration enhance IMB (Building certification) process enhancement for EQ resistant house
JICA Assistance (other)	Community empowerment Economic reconstruction Infrastructure rehabilitation Local government CB Social welfare service (education)	Community empowerment Local industry revitalization Reconstruction design of schools and health centers Junior experts
Total JICA Exp.	approx. 874 mil yen (as of 11/2005)	approx. 400 mil yen (as of 11/2006)
Japan Grant Aid	approx. 14,600 mil yen	approx. 1,000 mil yen

表5: アチェと中部ジャワのケース比較 / Table 5: Comparison Aceh and Central Java

- ### 教訓 Lessons
- Common understanding “direct assistance not applicable to personal property i.e. housing” might lead to 個人財産である住宅再建の直接支援は難しいという共通認識 ⇒
    - Housing issue not included in the recon program. 復興支援で住宅再建支援が置き去り。
    - Just a technical assistance such as retrofitting pilot and building code revision cannot assure the effectiveness. 耐震補修や建設基準・方式にかかる技術提言、パイロット建設での小規模供給では実効性確保が課題になる。
    - Thus, infrastructure biased recon program might further delay the community revitalization and thus yield insufficient assistance impact. インフラ支援偏重の結果、さらにコミュニティ全体の復興遅延が生じ、支援インパクトが発現しにくくなる。

- ### 教訓 Lessons
- Opportunity given in the Central Java EQ Recon Program ジャワ島中部地震復興における機会
    - GOI policy to subsidize house owners enabled indirect housing assistance in the IMB process. 住民への補助金直接支給というインドネシア政府の政策 ⇒ 行政面（建築確認プロセス）から住宅再建支援を実施
    - Quick actions e.g. legislation and decrees by GOI. インドネシア政府側の迅速な制度化（県知事令など）

- ### 教訓 Lessons
- Success Factor 成功要因
    - Key Requirement
      - Recognized as the highest priority government action that is highly feasible even with the immature public administration capacity in a severe post-disaster situation. 未熟な建築行政能力をもってしても、また、震災復興という厳しい状況であっても、十分に実現可能性が高く、かつ、真に重要で最優先すべき行政課題として認識されたから
      - Replication request was made for the West Sumatra (Padang) EQ Reconstruction パダン沖地震復興でも同様支援要請を受けた

- ### 今後の課題(提言) Recommendation
- Legislation assistance on the house reconstruction subsidy mechanism before EQs. 住宅再建支援(補助金支給)にかかる制度設立支援(平常時から法制度化)
  - Building administration assistance with the Key Requirement after EQs. 住宅再建支援制度の被災地における施行支援(キーリクワイヤメント普及等)
  - Consider housing as the core issue when designing the entire reconstruction program. 復興支援にかかる住宅再建支援の重要性の認識強化と、住宅再建を幹にした復興支援全体像の設計の重視
  - Schematic invention to directly assist housing such as financial assistance loan. 住宅再建支援にかかる直接支援策(財政支援ローン等の活用)
  - Combined assistance with house provision by NGOs and donors. NGO・他ドナーとの住宅再建連携(例: 制度面JICA+住宅供給NGO)

5.4 Japan's ODA Project in Peru, Dissemination of Seismic Adobe House /  
 ペルーにおける日本のODAプロジェクト～アドベ耐震住宅の普及～  
 Presented by Akihiko Tasaka, Ex-First Secretary of Embassy of Japan in Peru /  
 前・在ペルー大使館書記官 田阪昭彦

[ Japan's ODA Project in Peru,  
 Dissemination of Seismic Adobe House. ]

ペルーにおける日本のODAプロジェクト  
 ～アドベ耐震住宅の普及～

Akihiko TASAKA, Ex-First Secretary of Embassy of Japan in Peru  
 田阪昭彦(前・在ペルー日本大使館一等書記官)

[ Abstract ]

- 1.Social background
- 2.Project background
- 3.Project-Phase 1
- 4.Project-Phase 2
- 5.Consideration

1.Social Background

[ Geographical Features ]

**Republic of Peru**  
 Land Area 1,285,216 km<sup>2</sup>  
 latitude S3-18, longitude W69-81

1.Social Background

[ Topography and Climate ]

1.Social Background

[ Population ]

- Population has increased, but inflow from mountain to costal are has accelerated.
- Currently, 75.9 percent of the population are concentrated in urban areas, while urban and rural gap widening.

Area	28,221 thousands
Costal Area	54.6%
Mountain Area	32.0%
Jangle Area	13.4%

PERÚ: POBLACIÓN CENSADA, SEGÚN REGIÓN NATURAL, 1993 Y 2007

PERÚ: EVOLUCIÓN DE LA POBLACIÓN CENSADA, POR REGIÓN NATURAL, 1940 - 2007

Source : Censos Nacionales 2007: XI de Población y VI de Vivienda Instituto Nacional de Estadística e Informática (INEI)

1.Social Background

[ Social Situation ]

Social INDEX	2006	2007	2008	Source
GDP Growth	7.74%	8.86%	9.84%	Inter-American Development Bank
Government Budget (Million Nuevo Soles)	45,388	61,998	71,342	Ministry of Economy and Finance *1USD=2.85 Nuevo Soles (Jan.2010)
GNI per capita (USD)	2,960	3,450	3,990	World Bank
DAC Category	Lower Middle Income Countries (LMICs)			OECD-DAC
Poverty Rate (Extreme Poverty)	44.5 % (16.1%)	39.3% (13.7%)	36.2% (12.6%)	Instituto Nacional de Estadística e Informática (INEI) Encuesta Nacional de Hogares Anual 2004-2008
Mortality rate, infant (per 1,000 live births)	27	25	17	World Bank
GINI Index	49.6			UNDP, Human Development Report 2009

2.Project Background

## Abstract

- 1.Social background
- 2.Project background
- 3.Project-Phase 1
- 4.Project-Phase 2
- 5.Consideration

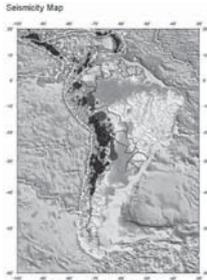
7

2.Project Background

## Earthquake History

Historic Earthquake in Peru

- 1970.5.31 Chimbote,Hualas (M7.9)
- 1974.10.3 Lima (M 8.1)
- 2001.6.23 Moquegua (M 8.4)
- 2005.9.26 Moyobamba (M 7.5)
- 2006.10.20 Ica (M 6.7)
- 2007.8.15 Ica (M 8.0)

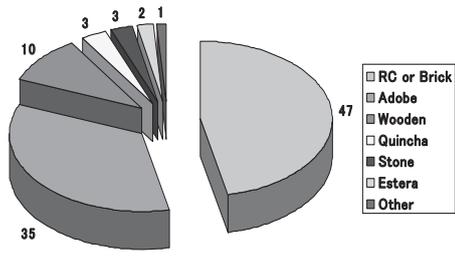



Source:The United States Geological Survey(USGS), Instituto Nacional de Defensa Civil, Peru

8

2.Project Background

## House Type



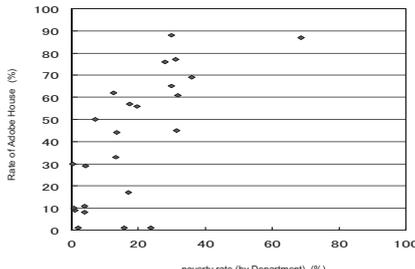
Source : Censos Nacionales 2007: XI de Población y VI de Vivienda Instituto Nacional de Estadística e Informática (INEI)

9

2.Project Background

## Residents of Adobe House

Relation between Poverty rate and adobe house



Source : Instituto Nacional de Estadística e Informática (INEI)

10

2.Project Background

## Adobe houses Damage

Adobe houses have been damaged severely, every time an earthquake occurs.



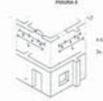
11

2.Project Background

## Building Codes

On the other hand...

"REGLAMENTO NACIONAL DE EDIFICACION -NORMA E.080 Adobe"



12

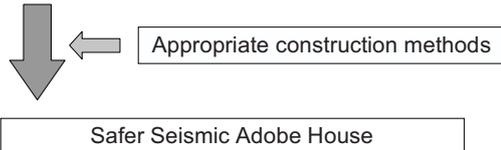
## Project background

- If constructed in appropriate method...



## Focus of the Project

- Conventional method
- Local materials
- Conventional construction system  
(Constructed by non-engineered residents)



## Abstract

- 1.Social background
- 2.Project background
- 3.Project-Phase 1
- 4.Project-Phase 2
- 5.Consideration

## Project Objectives

### [Overall Goal ]

Decrease of earthquake damages by disseminating the technologies of seismic house in poverty areas.

### [Project Objectives]

Dissemination of technologies of seismic non-engineered adobe house in the project areas.

### [Term]

August 2005 – March 2007

## Overview of the Project

- Workshop
- Construction of Model Houses
- Regular Monitoring by Engineers

## Project Site

site	Building Type
Lunahuaná	House (1)
Pacarán	House(2)
Zúñiga	Communal Refectory (1)
Huangáscar	Communal House(1) Mothers' Center (1)
Huac-Huas	Communal House(1)



## Workshop

- Design of the Model House
  - Adaptive design to the lifestyle of residents
  - Residents' increasing interest in their houses through participation.
- Enlightenment of Seismic Awareness
- Learning program about Adobe Construction



Photo:JICA

## Construction of Model Houses

- On the Job Training with NGO



Photo:JICA

## Regular Monitoring by Engineers

- Peruvian Engineers
- Japanese Expert



Photo:JICA



Photo:JICA

## Evaluation (by JICA and Experts)

- Model House was constructed in appropriate method, keeping high quality.
- Through the WS, residents have higher interests in their own houses.
- Residents and their community basically accept model houses and it's construction method, as well as their concept.

## Issues to Solve (By JICA and Experts)

- Continued Monitoring
  - Improvement of easier or reasonable construction method , through the continued Monitoring.
  - "Dissemination" has achieved some degree, then "implementation" ?
- Cost Reduction – achieve some reduction, but...
  - Further reduction
  - Government involvement. Subsidy System, Establishment of system for compliance with building codes

## Lessons Learned (By JICA and Experts)

- Communication with Community
  - Through NGO, through community leaders
- Further Training
  - Not only the construction method, but the meaning of that method.
- Cost Reduction
  - Cost of cargo transportation (mountain area)
  - Local materials

3.Project Phase 1

## Abstract

- 1.Social background
- 2.Project background
- 3.Project-Phase 1
- 4.Project-Phase 2
- 5.Consideration

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4.Project Phase 2

## Project Objectives

**[Overall Goal ]**  
Improve the safety and health conditions of adobe houses in poverty areas.

**[Project Objectives]**  
Dissemination of technologies of seismic, sanitary functional and durable adobe house, so that engineers, construction masters (Maestro de Obra) and residents in poverty areas can acquire them.

**[Term]**  
April 2007 – March 2010

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4.Project Phase 2

## Overview of the Project

- Training to Architects, Engineers, Construction Master and Residents
- Construction of Model Houses
- Regular Monitoring by Engineers
- Structure Experiment
- Improvement of Manual

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3.Project Phase 1

## Project Site

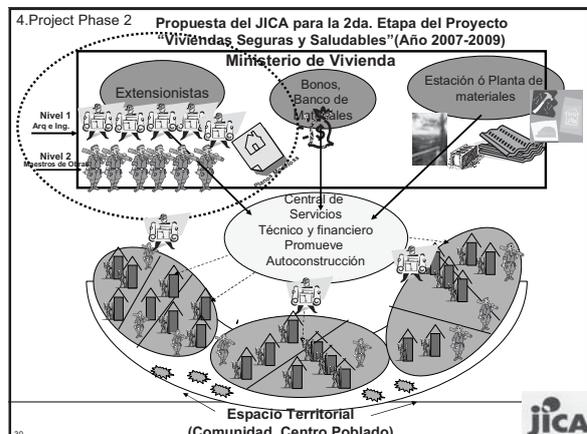
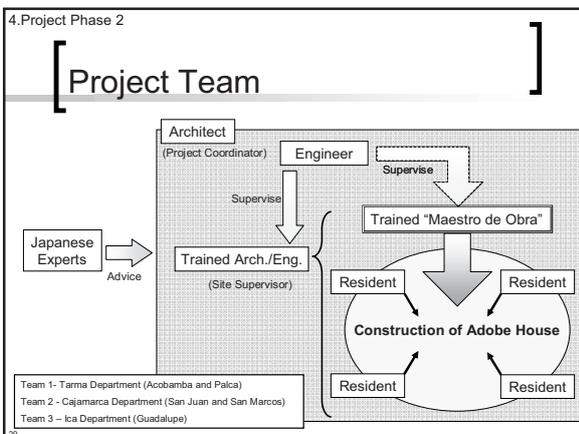
Site	Department	Building Type
Lunahuaná	Lima	House (2)
Guadalupe	Ica	Communal House(1)
San Juan	Cajamarca	Communal House(1)
Tarma	Junin	Communal House(1)

(cancellation)

Lunahuaná	Lima	House (2)
José sabogal	Cajamarca	Communal House(1)



28



4.Project Phase 2

## Training to Architects and Engineers

Nº	Nombre y Apellido	Profesion	Centro de Trabajo	Cargo	Distrito	Provincia	Departamento
1	German Donato Acosta Milla	Ingeniero Civil	Munic. Distrital De Magdalena de Oros	Agente de obras de Desarrollo Urbano y Obras	Magdalena de Oros	Ancash	La Libertad
2	Diego Luis Chirva Calderon	Ingeniero Civil	Munic. Distrital de San Juan	Responsable de diversas obras	Cajamarca	Cajamarca	Cajamarca
3	Almer Miroso Adriano	Ingeniero Civil	Munic. Distrital de San Juan	Responsable de diversas obras	San Juan	Cajamarca	Cajamarca
4	Carlos Alonso Napari Becerra	Arquitecto	Munic. Distrital de Pisco	Gerente de Infraestructura	Pisco	Arequipa	Arequipa
5	Alex Walker Alvarez Diaz	Ingeniero Civil	Munic. Provincial de Puno	Evaluador de Proyectos	Recay	Recay	Ancash
6	Hilmer Cortes Peña	Profesor	Distrito de Chulucanas - Puno	Responsable de diversas obras	Chulucanas	Morropón	Puno
7	Wilder Sando Jaula Contreras	Ing. Agronomo	Municipalidad Distrital de Acobamba	Asesor de la Oficina de Obras y Desarrollo Urbano	Acobamba	Tarma	Junin
8	José Perito Orellana	Arquitecto	Ministerio de Vivienda	Ensayo Carreteras de Proyectos	San Isidro	Lima	Lima
9	Mario Rocio Zavala	Ingeniero	Ministerio de Vivienda	Ensayo de Ing. Carretera Proj.	San Isidro	Lima	Lima
10	Liliana Nolasque Romero	Arquitecta	Ministerio de Vivienda	Coord. Carreteras de Proyectos	San Isidro	Lima	Lima
11	Yisel Herrera Paragait	Arquitecta	ONG CIEP-Puno	Asesor Técnico ONG CIEP	Arequipa	Ica	Lima
12	Carolina Gallegos Castro Alva	Arquitecta	Municipalidad Provincial de Sánchez Carrión	Encargada de la Oficina de Planeamiento Urbano y Catastro	Huancavelica	Sánchez Carrión	La Libertad
13	Fredy Salas Chavez	Ingeniero Civil	Subsector Regional de Arequipa (CORPDA)	Asesor en la elaboración de expedientes técnicos	Arequipa	Arequipa	Arequipa
14	Nick Juan Huamán Coronel	Arquitecto	Municipalidad Distrital de Paica	Subgerente de obras públicas y desarrollo urbano y rural	Paica	Tarma	Junin
15	Pablo Miraya González	Ingeniero Civil	Municipalidad Provincial de Puno	Proyectista	Puno	Puno	Ancash
16	Cristóbal Contreras Huayllán	Ingeniero	Municipalidad Distrital José Sabogal	Jefe Oficina de Agua y Saneamiento	José Sabogal	San Marcos	Cajamarca

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4.Project Phase 2

## Training to Construction Master and Residents

Photo: JICA

Photo: JICA

32

4.Project Phase 2

## Construction of Model Houses

Photo: JICA

Photo: JICA

Photo: JICA

Photo: JICA

33

4.Project Phase 2

## Regular Monitoring by Engineers

- Advice for the project
- Evaluation of the model houses of phase 1
- Propose of improved method

Photo: JICA

34

4.Project Phase 2

## Structure Experiment

- Material Experiment
- Expert's proposal

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4.Project Phase 2

## Improvement of Manual

- Simple Manual (JICA)

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## [ Interim Evaluation (by Experts) ]

- Problems?
  - Not disseminated enough
  - Still Higher Cost
  - Lack of Publication
  - Incorrect Maintenance
  - Difficulty in Coordination with Ministry

## [ Interim Evaluation (by Experts) ]

- Proposal
  - Improvement of the method (considering the local situation)
  - Cost Reduction
  - More public relations
  - Monitoring of Model House of phase 1

## [ Abstract ]

- 1.Social background
- 2.Project background
- 3.Project-Phase 1
- 4.Project-Phase 2
- 5.Consideration

## [ Consideration ]

- How to disseminate or Implement?
  - Approach from "Community Side", not from the government side.
  - Target and concept.
- Why people don't use this method.
  - Social and cultural background.
- Who take the main role ?
  - Government, Community, House Owner, NGO...
  - "Academic" or "Political"?
- Continuity

5.5 Community based disaster management and assistance for retrofitting /

インドネシア災害復興支援の教訓

Presented by Shoichi Ando, United Nations Centre for Regional Development (UNCRD) /

国連地域開発センター 防災計画兵庫事務所長 安藤尚一

**コミュニティ防災と建築耐震化の支援**  
**Community Based Disaster Management (CBDM) & Housing Erathquake Safety Initiative (HESI)**

国際連合地域開発センター (UNCRD)

安藤尚一 Shoichi ANDO Dr.

Disaster Management Planning Hyogo Office  
 United Nations Centre for Regional Development (UNCRD)  
 United Nations Department of Economic and Social Affairs (UN/DESA)

26 Feb. 2010  
 2010年2月26日



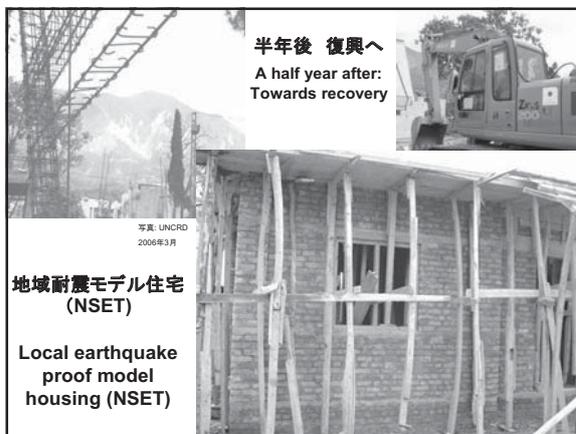
**I. 最近の海外の災害事例から学ぶ**  
**Lessons from recent Disasters**

Recent World Disasters 最近の世界の災害

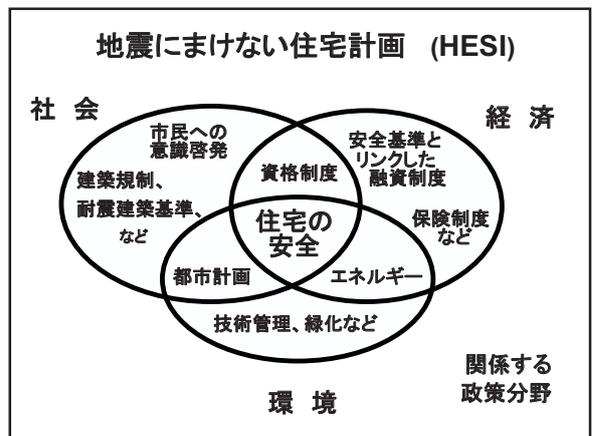
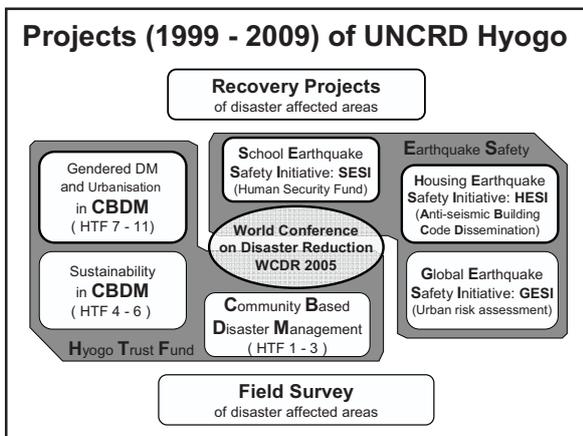
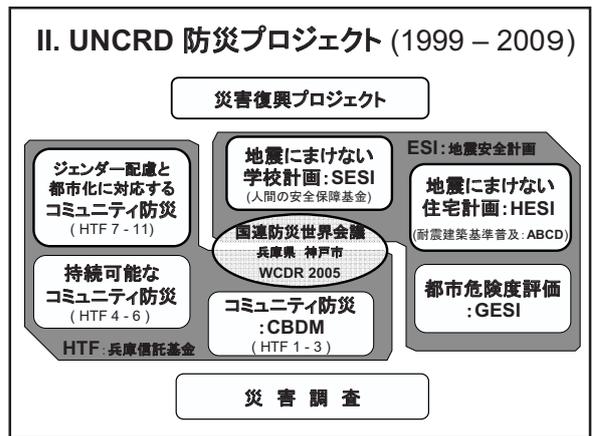
1. Indian Ocean Tsunami インド洋津波 (2004.12.26)
2. Pakistan Earthquake パキスタン地震 (2005.10.8)
3. Java Earthquake ジャワ島地震 (2006.5.27)
4. Peru Earthquake ペルー南部地震 (2007.8.15)
5. China Earthquake 中国四川大地震 (2008.5.12)
6. Cyclones in Asia アジア各地の台風 (2009ほか)
7. Haiti Earthquake ハイチ地震(PAP) (2010.1.12)

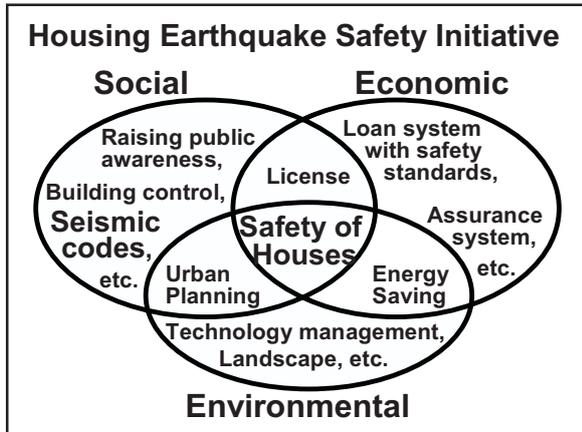
**災害の背景 Background of Disasters**

1. 自然現象によるハザードは絶えず発生  
Constant occurrence of natural hazards
2. 人口の増加、都市の拡大等でリスクが増大  
Increasing risks by expansion of population/city
3. 途上国の貧困層に被害が集中する傾向  
Trends of heavier damages to the poor in LDCs  
(地震は中間層の場合も: Earthquake to middle incomes etc.)
4. 生態系の悪化、気候変動の激化  
Degradation of eco-system / Climate Change







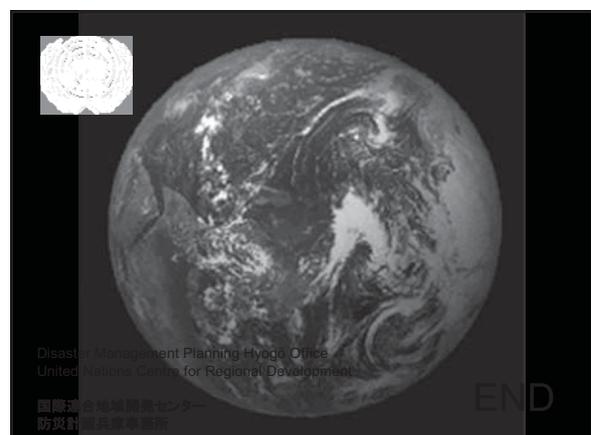


### III. 結論 Conclusion

建築物の倒壊が地震災害の最大要因。  
The collapse of building causes tragedies.

安全な建築は、技術者と行政の協力が必要。  
Cooperation of engineers and governments, その普及には、建築基準、検査制度のほか  
に技術者教育の仕組みやその材料が必要。  
Building code, inspection system, engineer education and its materials are the keys.

他の地震国の経験を参考にすることも重要。  
Experiences of other earthquake-prone country

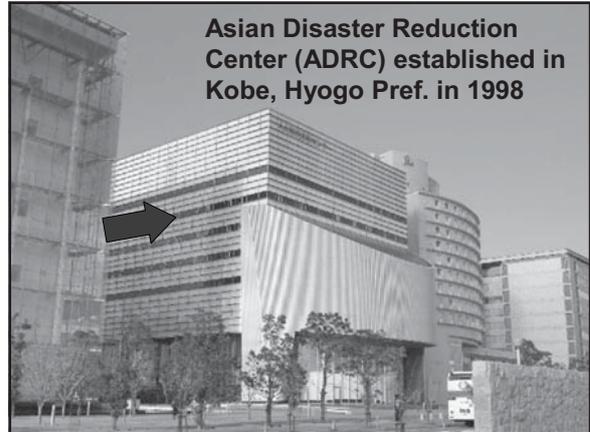


5.6 Earthquake Risk Reduction and Recovery Preparedness in South Asia /  
 南アジアにおける地震防災対策の推進  
 Presented by Atsushi Koresawa, Asian Disaster Reduction Center (ADRC) /  
 アジア防災センター所長 是澤優



**Earthquake Risk Reduction and Recovery  
 Preparedness Programme (ERRP)  
 for South Asian Region**

**Atsushi KORESAWA**  
 Asian Disaster Reduction Center  
 February, 2010




**ADRC Member Countries**



28 Member Countries, 5 Advisor Countries



**Asian Conference on Disaster management**  
 Held in Kobe, Hyogo, Japan, on 17-19 January 2010  
 Organized jointly by ADRC, UNSIDAR and Japan's Cabinet Office




**Natural Disasters in South Asia**  
 South Asia is regarded as one of the most critical hotspot of disasters

Disasters with more than 10,000 fatalities (1975-2008)

1983	Ethiopia	Drought	300,000
1976	China	Tangshan earthquake	242,000
2004	South Indian Ocean	Indian Ocean tsunami	226,408
1983	Sudan	Drought	150,000
1991	Bangladesh	Cyclone Gorky	138,866
2008	Myanmar	Cyclone Nargis	133,655
1981	Mozambique	Drought	100,000
2008	China	Sichuan earthquake	87,476
2005	India, Pakistan	Kashmir earthquake	73,338
2003	Europe	Heat wave	56,809

Source: EMDAT

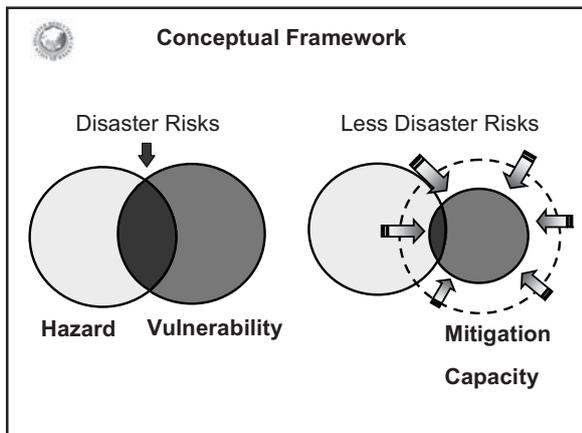


**Earthquakes caused the deadliest disasters**

“Earthquakes are the deadliest natural hazard of the past ten years and remain a serious threat for millions of people worldwide as eight out of the ten most populous cities in the world are on earthquake fault-lines”

“Disaster risk reduction is an indispensable investment for each earthquake-prone city and each community. Seismic risks is a permanent risk and cannot be ignored. Earthquake can happen anywhere at any time.”

Margareta Wahlstrom, UN Special Representative of the Secretary-General for Disaster Risk Reduction



- Challenges facing South Asian countries**
- Frequencies of earthquakes continue to result in extensive damages and loss of life
  - Limited capacities of national organisations and low awareness in the most vulnerable communities add to the devastating impact of these disasters
  - Public buildings (schools, hospitals, community centres etc.), infrastructure and private houses are in many cases highly vulnerable to earthquakes

- Earthquake Risk Reduction and Recovery Preparedness Programme (ERRP)**
- Period:** April 2007 – March 2010  
**Agencies:** UNDP in partnership with ADRC  
**Budget :** Approx. USD 4.8 million from Gov. of Japan  
**Coverage:** Bangladesh, Bhutan, India, Nepal, Pakistan
- Objectives:**
- To strengthen the institutional and community capacity to plan and implement earthquake risk reduction strategies integrating disaster preparedness, mitigation and post disaster recovery
  - To support regional cooperation for DRR and recovery preparedness in the context of SAARC Framework for Disaster Management

- Roles of ADRC**
- At Regional Level**
- Co-organize Regional Workshop
  - Arrange Japanese and other experts as resources
  - Facilitate regional cooperation
  - Conduct "Pull Down Test"
- At Country Level**
- Provide training services
  - Develop teaching materials
  - Conduct Risk Assessment Reviews
  - Hold Mini-workshop

- Technical Assistance at country level**
- Delivered lectures to local officials and engineers at workshops in Bhutan, Nepal and Bangladesh on:
- Earthquake Safe Construction Design
  - Retrofitting Techniques,
  - Quality Management of Reinforced Building
  - Earthquake Vulnerability Assessment
- Developed:
- Lecture Notes for the Students of Colleges in Pakistan
  - Training Slides on "Earthquake Damage to Buildings"
  - Poster on "Key requirement for safer construction"
- Reviewed:
- National Building Codes (Nepal)
  - Existing Government and Municipal Policies (Nepal)
  - Seismic Vulnerability Assessment of Buildings (Bhutan)
  - Seismic Vulnerability Evaluation Guidelines (Bhutan)

- Regional Workshop**
- Venues  
Katmandu (Aug 2008), Islamabad (Apr 2009), Delhi (Jul 2009), Dhaka (Dec 2009)
  - Organizers  
ADRC, UNDP, SAARC DMC, National Governments
  - Objectives
    - Provide technical expertise on ERRP through presentations by experts
    - Identify priority issues and address course of actions
    - Facilitate knowledge-sharing and South-South cooperation
-

**Pull Down Test**

- Objectives
  - Main causes of deaths in past earthquakes were related to the collapse of buildings, especially non-engineered masonry buildings
  - Examine seismic resistance of buildings with and without retrofitting and demonstrate differences
- Retrofitting methods applied
  - Use 1.6mm diameters, 19mm center-to-center distance galvanized wire mesh sheets on both sides of walls
  - Drill holes for inserting binding wire @1 ft on center
  - Plastering with 1:3= Cement :Sand Mortar

**Retrofitting Method (Jacketing)**

**Process Image of Pull Down Test**

**Bird's Eye View of "Pull Down Test"**

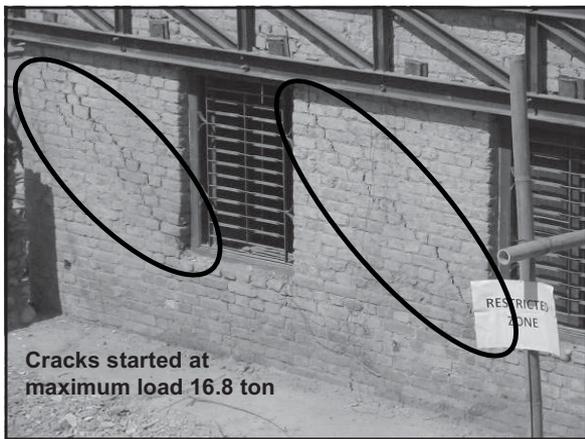
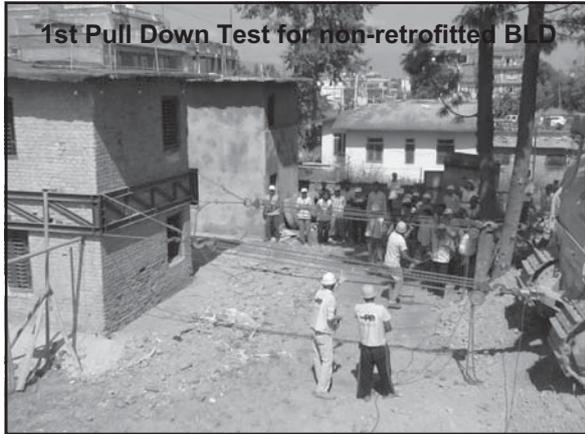
**Preparation for the pull down test**

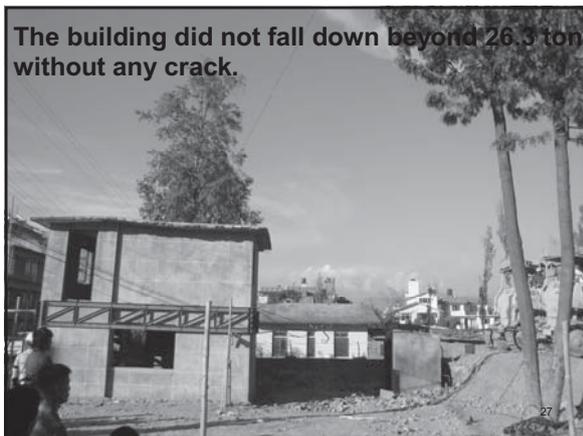
Original	After separation

Brick Masonry in Mud Mortar built in 1967

**Pull Down Test**

Oct. 15, 2009 : 1<sup>st</sup> Pull Down Test for non-retrofitted BLD  
 Nov.2-3 2009 : 2<sup>nd</sup> Pull Down Test for Retrofitted BLD



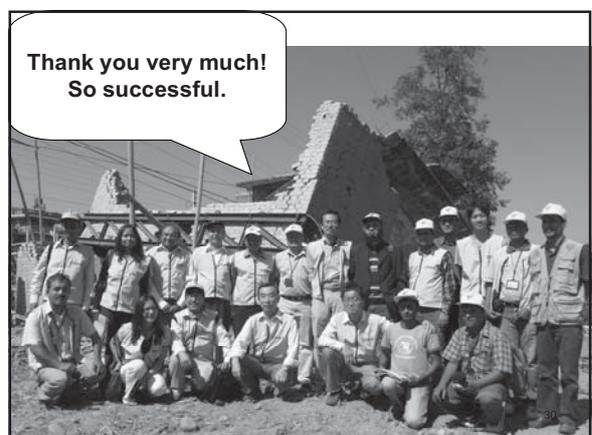


The building did not fall down beyond 26.3 ton without any crack.

 Main outcomes of the pull-down test

- The non-retrofitted building completely fell down by pulling with the intensity of 16.8 ton, whereas the retrofitted building did not even cause cracks when the intensity reached as much as 26.3 ton.
- The wire mesh with mortar coating method has been proved to be a effective and const-effective retrofitting method to increase seismic resistance of masonry buildings.
- More importantly, non-experts and ordinary citizens have witnessed such a difference. 28

 Coverage by local newspapers

**Special Announcement from ADRC and IRP**  
**Roster of Experts on Haiti Recovery**

*International Recover Platform (IRP) is urging experts to become a member of the Technical Expert Group for Haiti Recovery. The list will be made available for Haitian Government and International organizations*

- **Themes and Topics**  
 shelter, infrastructure (utilities, telecom, roads and bridges, buildings, schools and hospitals, water and sanitation), health, psycho social, environment, livelihoods, and building code enforcement and implementation
- **Role of Experts**
  - Experts could be deployed in Haiti (or Washington DC) for about two weeks and work with the Haitian government and international organizations
  - Experts could provide assistance through virtual means, including email, video conference, and teleconference
- **Qualification**  
 English speaker, long-term commitment
- **How to register**  
 The registration form is available in this venue. Please fill in the form and send it to Ms. Gulizaer Keyimu (gulzar@recoveryplatform.org) by FAX, email or mail



***Invest today for a safer tomorrow***



***Thank you very much!***



## 6. Panel Discussion

“How to promote safety improvement of  
non-engineered houses in developing countries”



## 6.1 Outline of discussion



### 1. チェアマン挨拶

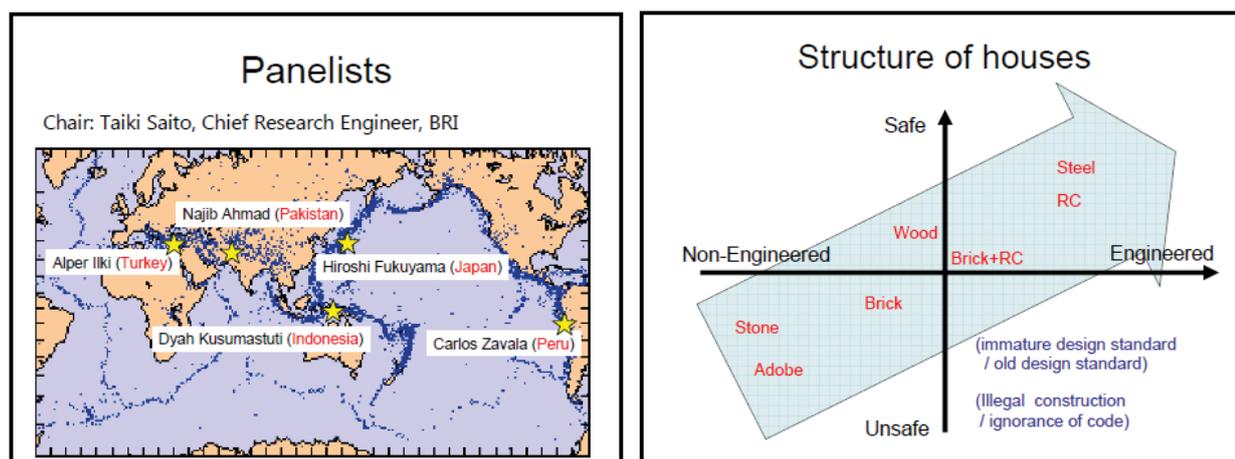
本日のチェアマンをつとめます建築研究所地震工学センターの斉藤と申します。本日のシンポジウムは建築研究所、GRIPSの共同で開催された。

建築研究所は途上国支援として過去50年間の地震工学研修を行ってきた。これまで1,400人以上が修了した。これからも、日本の協力の一端を担って行きたいと思っている。

### 2. パネリストの紹介

右から、福山洋（日本）、ナジブ（パキスタン）、カルロス・サバラ（ペルー）、ダイヤ（インドネシア）、アルパー（トルコ）。

5名のパネリストは地震多発地帯である南米、東南アジア、中央アジア、中東の出身者であることから選定した。地域の独特の事情や建設環境が異なる。そういった話を聞ければと思っている。



### 3. エンジニアドの定義（斉藤）

- 技術的な見地からエンジニアド、ノンエンジニアドをみるとはっきり分かれている訳ではない。アドベ、石造、レンガはノンエンジニアドに分類され、耐震性の観点からは、耐震性が低い。左下に土の構造物が分類される。
- 工学的な技術が反映されていくと鉄筋コンクリート、鉄骨造になり建物も大規模化していく。右側に向かっている。日本は右上の領域に建物があり、世界で被害が起きているのは左下の建物。

- ・アドベを止めて、鉄筋コンクリート造や鉄骨造に向かって行くのが、正しい方向なのかどうか皆さんの意見を聞きたい。
- ・アドベや石造は文化なので残しながら安全性を高める。アドベ造もエンジニアドになるのではないかという議論もあると思う。

#### 4. アドベ造をエンジニアドにした事例（福山）

**Problems**

- 1) Lack of **knowledge & information** of people about scenario of E.Q. damages
- 2) Lack of information **how to construct** the seismic resistant houses

- ・ノンエンジニアド住宅の問題点を整理し、将来の方向性を考えていく例として、エルサルバドルの J I C A 耐震プロジェクトを紹介する。このプロジェクトは、一般的な住宅の耐震性と普及策、技術的な向上を目的としたものである。
- ・ノンエンジニアド住宅を建設する一般の方々に対して①地震の際の崩壊のシナリオ、気を付けなければいけない問題の情報、知識が十分に行き渡っていない。②安全性を確保した住宅の作り方についても十分に行き渡っていない。左記の2点が障害となる。

- ・2001.7/1 の大地震でノンエンジニアドの住宅に大きな被害が出た。これらを背景に低所得者向けの住宅での怪我人や死者を減らすのが目的となっている。
- ・四つの施工方法を耐震性を構造実験で調べ、普及のための建築マニュアルづくり、普及のためのワークショップの開催、コミュニティと一緒に現地でモデル住宅の建築を行った。
- ・その結果、政府やNGOにより、ブロックパネル約 100 棟、アドベ 118 棟の住宅が建設された。

**Output 4**      *The strategy for dissemination has been developed and executed*



4 easy-reading handbooks on each of the four construction systems investigated were produced



During implementation of TAISHIN project, a large number of community leaders were trained by project researchers.



Massive dissemination has been carried out through TV programs and radio spots.

**Construction systems investigated**



**Block Panel System**



**Reinforced adobe**



**Soil Cement confined masonry**



**Concrete Block system**

- ・礎石造のキイは面外破壊を以下に防ぐかにある。抵抗機構で補強したアドベ、エンジニアドアドベでは 38° の傾斜（最大傾斜）でも崩壊しなかった。

Output 5 Earthquake resistant Popular housing is promoted among population

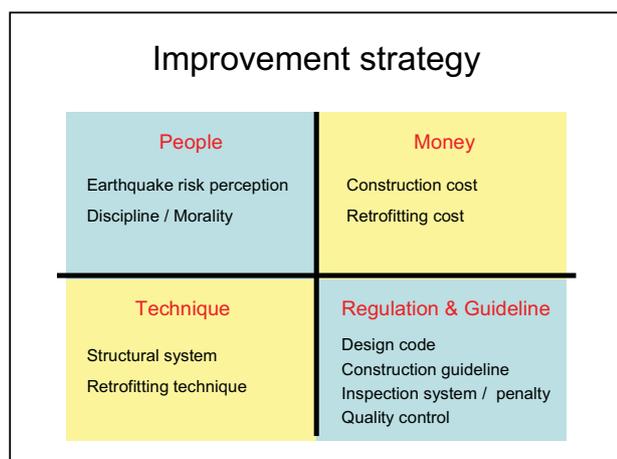
By November 2008, 103 block panel houses and 118 reinforced adobe houses were built by the government and NGOs.



・今後の方向は以下の6つ。同じ伝統的、文化的な構造形式でも、エンジニアドにしていく工夫はあり得る。

- ### Future directions
- 1) **Develop** the simple & effective model of earthquake resistant mechanism
  - 2) **Propose** the effective methods on seismic safety improvement of houses without changing its original structural types
  - 3) **Investigate** the structural performance of the proposed safety improvement methods by tests
  - 4) **Develop** the seismic safety evaluation methods based on the resistant mechanisms
  - 5) **Develop** the construction manual based on the evaluation methods
  - 6) **Establish** the supervision system for construction quality control

## 5. パネルディスカッション



### 斉藤（日本）

・今後の対策を考える際に、技術面、法律・ガイドライン、それを使う人達の話、住む人の話、実現するための一番の障害となるお金の話がある。これら4つに分けてパネリストとの議論を進めていく。

①各国のパネラーが耐震性向上において重要度が高いと考える事項？

### ナジブ（パキスタン）

・パキスタンでは人々のリスクに関する受け止め方が最も重要だと考える。技術はあり、様々な研究も進め

られており、安全な住宅をつくるパンフレットや小冊子も用意しているが、人々がそれを受け入れる状況にない。

- ・最近、6つのプロジェクトを行ったが耐震性の面で安全ではなかった。人々は危険性、リスクに関して、住宅建設に関して意思をすることがある。
- ・また、途上国では資金面での問題もあるが、人々は良い建物にはお金を支払う。当事者の危機意識、その受け止め方に問題があると思われる。
- ・パキスタンに関しては、リスクの受け止め方も含めて改善セミナー、啓蒙が必要だと思われる。

#### カルロス・サバラ（ペルー）

- ・トルコやインドネシアのような耐震プログラムもあり、耐震補強技術は既にあるが、あえて安全性の低い家に人々は住んでいる。経済の問題も当然あるが、資金だけが問題なわけではない。決定責任を持つ地域の市長などが自らも耐震性能のない家屋に住んでおり法律を受け入れていない。法律を遵守していない。
- ・ペルーでは、全ての住宅建設プロジェクトをチェックしなければならない。プロジェクトが建築基準を満たしているかをチェックしなければならない。という法律の書きぶりにはなっているが……
- ・2007年にJICAミッションがつくった新規建設のガイドライン、最低基準や耐震検査の法律もあるが決定権を持つ人達が法律を尊重していない。
- ・建物オーナーが知事、市長、区長を買収して、2階建のホテルの5階建てへの変更を許可してしまう場合もある。地方自治体にお金を払えば、設計図も無い許可が下りて、その後は全くチェックが出来ない。ペルーでは市長など決定側に法律を尊重していないことに問題がある。

#### ダイヤ（インドネシア）

- ・技術の問題ではなく、人々の啓発、意識の向上が大切、意思決定者にも規則やガイドラインの関係で問題がある。被災経験の有無が対応の違い、問題意識の違いに表れている。アンジェラアテやバンドン地域でのアンケートでは、被災経験が有るので、地震に対する問題意識も高く、ある程度お金を払っても自らを守りたい気持ちもある。
- ・震災とは関係のないバンドル地域では「気にしない」、10%のコスト上昇でも人々は「耐震建築は好まない」という回答に現れている。10%のお金の違いは大きい、アパート暮らしの人も居る、洪水も頻繁なので地震被害だけではないとの理由。
- ・啓発啓蒙が重要で、全国一律の規制、誘導により、全てのインドネシア人が同じ問題意識を持つべき。中央政府が積極的に国民の啓発に取り組む必要がある。
- ・規則もある程度ある。耐震建築の規則もあるが全国一律ではない。地方でも遵守されるような努力が必要。
- ・全国レベルの建築基準法はあるが運用は地方政府の規制の下で行われる。バンドン、ジャカルタなど大都市は上手くいっている。離島ではほとんどルールを守らない。中央政府の地方政府の啓蒙努力、監督が必要。

#### アルパー（トルコ）

- ・建増をしたアパートが倒壊し37名が死亡したビグラ地震被害の責任は、土木建築専門家の資格剥奪で結審した。5階に建増したオーナーや許可した市の役員は責任を問われなかった。
- ・5%増の補強コストは耐震のためのネックにはならない。耐震安全性や既存建物の改修など細かな2007年ガイドラインがある。
- ・様々な制度改正があり、第三者や独立の業者がデザインからアプリケーションまで責任を持つようになった。それでも、検査を上手く出来ない中小業者の検査技術には疑問が残る。
- ・イスタンブールに沢山ある。エンジニアコミュニティの中高層、低層アパートには、ジャケッティングなど新しい耐震技術も出てきたが、一時撤去しないと耐震補強が出来ない。

- ・イスタンブールなどの都会の場合、耐震補強は大工事になるので、一時転居が必要になったり、住んでいる人に煩雑な対応を求めることになる。
- ・イスタンブールの2大リスク地域、ブカルクとハリザンテでパーセプションスタディを行った。
- ・次に地震が起こったら大きな被害が想定されている2つの町は、リスクに関する意識は高いが「特段の用意はしていない、出来るだけ考えないようにしている。」という結果であった。
- ・トルコでは人々の住宅の床の仕上げや色への関心と同様に、耐震補強の重要性への認識を高める必要がある。

#### ニティン (インド)

- ・チェアマンのスライドはアンセーフ、ノンエンジニアドから出発すれば左下になり、住宅ならば自然に時計回りに動いて行くことになると思う。ノンエンジニアド・アンセーフ→セーフ・ノンエンジニアド→エンジニアド・セーフに移るのが自然だと思う。矢印の置き方を変更した方が良かった。
- ・自らが家を建設する場合、わざわざセーフではない家をつくらない。インドのNGOにも技術はあり、ガイドラインはあるが、一般大衆にまで広まっていない。耐震補強に100ドルを出せる人も居ると思う。残る課題は、啓発する、人々の問題意識を高める必要がある。

#### ヒマ (ネパール)

- ・人々の耐震意識は低い。中低所得の人はお金の問題もあるが、カトマンズの住宅はノンエンジニアド、エンジニアドを問わず60%以上が十分な耐震性能はない。
- ・最近の問題と昔の問題は違い、今の問題は非対称性の問題がある、学校を使って啓蒙もしているがエンジニアや技術者に知識が足りない。
- ・インドの裁判所ではレスポンスは5倍になる。労働を10倍減らすと、建設者は設計基準や耐震性の基礎も分っていないので。エンジニアを対象とした、基本的な耐震知識教育が重要だと思われる。高所得なオーナーは住宅に対して、相当多くの金額を支払っている。エンジニアの責任は重く、教育(トレーニング、意識を高める)が重要だと思われる。

#### 佐々波 (日本)

- ・新しい世紀を見据え、①エコシステム住宅と耐震性能をマッチさせた新しい工法の開発。②低所得者用住宅建設ローンを対象としたグラミンバンクシステムの導入。以上2点を提案する。

#### カルロス・サバラ (ペルー)

- ・ペルー建設省は低所得者(1.2万円以下/月)が資材銀行を利用して10~20年ローンで自ら住宅を建築しているケースがある。耐震性のあるエンジニアリング住宅の設計図を銀行に提出して、資材銀行では運搬用のカートと建築資材を借りる事ができる。

#### プレティ (インド、千葉大学)

- ・ノンエンジニアド、エンジニアドハウジングにとっても経済は重要であると思う。
- ・建築基準を守らない、規則を破って住宅建築をしている人も居る。技術は開発されているが、大衆の物モノになるほど拡がっては居ない。
- ・つまり、建築基準や技術を農村地域や郊外に住む一般大衆に普及させるための、インセンティブ・プログラムを数年間導入し防災意識を育むことが出来ないか。

②ノンエンジニアド住宅の耐震化に向けた国際的協力に期待すること、日本に望まれる協力

#### ナジブ (パキスタン)

- ・日本はパキスタンにとっての最大の援助国であるが、その30~40%は有効に活用されていない。
- ・日本政府が啓蒙活動をすることが出来るかも知れないし、JICAやGRIPSも人々を啓蒙することが出来るかもしれない。

- ・例えば、カシミール地方では2005年の被災から、地震リスクの受け止め方、認識が高まり、一般からもリスクの高い建物に対する当局への批判が出るような状況となっている。このようにJICAや日本政府の協力は長期的な効果をもたらす。

#### カルロス・サバラ（ペルー）

- ・教育も重要だが、一般大衆や政策決者への知識の普及に関してマスコミの協力が必要だと思っている。対象層にわかり易い言葉で説明し、考え方を变えるきっかけづくりが必要である。子供の頃から耐震補強の重要性を認識することのノウハウの提供が重要と考えている。
- ・日本においてどのように人々の意識を高めることが出来たか、どのように住居を改修する気にさせたのか。過去の経験を教えて欲しい。補強するテクニックはあるので、それを普通の言葉で一般に伝えて理解させていくのが大切。

#### 斉藤（日本）

- ・マニュアルやガイドラインを普及させていくツールが必要なのではないか。それは共通問題なのでシェア出来る。それは国際協力も十分に可能な分野だと思われる。

#### ダイア（インドネシア）

- ・ジョイントで共同研究が出来ることに非常に助かっている。実験設備、施設の共同利用や分析能力の向上に感謝している。
- ・今後は現実的な観点からの経験を積上げて行きたいと思っている。日本の地震対応の経験（組織対応、十分なスタッフ、実施など）を生かす必要がある。ガイドラインや技術が一般に行渡っていないので、その普及・啓発の方法の検討を日本の取り組みから学びたいと思う。今までの取組ではそこが欠けていたと思う。

#### アルパー（トルコ）

- ・これなでも日本からはトルコの地震工学界に沢山の支援をいただいている。知事・市長・地方政府の技術者の防災管理研修の指導を日本人により受けた。過分のご協力をいただいているのでこれ以上期待してはいけないとも思う。
- ・お互いが協力して共通の問題を学び合う機会は重要だと思う。
- ・普通に暮らしながらも不便でない耐震補強の技術を開発する研究が必要か。住民に優しい、あまり邪魔にならない耐震補強の研究が必要か。

#### カルロス・サバラ（ペルー）

- ・中米では火山爆発の対応、啓蒙のためのドラマが流行した。マスコミを使った大衆意識の向上が重要かと思われる。
- ・耐震補強の仕方や地震の際の住居の倒壊の仕方を映像で見せるのは有効かも知れない。

#### 福山（日本）

- ・お互いの問題点は同じだが、過去国の状況が異なる。様々な取り組みを通じて、基準や技術も開発されて来ている。これらの技術を蓄積し、各国の地震被害の経験の情報を共有することも非常に重要だと思われる。
- ・自由に情報をシェアできる環境づくりに日本は十分に貢献できるのではないかな。
- ・必ずしもノンエンジニアドに限定した話ではなく、一般の方々に、如何にこの状況を知ってもらうか。これは危険な建物もまだまだ沢山ある日本でも重要な問題なので、私達も取組んでいる最中になっている。
- ・皆で成功事例を収集し、シェアしていく、協力できる分野をシェアしていく、ネットワークをよりタイトにする取組みにつながっていけば良いのではないかなと思う。

### ③日本が出来る国際協力、今後進むべき方向性（会場からの自由意見）

#### 藤村（鹿島建設）

- ・日本製は、技術、研究レベルは高いが、コストも高い。どうすれば管理（施工）の手間が省けるかを検討することが重要。

#### 永見（JICA）

- ・レギュレーションは4つのファンクションと並列ではなく、3つの象限を取り持つファンクションなのではないか。
- ・キイ・リクワイアメントはつくって終わりではなくて、取り組みの項目を絞って効果を上げるレギュレーション・キャパシティ・ビルディングの導入の効果が高いと思われる。

**How to promote safety improvement  
 of non-engineered houses in  
 developing countries**



Hiroshi Fukuyama  
 Building Research Institute, Japan

**Contents**

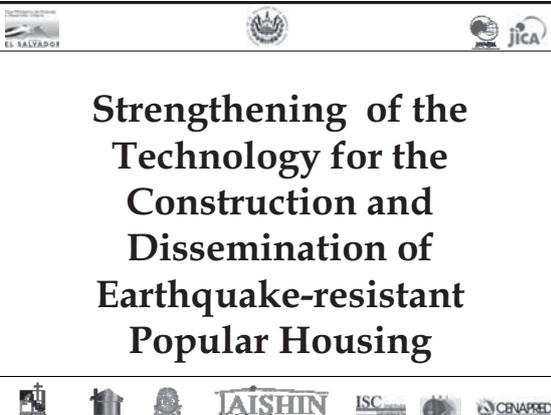
- 1) Problems
- 2) Introduction of JICA Project in El Salvador  
 (Project Taishin)
- 3) Future Directions

**Problems**

- 1) Lack of knowledge & information of people  
 about scenario of E.Q. damages
- 2) Lack of information how to construct the  
 seismic resistant houses

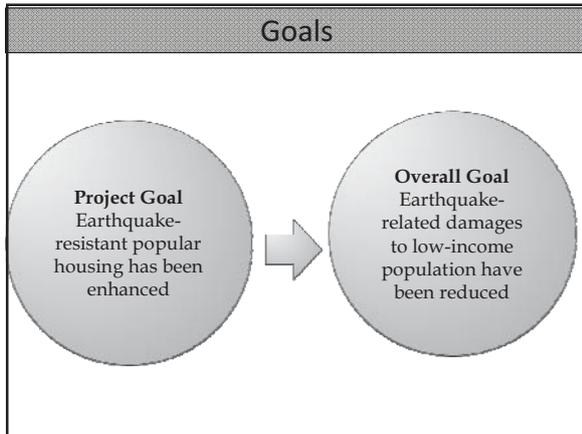
**Introduction of JICA Project  
 in El Salvador (Project Taishin)**

**Strengthening of the  
 Technology for the  
 Construction and  
 Dissemination of  
 Earthquake-resistant  
 Popular Housing**



**Background**





### Development

TAISHIN Project was implemented from December 2003 through November 2008, achieving important progress on scientific research as well as dissemination activities.

**Output 1**

• Large-scale Structures Laboratory (220 x 50) have been built

**Output 2**

• Counterparts (Researchers and dissemination team members) have been trained

**Output 3**

• Construction systems have been investigated

**Output 4**

• The strategy for dissemination has been developed and executed

**Output 5**

• Earthquake-resistant popular housing is provided to living population

### Output 1

Design, construction and equipment of Large-scale Structures Laboratory

Large-scale Structures Laboratory at UCA - construction and equipment

Large-scale Structures Laboratory at UCA was finished on December 2004

### Output 1

Large-scale Structures Laboratory - Tilting Table at UES

**Quick specs:**  
 Inclination capacity: **40°**  
 Maximum supported weight : **60 tons**  
 Dimensions: **8m x 5m**  
 Location: National University of El Salvador

The Tilting Table was designed by Salvadorean researchers with advice from Japanese and Mexican experts.

### Output 2

Counterpart Training

- Researchers and dissemination team members have been trained in Mexico and Japan
- Short-term Mexican and Japanese experts advice project counterpart
- At the present time 10 Salvadorean counterparts have obtained master degrees at Building Research Institute - BRI

### Construction systems investigated

**Block Panel System**

**Reinforced adobe**

**Soil Cement confined masonry**

**Concrete Block system**

**Output 3** Constructive systems investigated



Scientific research on Block Panel system



Model house built at Juayua using Block Panel construction system



Testing a adobe house on Tilting Table at UES



Model house built at Suchitoto using reinforced adobe

**Output 3** Construction systems investigated



Scientific research on Soil Cement confined masonry



Model house built at San Julián using Soil Cement bricks



Scientific research on Concrete Block system



Model house built within VMVDU headquarters using Concrete Block system

**Output 4** The strategy for dissemination has been developed and executed



4 easy-reading handbooks on each of the four construction systems investigated were produced



During implementation of TAISHIN project, a large number of community leaders where trained by project researchers.



Massive dissemination has been carried out through TV programs and radio spots.

**Construction manuals**




**Dissemination**



**Display for dissemination**



**Workshop for technical transfer**



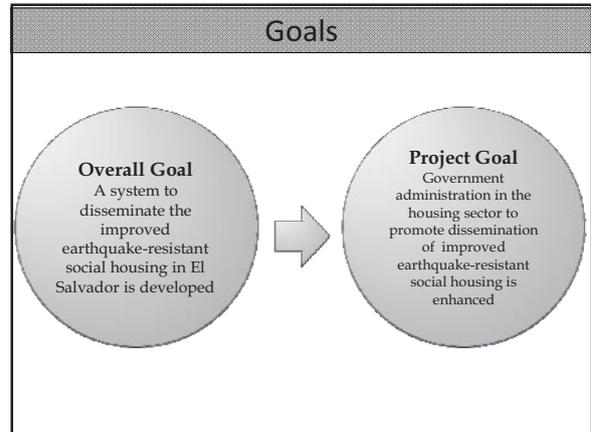
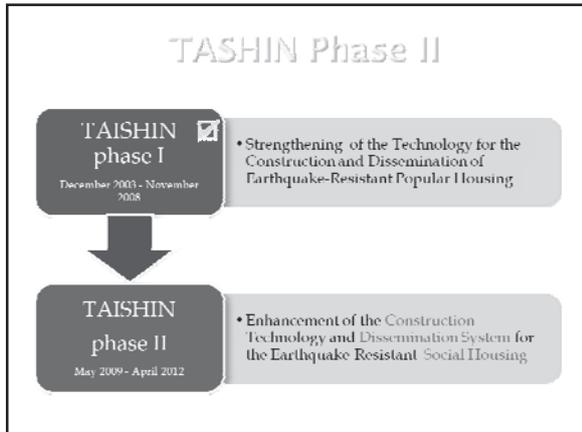


**Output 5** Earthquake resistant Popular housing is promoted among population




By November 2008, 103 block panel houses and 118 reinforced adobe houses where built by the government and NGOs.





## Working group 1- Research

Scientific research will continue as important part of the project: Reinforced Adobe, Soil Cement and Concrete Block systems will be instigated further.  
A Block Panel technical manual will be elaborated and made official by the government .

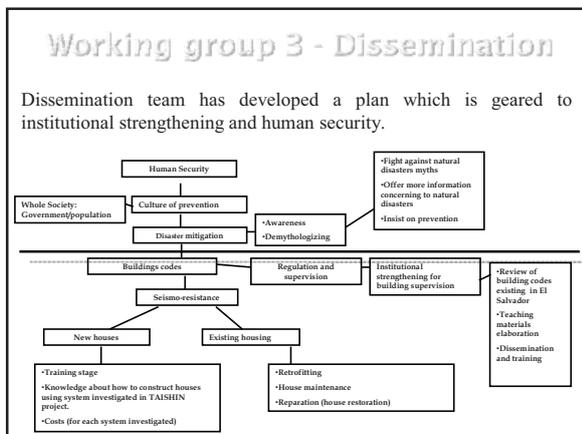
Pre-hab Block Panel System    Reinforced Adobe System    Concrete Block System    Confined Masonry Soil Cement System

## Working group 2 - Institutional strengthening

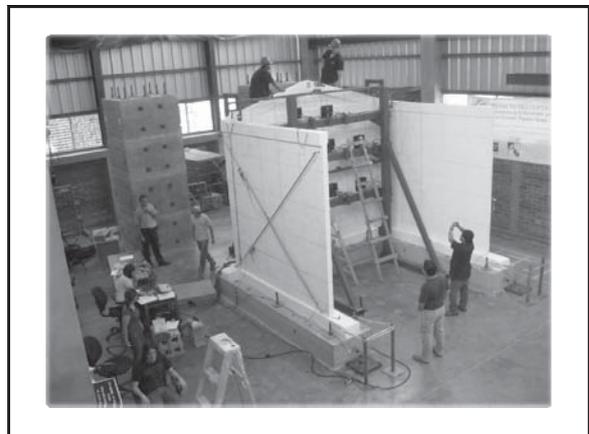
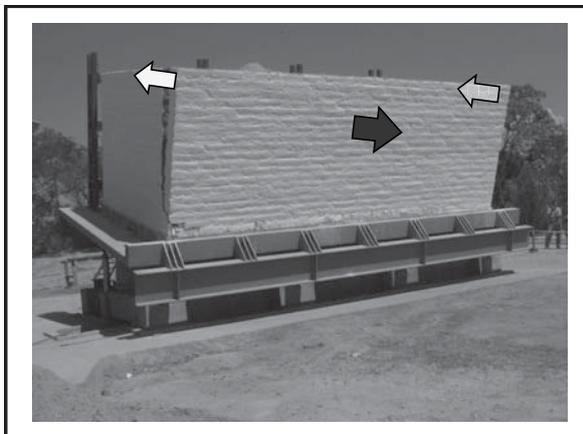
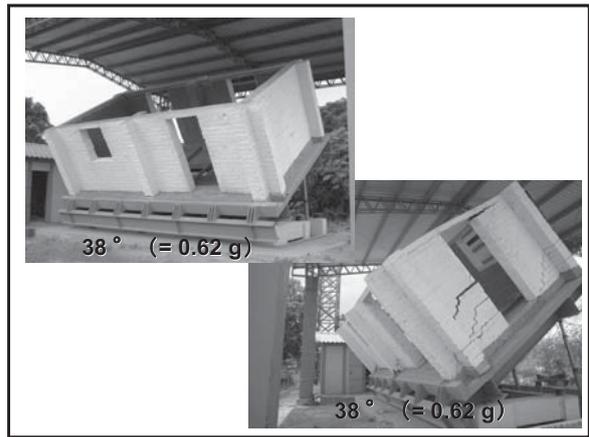
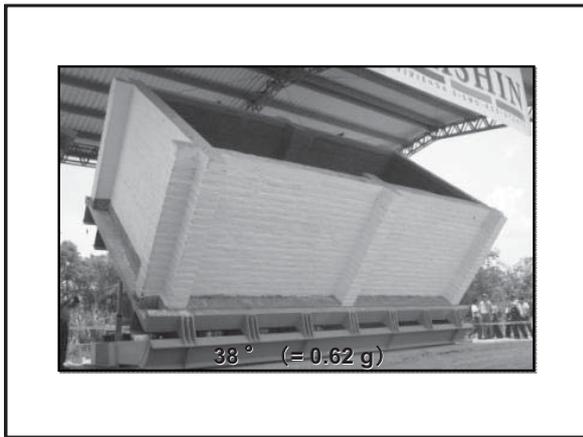
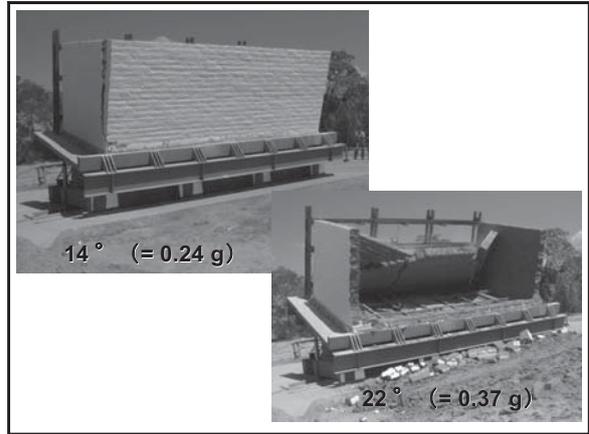
VMVDU Staff and technical personnel of local branches will receive training concerning earthquake resistant social housing, construction approval procedures and supervision.

**Main activities are:**

- Officialization of 3 construction norms
- Officialization of a technical manual
- Elaboration of a training program for pilot offices
- Training of official regarding operational guides



- ## Future directions
- 1) Develop the simple & effective model of earthquake resistant mechanism
  - 2) Propose the effective methods on seismic safety improvement of houses without changing its original structural types
  - 3) Investigate the structural performance of the proposed safety improvement methods by tests
  - 4) Develop the seismic safety evaluation methods based on the resistant mechanisms
  - 5) Develop the construction manual based on the evaluation methods
  - 6) Establish the supervision system for construction quality control



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